

Extending the Lambda Calculus: An Eager Functional Language

Syntax of the basic constructs:

canonical forms z	$cfm ::= intcfm \mid boolcfm \mid funcfm \mid tuplecfm \mid altcfm$
	$intcfm ::= 0 \mid 1 \mid -1 \mid \dots$
	$boolcfm ::= boolconst$
	$funcfm ::= \lambda var. exp$
	$tuplecfm ::= \langle cfm, \dots cfm \rangle$
	$altcfm ::= @ tag cfm$
	$boolconst ::= \mathbf{true} \mid \mathbf{false}$
	$natconst ::= 0 \mid 1 \mid 2 \mid \dots$
expressions e	$exp ::= natconst \mid -exp \mid exp + exp \mid \dots$
	$\mid boolconst \mid \neg exp \mid exp \wedge exp \mid \dots$
	$\mid \mathbf{if} \ exp \ \mathbf{then} \ exp \ \mathbf{else} \ exp$
	$\mid funcfm \mid var \mid exp \ exp$
	$\mid \langle exp, \dots exp \rangle \mid exp.tag$
	$\mid @ tag \ exp \mid \mathbf{sumcase} \ exp \ \mathbf{of} \ (exp, \dots exp)$
	$\mid \mathbf{error} \mid \mathbf{typeerror}$
labels (tags) k	$tag ::= natconst$

Syntactic Sugar: Definitions and Patterns

Syntax of derived forms:

$$\begin{array}{lcl} funcfm & ::= & \dots \mid \lambda pat. exp \\ exp & ::= & \dots \mid \mathbf{let} \, pat \equiv exp, \dots pat \equiv exp \, \mathbf{in} \, exp \\ \text{patterns } p \quad pat & ::= & var \mid \langle pat, \dots pat \rangle \end{array}$$

Semantics of the derived forms:

$$\begin{array}{lcl} \mathbf{let} \, p_1 \equiv e_1, \dots p_n \equiv e_n \, \mathbf{in} \, e & \stackrel{\text{def}}{=} & (\lambda p_1. \dots \lambda p_n. e) \, e_1 \dots e_n \\ \lambda \langle p_0, \dots p_{n-1} \rangle. e & \stackrel{\text{def}}{=} & \lambda v. \mathbf{let} \, p_0 \equiv v. 0, \dots p_{n-1} \equiv v. [n-1] \, \mathbf{in} \, e \\ & & \text{where } v \notin FV(e) \end{array}$$

Example:

$$\begin{aligned} & \mathbf{let} \, \langle x, y \rangle \equiv \langle 1, 2 \rangle \, \mathbf{in} \, x+y \\ &= (\lambda \langle x, y \rangle. x+y) \, \langle 1, 2 \rangle \\ &= (\lambda z. \mathbf{let} \, x \equiv z. 0, y \equiv z. 1 \, \mathbf{in} \, x+y) \, \langle 1, 2 \rangle \\ &= (\lambda z. (\lambda x. \lambda y. x+y) (z. 0) (z. 1)) \, \langle 1, 2 \rangle \end{aligned}$$

Semantics of the Basic Constructs

$$\frac{}{z \Rightarrow z}$$

$$\frac{e \Rightarrow [i]}{\neg e \Rightarrow [-i]}$$

$$\frac{e \Rightarrow [i] \quad e' \Rightarrow [i']}{e + e' \Rightarrow [i + i']}$$

$$\frac{e \Rightarrow \mathbf{true} \quad e' \Rightarrow z}{\mathbf{if } e \mathbf{ then } e' \mathbf{ else } e'' \Rightarrow z}$$

$$\frac{e_1 \Rightarrow z_1 \quad \dots \quad e_n \Rightarrow z_n}{\langle e_1, \dots, e_n \rangle \Rightarrow \langle z_1, \dots, z_n \rangle}$$

$$\frac{e \Rightarrow z}{@ k e \Rightarrow @ k z}$$

$$\frac{e \Rightarrow \lambda v. e_0 \quad e' \Rightarrow z' \quad (e_0/v \rightarrow z') \Rightarrow z}{e e' \Rightarrow z}$$

$$\frac{e \Rightarrow [b]}{\neg e \Rightarrow [\neg b]}$$

$$\frac{e \Rightarrow [b] \quad e' \Rightarrow [b']}{e \wedge e' \Rightarrow [b \wedge b']}$$

$$\frac{e \Rightarrow \mathbf{false} \quad e'' \Rightarrow z}{\mathbf{if } e \mathbf{ then } e' \mathbf{ else } e'' \Rightarrow z}$$

$$\frac{e \Rightarrow \langle z_0, \dots, z_{n-1} \rangle}{e.k \Rightarrow z_k} \quad \text{if } k < n$$

$$\frac{e \Rightarrow @ k z \quad e_k z \Rightarrow z'}{\mathbf{sumcase } e \mathbf{ of } (e_0, \dots, e_{n-1}) \Rightarrow z'} \quad \text{if } k < n$$

Recursion

In the untyped language we can define recursive functions using the (eager) fixed-point combinator Y_v .

