

# On Optimal Diversity in Network-Coding-Based Routing in Wireless Networks

**Qiao Xiang**<sup>1</sup>, Hongwei Zhang<sup>2</sup>, Jianping Wang<sup>3</sup>,  
Guoliang Xing<sup>4</sup>, Shan Lin<sup>5</sup> and Xue Liu<sup>1</sup>

<sup>1</sup>School of Computer Science, McGill University, Canada

<sup>2</sup>Department of Computer Science, Wayne State University, US

<sup>3</sup>Department of Computer Science, City University of Hong Kong, China

<sup>4</sup>Department of Computer Science and Engineering, Michigan State University, US

<sup>5</sup>Department of Electrical and Computer Engineering, Stony Brook University, US

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# Outline

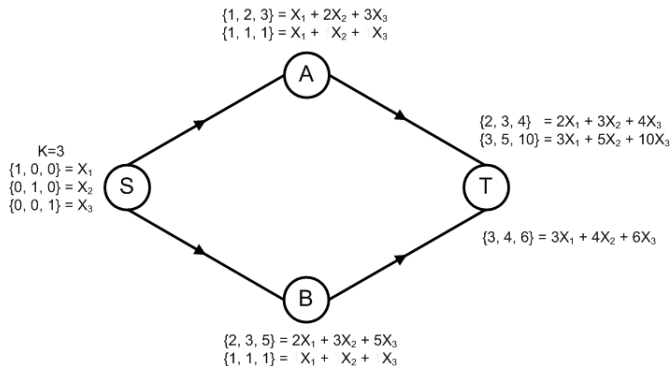
- 1 Introduction
- 2 System Model and Problem Definition
- 3 An Analytical Framework for NC-based Routing
- 4 Optimizing Diversity of NC-based Routing
- 5 ONCR: an Optimal NC-Based Routing Protocol
- 6 Performance Evaluation
- 7 Conclusion and Future Work

# Introduction

## Network Coding (NC)

- First proposed in wired networks
- Provide benefits on throughput and robustness
- Naturally extended into wireless environment
- Network-Coding-based routing, e.g., MORE, CodeOR, CCACK and MIXIT

# Network-Coding-Based Routing: An Example



## Differences from Opportunistic Routing

- Packets are divided into batches and encoded
- No communication needed between forwarders
  - Every node broadcasts

# Network-Coding-Based Routing Protocols

Three key challenges:

- How to select the set of forwarders?

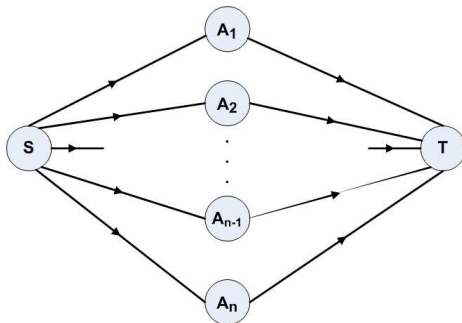
## **Routing Diversity Control**

- When to stop broadcasting? **ACK Scheme**
- When to start broadcasting? **Rate Control**

Existing protocols:

- Focus on improving network throughput
- Various ACK and rate control schemes are proposed
- **Routing diversity control is overlooked**

# Routing Diversity



Utilizing all routing diversity

- High contention and collision
- Compromising network throughput and data delivery cost
- Not suitable for resource-constrained wireless networks, e.g., sensor networks

# Our Contribution

- An analytical framework for estimating the cost of NC-based routing
- A greedy optimal algorithm to minimize the cost of NC-based routing
- ONCR, a fully distributed minimal cost NC-based routing protocol
- Performance improvement of ONCR over state-of-the-art protocols on sensor testbed

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# System Model and Problem Definition

## System model

- A directed graph  $G = (V, E)$  with one source  $S$  and one destination  $T$
- Edge  $(i, j) \in E$  with link reliability  $P_{ij} = \frac{1}{ETX_{ij}}$
- Node  $i$  has a forwarder candidate set  $FCS_i$ , i.e., one-hop neighbors of  $i$

# System Model and Problem Definition

## EST-NC Problem

- Let forwarder set  $FS_i = FCS_i$  for each node  $i$
- **Estimate** the total transmission cost to deliver  $K$  linear independent packets from  $S$  to  $T$ .

