## Mutation Systems

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## Introduction

- Biological evolution proceeds by variation and selection
- A model of evolution of strings

Variation:
A function $\mu$ mapping a string to possible mutations Selection:
A function $f$ deciding whether a string is fit

- Evolvability:

Can $s$ evolve to $t$ via stepwise mutations to fit strings?

## Mutation Systems

A mutation system $(\Sigma, \mu, f)$ has

- an alphabet $\Sigma$
- a mutator $\mu: \Sigma^{*} \rightarrow 2^{\Sigma^{*}}$
$\mu$ maps a string to the set of its mutations
- a fitness function $f: \Sigma^{*} \rightarrow\{0,1\}$
$f$ decides whether a string is fit (1) or not (0)


## Mutation and Evolution: Reachability

Let $S=(\Sigma, \mu, f)$ be a mutation system

- $s \rightarrow{ }_{\mu} t$ if $t \in \mu(s)$
$s$ can mutate to $t$ in one step
- $s \rightarrow s t$ if $s \rightarrow \mu t$ and $f(s)=f(t)=1$
$s$ can evolve to $t$ in one step
(both $s$ and $t$ must be fit)
$s$ can mutate to $t$ if $s \rightarrow{ }_{\mu}^{*} t$
$s$ can evolve to $t$ if $s \rightarrow{ }_{S}^{*} t$


## An Example Mutation System $S=(\Sigma, \mu, f)$

Example S:

- $\Sigma=\{a, b, c\}$
- $\mu(s)=$ strings obtained by swapping adjacent symbols in $s$
- $f(s)=1$ if no two adjacent symbols are equal

Mutation steps:

$$
a b c b c \rightarrow_{\mu} a b c c b \rightarrow_{\mu} a c b c b \rightarrow_{\mu} c a b c b
$$

But abccb is not fit!
Alternative evolution steps:
$a b c b c \rightarrow s b a c b c \rightarrow s b c a b c \rightarrow s b c a c b \rightarrow s c b a c b \rightarrow s^{s} c a b c b$

The Mutation Graph: $\left(\Sigma^{*}, \rightarrow_{\mu}\right)$


Nodes: all strings of length 2 over $\{0,1,2,3\}$
Directed edges: $\rightarrow \mu$

## Unfit Strings are Removed



Remove unfit strings to get evolvability graph

The Evolvability Graph: $\left(f^{-1}(1), \rightarrow s\right)$


Nodes: fit strings of length 2 over $\{0,1,2,3\}$
Directed edges: $\rightarrow s$

## Deciding Evolvability?

The problem
Input: A mutation system and two strings $s$ and $t$
Output: Can $s$ evolve to $t$ ?
is undecidable for
$\mu=$ point mutations
$f=$ a strictly 2-testable predicate

A point mutation of $s$ is obtained by

- replacing one symbol in $s$
- or deleting one symbol from $s$
- or inserting one symbol in $s$

So $\mu(b c b)$ contains

- acb, ccb, bab, bbb, bca, bcc
- $c b, b b, b c$
- abcb, bbcb, cbcb, bacb, bccb, bcab, bcbb, bcba, bcbc

Point mutations are reversible: the mutation graph is undirected

## Strictly k-Testable Fitness Functions

A strictly $k$-testable $L$ given by (PRE, MID, SUF)

- PRE contains strings of length $k-1$
- MID contains strings of length $k$
- SUF contains strings of length $k-1$
$L$ contains all strings $s$ such that
- length $k-1$ prefix of $s$ in PRE
- every length $k$ substring of $s$ in MID
- length $k-1$ suffix of $s$ in SUF

Fitness function $f_{L}(s)=1$ iff $s \in L$

## Example: a Strictly 2-Testable Fitness Function

Fitness function $f$ with

$$
\begin{aligned}
& \mathrm{PRE}=\{a, b\} \\
& \mathrm{MID}=\{a a, a c, b b, b d, c c, d d\} \\
& \mathrm{SUF}=\{c, d\}
\end{aligned}
$$

has fit strings $a^{+} c^{+}+b^{+} d^{+}$

## Symbol Duplication

How to control point mutations?