But in a simple typing discipline Y_v is not typable.

One solution: a special construct for recursive definitions:

$$exp ::= \dots \mid \mathbf{letrec} \, var \equiv \lambda pat. exp, \dots \, var \equiv \lambda pat. exp \, \mathbf{in} \, exp$$

with the reduction rule

$$\frac{(\lambda v_0. \dots \lambda v_{n-1}. e) (\lambda u_0. e_0^*) \dots (\lambda u_{n-1}. e_{n-1}^*) \Rightarrow z}{\mathbf{letrec} \, v_0 \equiv \lambda u_0. e_0, \dots \, v_{n-1} \equiv \lambda u_{n-1}. e_{n-1} \, \mathbf{in} \, e \Rightarrow z}$$

$$\text{where } e_i^* \stackrel{\text{def}}{=} \mathbf{letrec} \, v_0 \equiv \lambda u_0. e_0, \dots \, v_{n-1} \equiv \lambda u_{n-1}. e_{n-1} \, \mathbf{in} \, e_i$$

$$\text{and } \{v_0, \dots\} \cap \{u_0, \dots\} = \{\}$$

Syntactic sugar:

$$\mathbf{letrec} \, v_0 p_0 \equiv e_0, \dots \, \mathbf{in} \, e \stackrel{\text{def}}{=} \mathbf{letrec} \, v_0 \equiv \lambda p_0. e_0, \dots \, \mathbf{in} \, e$$

Programming in the Eager Functional Language

Recall the reduction rule for **letrec**:

$$\frac{(\lambda v_0. \dots \lambda v_{n-1}. e) (\lambda u_0. e_0^*) \dots (\lambda u_{n-1}. e_{n-1}^*) \Rightarrow z}{\mathbf{letrec} \ v_0 \equiv \lambda u_0. e_0, \dots v_{n-1} \equiv \lambda u_{n-1}. e_{n-1} \ \mathbf{in} \ e \Rightarrow z}$$

where $e_i^* \stackrel{\text{def}}{=} \mathbf{letrec} \ v_0 \equiv \lambda u_0. e_0, \dots v_{n-1} \equiv \lambda u_{n-1}. e_{n-1} \ \mathbf{in} \ e_i$
and $\{v_0, \dots\} \cap \{u_0, \dots\} = \{\}$

An Example: Generating a List

$\text{letrec } f \equiv \lambda n. \underbrace{\text{if } n = 0 \text{ then } @ 0 \langle \rangle \text{ else } @ 1 \langle n, f (n-1) \rangle}_e \text{ in } f 3$

$(\lambda f. f 3) (\lambda n. \text{letrec } f \equiv \lambda n. e \text{ in } e)$
 $(\lambda n. \text{letrec } f \equiv \lambda n. e \text{ in } e) 3$
 $\text{letrec } f \equiv \lambda n. e \text{ in if } 3 = 0 \text{ then } @ 0 \langle \rangle \text{ else } @ 1 \langle 3, f (3-1) \rangle$
 $(\lambda f. \text{if } 3 = 0 \text{ then } @ 0 \langle \rangle \text{ else } @ 1 \langle 3, f (3-1) \rangle) (\lambda n. \text{letrec } f \equiv \lambda n. e \text{ in } e)$
 $\text{if } 3 = 0 \text{ then } @ 0 \langle \rangle \text{ else } @ 1 \langle 3, (\lambda n. \text{letrec } f \equiv \lambda n. e \text{ in } e) (3-1) \rangle$
 $\quad @ 1 \langle 3, (\lambda n. \text{letrec } f \equiv \lambda n. e \text{ in } e) (3-1) \rangle$
 $\quad \dots$
 $\quad @ 1 \langle 3, @ 1 \langle 2, @ 1 \langle 1, @ 0 \langle \rangle \rangle \rangle \rangle$
 $\quad \dots$
 $\quad \dots$
 $\quad \dots$
 $\quad \dots$
 $\quad \dots$
 $@ 1 \langle 3, @ 1 \langle 2, @ 1 \langle 1, @ 0 \langle \rangle \rangle \rangle \rangle$