## MIN-NC Problem

- Determine the forwarder set  $FS_i$  for each node  $i$
- **Minimize** the total transmission cost to deliver  $K$  linear independent packets from  $S$  to  $T$

# Outline

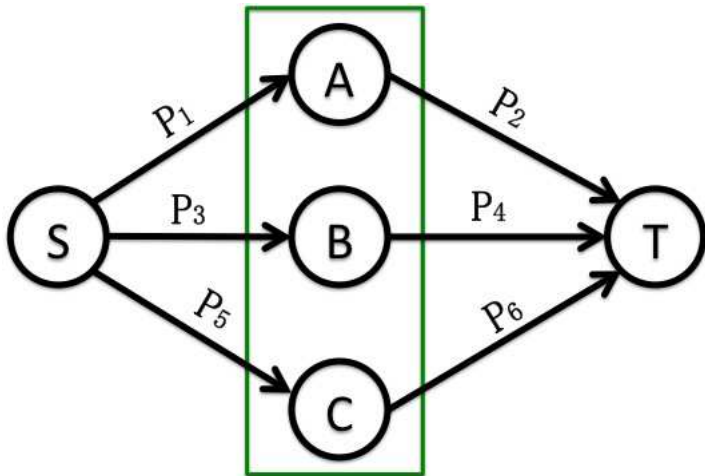
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# An Analytical Framework for NC-based Routing

How does it work?

- 1 Define the whole forwarder set as a **virtual node**  $V_S$
- 2 Compute the transmission cost from the  $S$  to  $V_S$
- 3 Sort forwarders in non-descending order of their transmission cost
- 4 Each forwarder only forwards its **effective load** with corresponding cost
- 5 Sum up all transmission cost

## An example



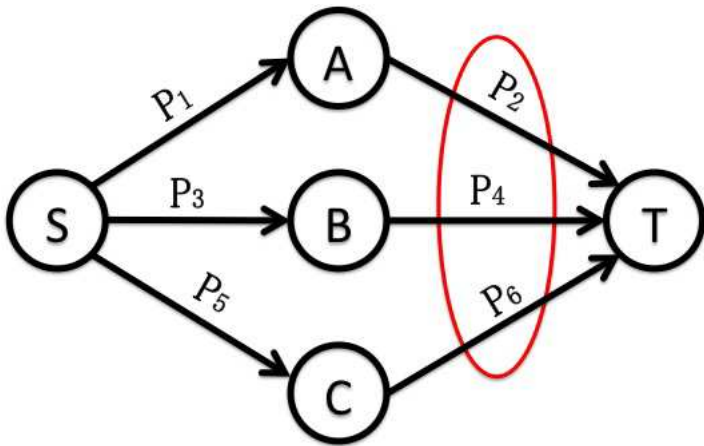
$$C_{SV_S}(K) = \frac{K}{P_{SV_S}} = \frac{K}{1 - (1 - P_1)(1 - P_3)(1 - P_5)}$$

# An Analytical Framework for NC-based Routing

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## An example



$$P_2 \geq P_4 \geq P_6$$

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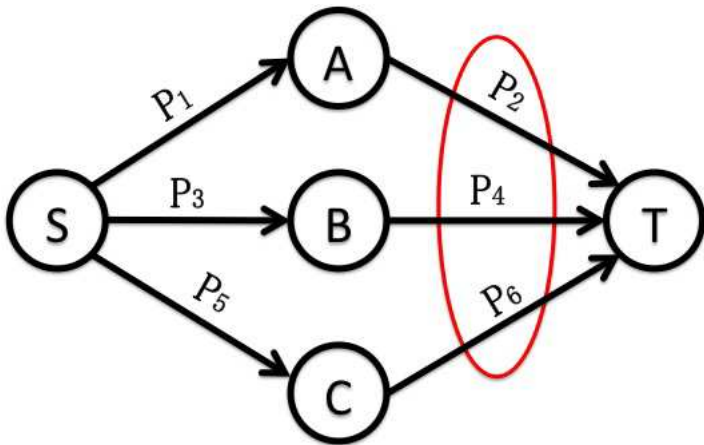


