



# Reusable Software Infrastructure for Stream Processing

**Robert Soulé**

*New York University*

*Thesis Defense*

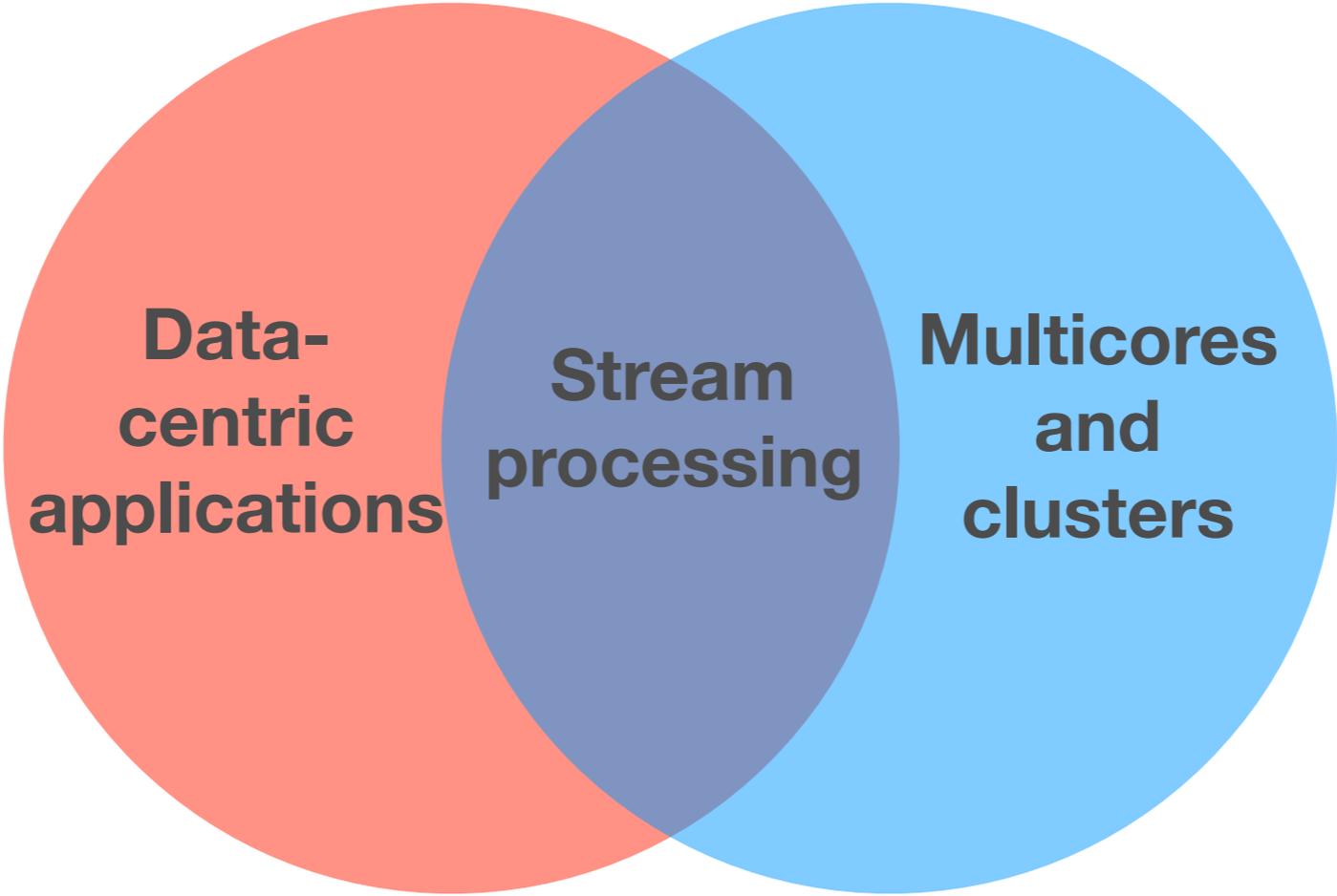


# Stream Processing Is Everywhere

- 🔹 **Netflix accounts for ~30% of downstream internet traffic.**
- 🔹 **Algorithmic trading accounts for 50-60% of all trades in the U.S.**
- 🔹 **A streaming application can predict the onset of sepsis in premature babies 24 hours sooner than experienced ICU nurses.**



# At the Intersection of Two Trends



**Languages and optimizations need to adapt**



# Streaming Languages and Optimizations

Streaming Languages	Streaming Optimizations
<p><b>CQL, StreamIt, Sawzall, Hancock, Gigascope, Lime, etc.</b></p> <p><b>Represent an application as a graph of streams and operators</b></p> <p><b>Tailored to the needs of a particular application domain</b></p>	<p><b>Fusion, fission, placement, reordering, etc.</b></p> <p><b>Maximize utilization of available resources</b></p> <p><b>Often re-write the data-flow graph</b></p>