- Duplication map $d(s)$ replaces each symbol $x$ by $x_{1} x_{2}$
- Define a fitness function:

PRE contains all symbols $x_{1}$
MID contains all pairs of symbols $x_{1} x_{2}$ and $y_{2} x_{1}$
SUF contains all symbols $x_{2}$

- Fit strings are $d(s)$
- Point mutations of fit strings are unfit


## Simulating a FSM

Evolvability $\leftrightarrow$ computational reachability
Issue of reversibility? Use computation histories
Annotate symbol read with state ( $x$ if unread)
Example $M$

$$
\begin{aligned}
& \Sigma=\{a, b\} \\
& \delta(s)=\text { parity of } a \text { 's }
\end{aligned}
$$

Histories of $M$ on input abaa
Initial history: $a_{x} b_{x} a_{x} a_{x}$
History after first step: $a_{1} b_{x} a_{x} a_{x}$
Final history: $a_{1} b_{1} a_{0} a_{1}$

## Mutations Simulating a FSM

Duplicate history symbols: $a_{q}^{1}, a_{q}^{2}$
PRE: index 1 , unread or correct transition from $q_{0}$
MID: indices 1,2
main input symbols equal
both unread or both read and states equal first read and second unread
MID: indices 2,1
both unread
first read and second unread
both read and state transition to second correct
SUF: index 2, unread or read

## Example of Mutations Simulating $M$ on abaa

Initial history, all unread

$$
\begin{array}{llllllll}
a_{x}^{1} & a_{x}^{2} & b_{x}^{1} & b_{x}^{2} & a_{x}^{1} & a_{x}^{2} & a_{x}^{1} & a_{x}^{2}
\end{array}
$$

First symbol read

$$
\begin{array}{llllllll}
a_{1}^{1} & a_{x}^{2} & b_{x}^{1} & b_{x}^{2} & a_{x}^{1} & a_{x}^{2} & a_{x}^{1} & a_{x}^{2}
\end{array}
$$

Duplicate of first symbol updated

$$
\begin{array}{llllllll}
a_{1}^{1} & a_{1}^{2} & b_{x}^{1} & b_{x}^{2} & a_{x}^{1} & a_{x}^{2} & a_{x}^{1} & a_{x}^{2}
\end{array}
$$

Second symbol read

$$
\begin{array}{llllllll}
a_{1}^{1} & a_{1}^{2} & b_{1}^{1} & b_{x}^{2} & a_{x}^{1} & a_{x}^{2} & a_{x}^{1} & a_{x}^{2}
\end{array}
$$

Duplicate of second symbol updated

$$
\begin{array}{llllllll}
a_{1}^{1} & a_{1}^{2} & b_{1}^{1} & b_{1}^{2} & a_{x}^{1} & a_{x}^{2} & a_{x}^{1} & a_{x}^{2}
\end{array}
$$

## Reversible Cellular Automata

A 1-dimensional reversible asynchronous cellular automaton:
An alphabet $\Sigma$
Transition rules
Substitutions: $a x b \leftrightarrow a y b$
Insertions/Deletions: $a \times b \leftrightarrow a b$
Example:
Rules $\{a b c \leftrightarrow a d c, d c e \leftrightarrow d f e, f e \leftrightarrow f g e\}$
Reachable from abce are $\{a b c e$, adce, adfe, adfge $\}$

From a cellular automaton $C$ to a mutation system $S$ :
Symbols ${ }_{u} a_{v}^{i}$
main symbol component a from $\Sigma$
index $i$ from $\{1,2, *\}$
left neighbor information $u$
right neighbor information $v$
Rules from substitution and deletion/insertion rules of $C$
Application of a rule of $C$ becomes a sequence of mutations:
Symbol "locks" its neighbors
Symbol then changes
Symbol "unlocks" its neighbors
Up to 14 mutations for 1 rule application

## Example of Locking

Starting with $d($ abcde $)$ :

$$
a^{1} \cdot a^{2} \cdot b^{1} \cdot b^{2} \cdot c^{1} \cdot c^{2} \cdot d^{1} \cdot d^{2} \cdot e^{1} \cdot e^{2}
$$

After several mutations:

$$
a^{1} \cdot a^{2} \cdot{ }_{-} b^{1} \cdot{ }_{b} b^{2} \cdot{ }_{b b} c^{1} \cdot c_{d d}^{2} \cdot d_{d}^{1} \cdot d_{-}^{2} \cdot e^{1} \cdot e^{2}
$$

symbol $c$ has locked its left and right neighbors and is prepared for a rule application

## Fitness Pairs for $a \times b \leftrightarrow a y b$



Fitness Pairs for $a b c \leftrightarrow a c$


## Deciding Evolvability?

Thus the problem
Input: A mutation system and two strings $s$ and $t$
Output: Can $s$ evolve to $t$ ?
is undecidable for
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## Other Questions

Random point mutations?
FSM simulation becomes a random walk: $O\left(n^{3}\right)$ steps Can be biased forward: $O\left(n^{2}\right)$ steps More generally?
Learnability?
Mutation process known \& fitness function unknown? $k$-testable languages POS limit learnable [GV 1990] Also concatenations of $k$-testable languages [KY 1994] Stochastic results?

## Thank you!

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