# An Analytical Framework for NC-based Routing

## Definition

For a node  $j$  in the forwarder candidate set  $FCS_i$ , the **effective load**  $L_j$  is defined as the number of linear independent packets received by  $j$  but none of the nodes in  $FCS_i$  that has lower transmission cost to the destination.

## An example



$$P_2 \geq P_4 \geq P_6$$

## Packets Received

$$K_A^S = \frac{KP_1}{1 - (1 - P_1)(1 - P_3)(1 - P_5)}$$

$$K_B^S = \frac{KP_3}{1 - (1 - P_1)(1 - P_3)(1 - P_5)}$$

$$K_C^S = \frac{KP_5}{1 - (1 - P_1)(1 - P_3)(1 - P_5)}$$

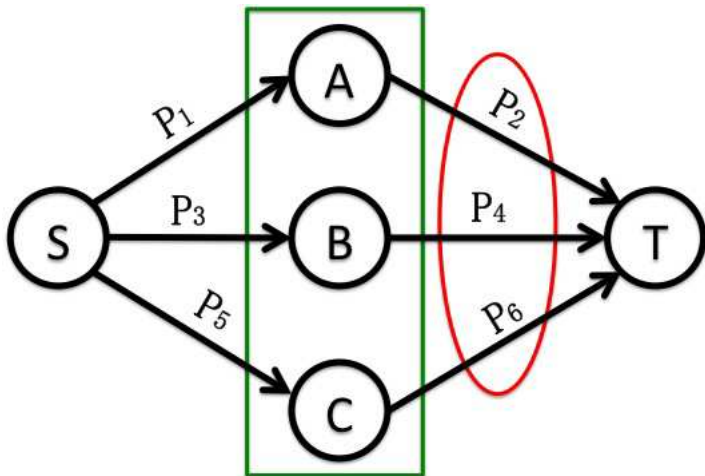
## Effective Load

$$L_A = K_A^S$$

$$L_B = K_B^{S'} = K \frac{K_B^S}{K} (1 - P_1) = K_B^S (1 - P_1)$$

$$L_C = K_C^{S'} = K_C^S (1 - P_1)(1 - P_3)$$

## An example



$$\begin{aligned}
 C_S(K) &= C_{SD_S}(K) + C_{AT}(L_A) + C_{BT}(L_B) + C_{CT}(L_C) \\
 &= \frac{K}{1 - (1 - P_1)(1 - P_3)(1 - P_5)} \\
 &\quad + \frac{L_A}{P_2} + \frac{L_B}{P_4} + \frac{L_C}{P_6} \\
 &= \frac{K}{1 - (1 - P_1)(1 - P_3)(1 - P_5)} \\
 &\quad \cdot \left[ 1 + \frac{P_1}{P_2} + \frac{P_3(1 - P_1)}{P_4} + \frac{P_5(1 - P_1)(1 - P_3)}{P_6} \right]
 \end{aligned}$$