# Stream Processing Needs Infrastructure

- ❖ Benefits of a *intermediate language (IL)* are well known
  - ❖ Increase portability
  - ❖ Share optimizations
- ❖ Streaming needs its own intermediate language
  - ❖ Need to reason across machines
  - ❖ Support different optimizations



# Hypothesis

**An intermediate language designed to meet the requirements of stream processing can serve as a common substrate for optimizations; assure implementation correctness; and reduce overall implementation effort.**



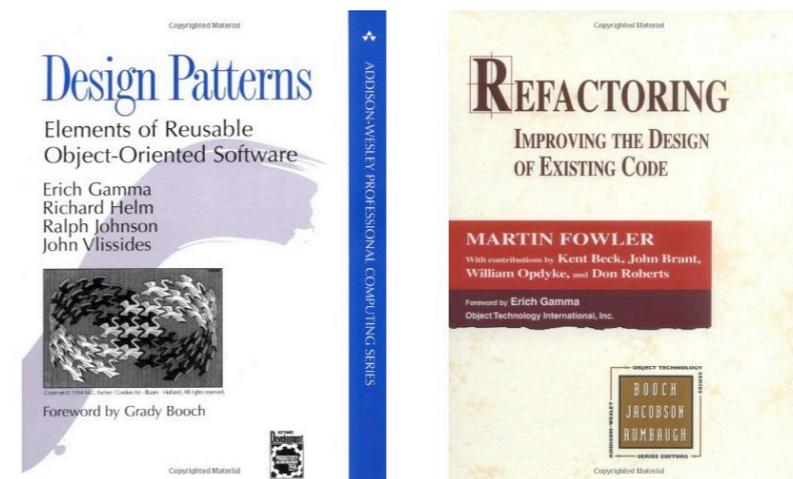
# Thesis Components

- ❏ **A catalog of streaming optimizations identifies the requirements for a streaming IL**
- ❏ **A minimal calculus provides a general, formal semantics and enables reasoning about correctness**
- ❏ **An intermediate language provides a practical realization of the calculus**



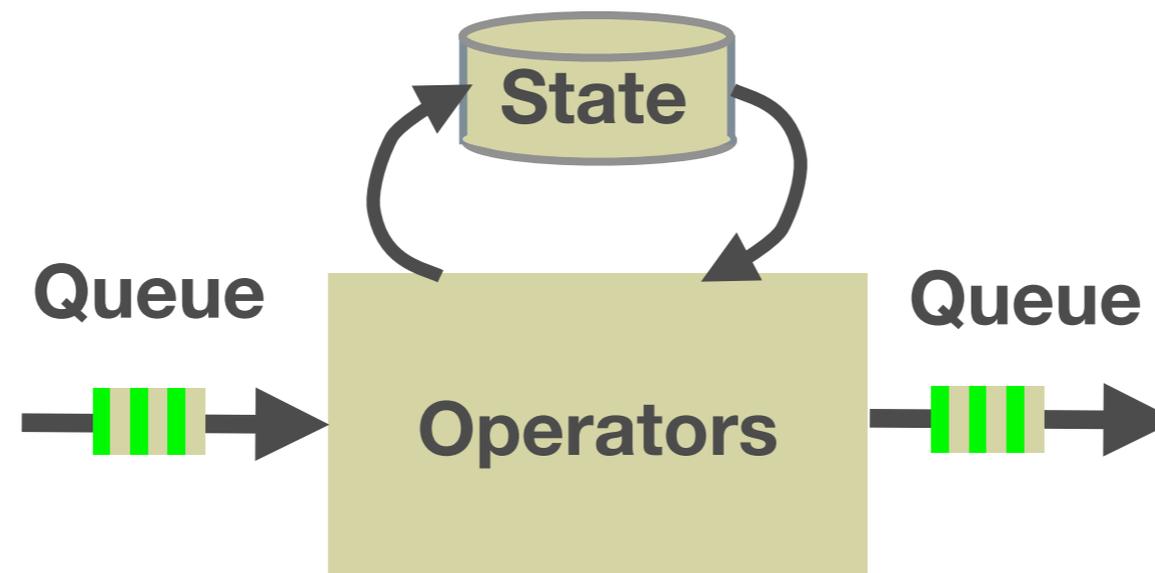
# Optimizations Catalog

*A catalog, but organized  
as a reference.*



- ❖ Resolves conflicting terminology (e.g. kernel = operator = box)
- ❖ Makes assumptions explicit (e.g. stream graph is a forrest)
- ❖ Identifies the requirements for implementing optimizations