Derived Data Types: Lists

Following Standard ML's syntax for lists

$$\dots e_1 :: e_2 :: \dots :: e_n :: \mathbf{nil} \dots$$
$$\dots \mathbf{case} \ e \ \mathbf{of} \ \mathbf{nil} \Rightarrow e' \mid x :: xs \Rightarrow e'' \dots$$

we can extend the language with

$exp ::= \dots \mid \mathbf{nil} \mid exp :: exp$	constructors
$\mid \mathbf{listcase} \ exp \ \mathbf{of} \ (exp, exp)$	deconstructor
$cfm ::= \mathbf{nil} \mid cfm :: cfm$	

Semantics and Encoding of Lists

Evaluation semantics:

$$\begin{array}{c} \hline \text{nil} \Rightarrow \text{nil} \\ \hline \end{array} \qquad \frac{e_1 \Rightarrow z_1 \quad e_2 \Rightarrow z_2}{e_1 :: e_2 \Rightarrow z_1 :: z_2}$$
$$\frac{e \Rightarrow \text{nil} \quad e' \Rightarrow z}{\text{listcase } e \text{ of } (e', e'') \Rightarrow z} \qquad \frac{e \Rightarrow z_1 :: z_2 \quad e'' \langle z_1, z_2 \rangle \Rightarrow z}{\text{listcase } e \text{ of } (e', e'') \Rightarrow z}$$

Encoding of lists in terms of products and sums:

$$\begin{aligned} \text{nil} &\stackrel{\text{def}}{=} @ 0 \langle \rangle \\ e_1 :: e_2 &\stackrel{\text{def}}{=} @ 1 \langle e_1, e_2 \rangle \\ \text{listcase } e \text{ of } (e', e'') &\stackrel{\text{def}}{=} \text{sumcase } e \text{ of } (\lambda_. e', e'') \end{aligned}$$

Note: LISP-style languages define a tester `null?` and deconstructors `car` and `cdr` but `car` and `cdr` are not well-defined on `nil`.

List Processing

Some functions from the list libraries of ML and Haskell
expressed in our eager functional language:

letrec append $\equiv \lambda xs. \lambda ys. \text{listcase } xs \text{ of } (ys, \lambda \langle x, xs' \rangle. x :: \text{append } xs' \text{ } ys) \text{ in}$

letrec map $\equiv \lambda f. \lambda xs. \text{listcase } xs \text{ of } (\text{nil}, \lambda \langle x, xs' \rangle. f \ x :: \text{map } f \ xs') \text{ in}$

letrec foldr $\equiv \lambda f. \lambda z. \lambda xs. \text{listcase } xs \text{ of } (z, \lambda \langle x, xs' \rangle. f \ x \ (\text{foldr } f \ z \ xs')) \text{ in}$

letrec revappend $\equiv \lambda xs. \lambda ys. \text{listcase } xs \text{ of } (ys, \lambda \langle x, xs' \rangle. \text{revappend } xs' \ (x :: ys)) \text{ in}$

let

map' $\equiv \lambda f. \text{foldr } (\lambda x. \lambda ys. f \ x :: ys) \text{ nil},$

append' $\equiv \lambda xs. \lambda ys. \text{foldr } (\lambda z. \lambda zs. z :: zs) \text{ ys } xs,$

rev $\equiv \lambda xs. \text{revappend } xs \text{ nil},$

append'' $\equiv \lambda xs. \text{revappend } (\text{rev } xs)$

in ...

Direct Denotational Semantics

Let the **predomain of values** be $V \xrightleftharpoons[\psi]{\phi} V_{int} + V_{bool} + V_{fun} + V_{tup} + V_{alt}$, where

$$\begin{array}{ll}
 V_{int} &= \mathbf{Z} & \iota_{int} &= \psi \cdot \iota_0 \in [V_{int} \rightarrow V] \\
 V_{bool} &= \mathbf{B} & \iota_{bool} &= \psi \cdot \iota_1 \in [V_{bool} \rightarrow V] \\
 V_{fun} &= [V \rightarrow V_*] & \iota_{fun} &= \psi \cdot \iota_2 \in [V_{fun} \rightarrow V] \\
 V_{tup} &= \bigcup_{n=0}^{\infty} V^n & \iota_{tup} &= \psi \cdot \iota_3 \in [V_{tup} \rightarrow V] \\
 V_{alt} &= \mathbf{N} \times V & \iota_{alt} &= \psi \cdot \iota_4 \in [V_{alt} \rightarrow V]
 \end{array}$$

with injections

and the **domain of results** is $V_* = (V + \{\text{error}, \text{typeerror}\})_{\perp}$:

$$\begin{array}{ll}
 \iota_{norm} &= \iota_{\uparrow} \cdot \iota_0 \in [V \rightarrow V_*] \\
 \text{err} &= \iota_{\uparrow}(\iota_1 \text{error}) \in V_* \\
 \text{tyerr} &= \iota_{\uparrow}(\iota_1 \text{typeerror}) \in V_*
 \end{array}$$