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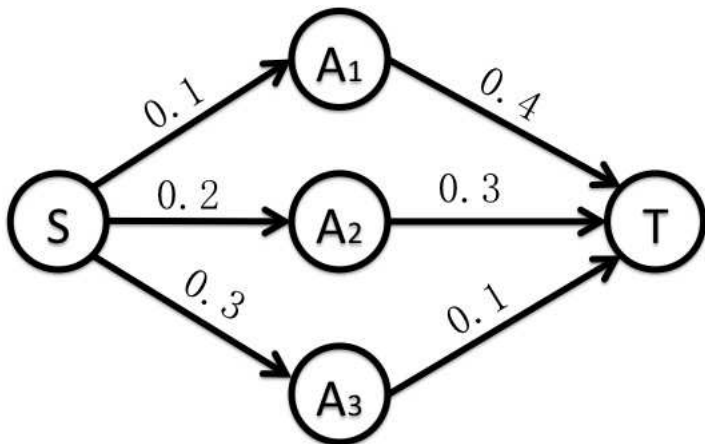
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# Optimizing Diversity of NC-based Routing

## A greedy algorithm

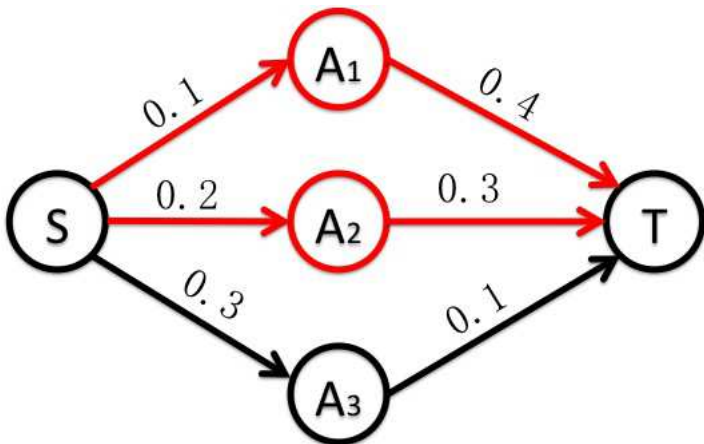
- 1 Sort forwarder candidates in non-descending order of their transmission cost;
- 2 Select the best candidate remaining into forwarder set;
- 3 Keep it in the set if the total transmission cost can be reduced, go back to last step;
- 4 Stop if the total transmission cost cannot be reduced.

# Optimizing Diversity of NC-based Routing





## Optimizing Diversity of NC-based Routing



The optimal forwarder set is  $\{A_1, A_2\}$

$$\begin{aligned}
 C_{\{A_1, A_2\}}(K) &= \frac{K}{1 - (1 - 0.1)(1 - 0.2)} \cdot \left[1 + \frac{0.1}{0.4} + \frac{0.2(1 - 0.1)}{0.3}\right] \\
 &= \frac{K}{0.28} \cdot \left(1 + \frac{1}{4} + \frac{0.18}{0.3}\right) \\
 &= 6.6071K
 \end{aligned}$$

$$\begin{aligned}
 C_{\{A_1, A_2, A_3\}}(K) &= \frac{K}{1 - (1 - 0.1)(1 - 0.2)(1 - 0.3)} \\
 &\quad \cdot \left[1 + \frac{0.1}{0.4} + \frac{0.2(1 - 0.1)}{0.3} + \frac{0.3(1 - 0.1)(1 - 0.2)}{0.1}\right] \\
 &= \frac{K}{0.496} \cdot \left(1 + \frac{1}{4} + \frac{0.18}{0.3} + \frac{0.216}{0.1}\right) \\
 &= 8.0847K > C_{\{A_1, A_2\}}(K)
 \end{aligned}$$

# Theorem of Optimality

## Theorem

*Given a node  $S$  and its forwarder candidate set  $D_S = \{A_1, A_2, \dots, A_M\}$ , the proposed greedy algorithm yields the minimal transmission cost to the destination node of NC-based routing and the corresponding forwarder set.*

We proved this theorem by contradiction.