Then $\llbracket - \rrbracket \in \text{exp} \rightarrow E \rightarrow V_*$, where $E = \text{var} \rightarrow V$ is the predomain of environments:

$$\begin{array}{ll}
 \llbracket \text{error} \rrbracket_{\eta} &= \text{err} \\
 \llbracket \text{typeerror} \rrbracket_{\eta} &= \text{tyerr} \\
 \llbracket [n] \rrbracket_{\eta} &= \iota_{norm}(\iota_{int} n)
 \end{array}$$

Direct Denotational Semantics cont'd

$$\llbracket -e \rrbracket \eta = (\lambda n \in \mathbf{Z}. \iota_{norm}(\iota_{int}(-n)))_{int*} (\llbracket e \rrbracket \eta)$$

$$f_{int*} \stackrel{\text{def}}{=} (f_{int})_*$$

where the lift $(-)_*$ from $[V \rightarrow V_*]$ to $[V_* \rightarrow V_*]$ is

$$\begin{aligned} f_* (\iota_{norm} z) &= f z \\ f_* \text{err} &= \text{err} \\ f_* \text{tyerr} &= \text{tyerr} \end{aligned}$$

and we define a type-checking lift $(-)_{int}$ of functions from $[V_{int} \rightarrow V_*]$ to $[V \rightarrow V_*]$:

$$\begin{aligned} f_{int} (\iota_{int} n) &= f n \\ f_{int} z &= \text{tyerr} \text{ for other } z \in V \end{aligned}$$

$(-)_{bool}$, $(-)_{fun}$, $(-)_{tup}$, and $(-)_{alt}$ are defined similarly, and from them $(-)_{bool*}$ etc.

Denotational Semantics of Binary Operations

$$\llbracket e+e' \rrbracket \eta = (\lambda n \in \mathbf{Z}. (\lambda n' \in \mathbf{Z}. \iota_{norm}(\iota_{int}(n + n'))))_{int*} (\llbracket e' \rrbracket \eta)_{int*} (\llbracket e \rrbracket \eta)$$

Note:

- this semantics evaluates $e+e'$ from left to right
- the symmetry of $+$ from the expression language is broken
- either of e and e' may fail (err or tyerr) or diverge

If $\llbracket e \rrbracket \eta = \perp$ and $\llbracket e' \rrbracket \eta = \text{tyerr}$, then

$$\llbracket e+e' \rrbracket \eta = \perp$$

$$\llbracket e'+e \rrbracket \eta = \text{tyerr}$$

Runtime Errors

One approach to introducing types:

Consider sets of values closed under the semantics of certain language constructs,
e.g. integers are closed under the semantics of $+$, $-$, $*$.

But operations like the division $\div$ are not defined on all values in a type.

We could consider more fine-grained types, e.g. the set $\{\langle n, n' \rangle \mid n \in \mathbf{Z}, n' \in \mathbf{Z} - \{0\}\}$,
but a type system which infers them would be undecidable.

The prevailing approach is to

defer the check for definedness until run time, and signal an error if undefined:

$$\llbracket e \div e' \rrbracket \eta = (\lambda n \in \mathbf{Z}. (\lambda n' \in \mathbf{Z}. \text{if } n' = 0 \text{ then err} \\ \text{else } \iota_{norm}(\iota_{int}(n \div n'))))_{int*} (\llbracket e' \rrbracket \eta))_{int*} (\llbracket e \rrbracket \eta)$$

Functions, Tuples, Alternatives

$$\llbracket v \rrbracket \eta = \iota_{norm} (\eta v)$$

$$\llbracket e e' \rrbracket \eta = (\lambda f \in [V_{fun} \rightarrow V_*]. (\lambda z \in V. f z)_* (\llbracket e' \rrbracket \eta))_{fun*} (\llbracket e \rrbracket \eta)$$

$$\llbracket \lambda v. e \rrbracket \eta = \iota_{norm} (\iota_{fun} (\lambda z \in V. \llbracket e \rrbracket [\eta \mid v : z]))$$