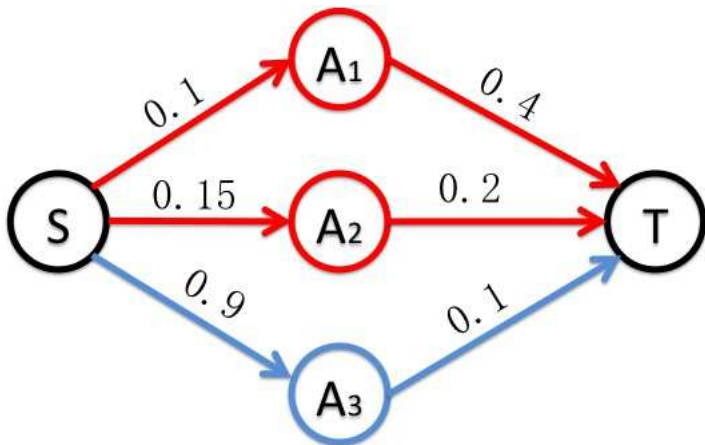
# Properties of Optimal Diversity

## Theorem

Given a node  $S$  with a candidate set  $FCS_S$  of  $M$  forwarders, the optimal forwarder set  $FS_S$  computed in the proposed greedy algorithm does not always contain node  $A^*$  where  $A^* \in FCS_S$  and  $\frac{K}{P_{SA^*}} + C_{A^*}(K) \leq \frac{K}{P_{SA_i}} + C_{A_i}(K)$  for any  $i \in FCS_S / \{A^*\}$ .

Shortest single path routing is not always in the optimal routing diversity.

# Properties of Optimal Diversity



The optimal forwarder set is  $\{A_1, A_2\}$

$$\begin{aligned}
 C_{\{A_1, A_2\}}(K) &= \frac{K}{1 - (1 - 0.1)(1 - 0.15)} \cdot \left[1 + \frac{0.1}{0.4} + \frac{0.15(1 - 0.1)}{0.2}\right] \\
 &= \frac{K}{0.235} \cdot \left(1 + \frac{1}{4} + \frac{0.135}{0.2}\right) \\
 &= 8.1915K
 \end{aligned}$$

$$\begin{aligned}
 C_{\{A_1, A_2, A_3\}}(K) &= \frac{K}{1 - (1 - 0.1)(1 - 0.15)(1 - 0.9)} \\
 &\quad \cdot \left[1 + \frac{0.1}{0.4} + \frac{0.15(1 - 0.1)}{0.2} + \frac{0.9(1 - 0.1)(1 - 0.15)}{0.1}\right] \\
 &= \frac{K}{0.9235} \cdot \left(1 + \frac{1}{4} + \frac{0.135}{0.2} + \frac{0.6885}{0.1}\right) \\
 &= 9.5398K > C_{\{A_1, A_2\}}(K)
 \end{aligned}$$

# Properties of Optimal Diversity

## Theorem

Given a node  $S$  with a candidate set  $FCS_S$  of  $M$  forwarders, the optimal transmission cost  $C_S^*(K)$  computed in the proposed greedy algorithm is always lower than or equal to  $\frac{K}{P_{SA^*}} + C_{A^*}(K)$  where  $A^* \in FCS_S$  and  $\frac{K}{P_{SA^*}} + C_{A^*}(K) \leq \frac{K}{P_{SA_i}} + C_{A_i}(K)$  for any  $i \in FCS_S / \{A^*\}$ .

Cost of optimal NC-based routing is upper bounded by shortest single path routing.

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# ONCR: an Optimal NC-Based Routing Protocol

- **Routing Engine:** a distributed implementation of the proposed greedy algorithm
- **M-NSB:** a coded ACK scheme to solve the collective space problem with lower implementation complexity than CCACK
- **Rate Control:** nodes forward a flow after receiving a load-dependent threshold of packets to 1) reduce contention and 2) avoid potential linear dependence between forwarded packets

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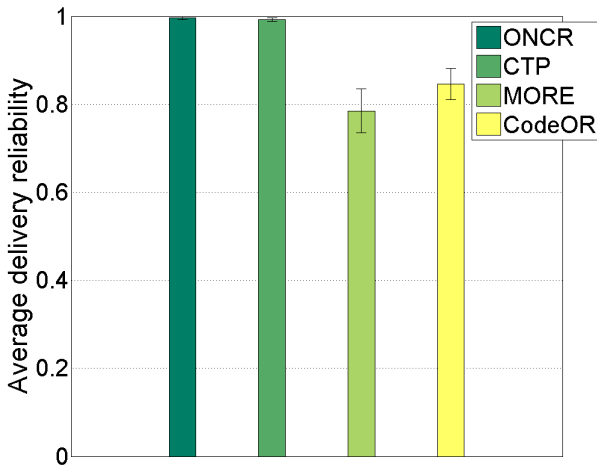
# Performance Evaluation

## Experiment setting up

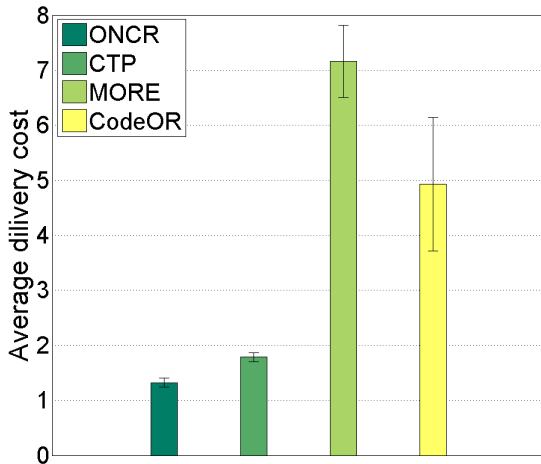
- Testbed: NetEye, a 130-sensor testbed at Wayne State University
- Topology: 40 nodes, 10/20 are source nodes, 1 sink node
- Protocols compared: *ONCR*, CTP, MORE, CodeOR
- Traffic pattern: 3-second periodic traffic
- Metrics: delivery reliability, delivery cost, goodput and routing diversity