$$\begin{aligned} \llbracket \langle e_1, \dots e_n \rangle \rrbracket \eta &= (\lambda z_1 \in V. \dots (\lambda z_n \in V. \iota_{norm} (\iota_{tup} \langle z_1, \dots z_n \rangle)))_* \\ &\quad (\llbracket e_n \rrbracket \eta \dots)_* (\llbracket e_1 \rrbracket \eta) \end{aligned}$$

$$\llbracket e.k \rrbracket \eta = (\lambda z \in V^*. \text{if } k \in \text{dom } z \text{ then } \iota_{norm} (z k) \text{ else tyerr})_{tup*} (\llbracket e \rrbracket \eta)$$

$$\llbracket @ k e \rrbracket \eta = (\lambda z \in V. \iota_{norm} (\iota_{alt} \langle k, z \rangle))_* (\llbracket e \rrbracket \eta)$$

$$\begin{aligned} \llbracket \text{sumcase } e \text{ of } (e_0, \dots e_{n-1}) \rrbracket \eta \\ = (\lambda \langle k, z \rangle \in \mathbf{N} \times V. \text{if } k < n \text{ then } (\lambda f \in [V \rightarrow V_*]. f z)_{fun*} (\llbracket e_k \rrbracket \eta)) \end{aligned}$$

Semantics of letrec

The reduction rule for **letrec**

$$\frac{(\lambda v_0. \dots \lambda v_{n-1}. e) (\lambda u_0. e_0^*) \dots (\lambda u_{n-1}. e_{n-1}^*) \Rightarrow z}{\mathbf{letrec} \ v_0 \equiv \lambda u_0. e_0, \dots v_{n-1} \equiv \lambda u_{n-1}. e_{n-1} \ \mathbf{in} \ e \Rightarrow z}$$

where $e_i^* \stackrel{\text{def}}{=} \mathbf{letrec} \ v_0 \equiv \lambda u_0. e_0, \dots v_{n-1} \equiv \lambda u_{n-1}. e_{n-1} \ \mathbf{in} \ e_i$
 and $\{v_0, \dots\} \cap \{u_0, \dots\} = \{\}$

suggests that the semantic equation is

$$\llbracket \mathbf{letrec} \ v_1 \equiv \lambda u_1. e_1, \dots v_n \equiv \lambda u_n. e_n \ \mathbf{in} \ e \rrbracket \eta = \llbracket e \rrbracket \eta'$$

where $\eta' = [\eta \mid v_1 : \llbracket \lambda u_1. e_1 \rrbracket \eta' \mid \dots \mid v_n : \llbracket \lambda u_n. e_n \rrbracket \eta']$

The last equation is ill-formed: $\eta' \in E = \text{var} \rightarrow V$, while $\llbracket \lambda u_1. e_1 \rrbracket \eta' \in V_*$,
 however the r.h.sides are restricted syntactically to be **values**, and

$$\llbracket \lambda u_i. e_i \rrbracket \eta' = \iota_{norm} (\iota_{fun} (\lambda u_i \in V. \llbracket e_i \rrbracket [\eta' \mid u_i : z]))$$

so we can use directly the corresponding element of V :

$$\eta' = [\eta \mid v_1 : \iota_{fun} (\lambda u_1 \in V. \llbracket e_1 \rrbracket [\eta' \mid u_1 : z]) \mid \dots \mid v_n : \iota_{fun} (\lambda u_n \in V. \llbracket e_n \rrbracket [\eta' \mid u_n : z])]$$

Semantics of letrec cont'd

One remaining problem: the equation

$$\eta' = [\eta \mid v_1 : \iota_{fun} (\lambda u_1 \in V. \llbracket e_1 \rrbracket [\eta' \mid u_1 : z]) \mid \dots \mid v_n : \iota_{fun} (\lambda u_n \in V. \llbracket e_n \rrbracket [\eta' \mid u_n : z])]$$

cannot be solved using the least fixed-point operator $\mathbf{Y}$

because $E = var \rightarrow V$ is a predomain, not a domain.

But we can break the loop at a different point, where the value is in a domain:

in the case of $n = 1$

$$\eta' = [\eta \mid v : \iota_{fun} f]$$

$$\text{where } f = \lambda u \in V. \llbracket e \rrbracket [\eta' \mid u : z]$$

$$\text{hence } f = \lambda u \in V. \llbracket e \rrbracket [\eta \mid v : \iota_{fun} f \mid u : z]$$

f is in V_{fun} , which is a domain, hence

$$f = \mathbf{Y}_{V_{fun}} (\lambda g \in V_{fun}. \lambda u \in V. \llbracket e \rrbracket [\eta \mid v : \iota_{fun} g \mid u : z]).$$