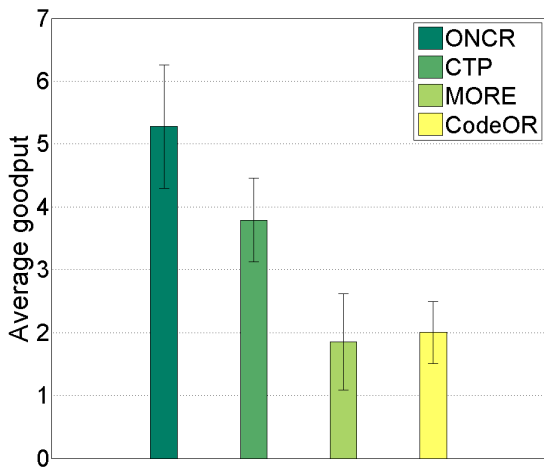
# 10-source: delivery reliability



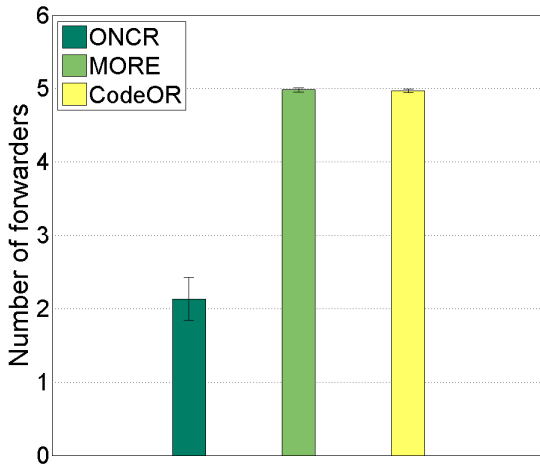
# 10-source: delivery cost



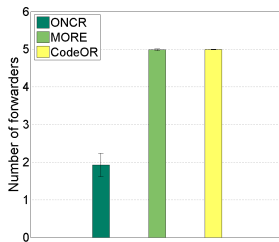
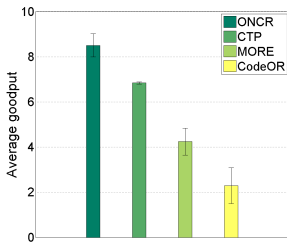
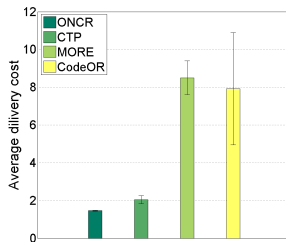
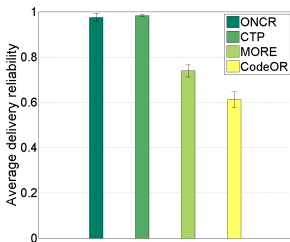
# 10-source: goodput



# 10-source: routing diversity



## 20-source





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# Conclusion and Future Work

## Conclusion

- Analytical framework on cost of NC-based routing
- A greedy minimal cost algorithm for NC-based routing
- ONCR: an optimal NC-based routing protocol
- Experimental evaluation on sensor network testbed

## Future Work

- How to utilize the remaining routing diversity?
  - NC-based protection

**Algorithm 1** Compute the transmission cost of NC-based routing for the current node  $S$  with  $M$  forwarder candidates

- 1: Input: current node  $S$ ,  $D_S = \{A_1, A_2, \dots, A_M\}$
- 2: Output:  $C_S(1)$ : the expected number of transmissions to deliver 1 packet from  $S$  to  $T$
- 3: Sort nodes in  $D_S$  by a non-descending order of  $C_{A_i}(1)$ , where  $i = 1, 2, \dots, M$ .
- 4: Sorted nodes are labeled as  $\{A'_1, A'_2, \dots, A'_M\}$
- 5:  $C_{SD_S}(1) = \frac{1}{1 - \prod_{i=1}^M (1 - P_{SA'_i})}$
- 6:  $L_{A'_1} = C_{SD_S}(1) P_{SA'_1}$
- 7:  $F = 1 - P_{SA'_1}$
- 8: **for**  $i \rightarrow 2, 3, \dots, M$  **do**
- 9:      $L_{A'_i} = C_{SD_S}(1) P_{SA'_i} F$
- 10:      $C_{A'_i}(L_{A'_i}) = L_{A'_i} C_{A'_i}(1)$
- 11:      $F = F(1 - P_{SA'_i})$
- 12: **end for**
- 13:  $C_S(1) = C_{SD_S}(1) + \sum_{i=1}^M C_{A'_i}(L_{A'_i})$

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**Algorithm 2** Compute the minimal transmission cost of NC-based routing and the corresponding  $FS$  for the input node  $S$  with  $M$  forwarders

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- 1: Input: node  $S$ ,  $D_S = \{A_1, A_2, \dots, A_M\}$ ,  $FS_S = \emptyset$
  - 2: Output:  $C_S^*(1)$ : the minimal transmission cost to deliver 1 packet from  $S$  to  $T$
  - 3: Sort nodes in  $D_S$  by a non-descending order of  $C_{A_i}(1)$ , where  $i = 1, 2, \dots, M$ .
  - 4: Sorted nodes are labeled as  $\{A'_1, A'_2, \dots, A'_M\}$
  - 5:  $FS_S = \{A'_1\}$
  - 6:  $C_S^*(1) = \frac{1}{P_{SA'_1}} + C_{A'_1}(1)$
  - 7: **for**  $i \rightarrow 2, 3, \dots, M$  **do**
  - 8:     Run Algorithm 1 with input  $S$  and  $D_S = \{A'_1, \dots, A'_i\}$
  - 9:     Get the result as  $C_S^{new}(1)$
  - 10:    **if**  $C_S^{new}(1) > C_S^*(1)$  **then**
  - 11:       break
  - 12:    **else**
  - 13:        $FS_S = FS_S \cup A'_i$
  - 14:        $C_S^*(1) = C_S^{new}(1)$
  - 15:    **end if**
  - 16: **end for**
-