# Brooklet Calculus

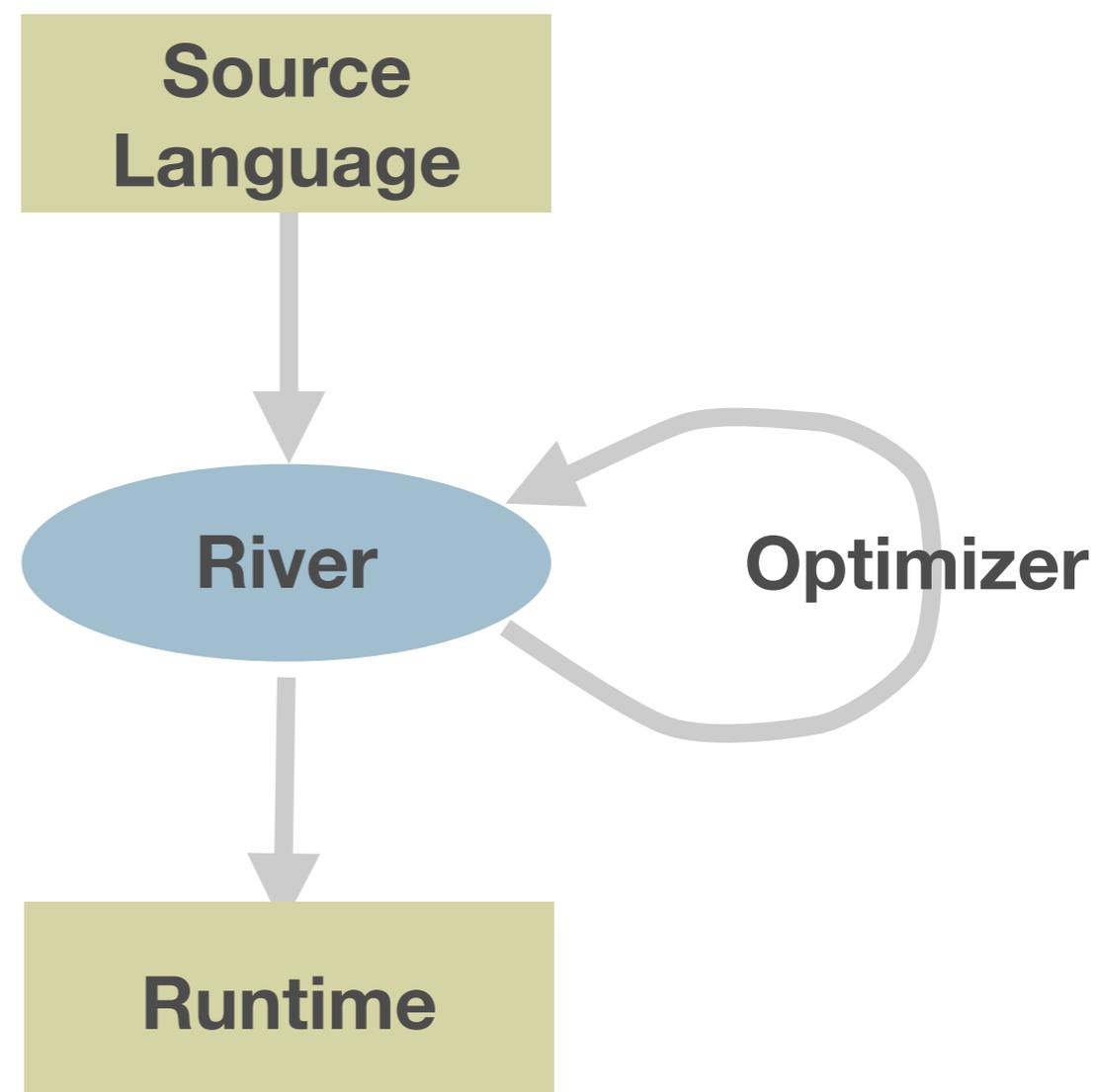


- Names operators and queues: fundamental components
- Explicit state and communication: need machinery
- Non-deterministic execution: reality of distributed systems
- Establishes a formal foundation for an IL



# River IL

- ⦿ **Decouples front-ends from optimizations: portability and reuse**
- ⦿ **Concretizes Brooklet: operator implementations, concurrent execution, back-pressure**
- ⦿ **Modular parsers, type-checkers, code generators**
- ⦿ **Practical IL for streaming with a formal semantics**



# Evaluation

Condition	Experiment
Meets the requirements of stream processing	Front-ends for CQL, StreamIt, Sawzall and benchmark applications
Serves as a common substrate for optimization	Operator fusion, fission, and placement optimizations
Assures implementation correctness	Formal translations of three languages, Safety proofs for three optimizations
Reduces overall implementation effort	Language agnostic optimizations applied to benchmarks illustrates reuse



# Contributions

- ❖ **A systematic exploration of the requirements for a streaming IL**
- ❖ **A formal foundation for the design of an IL**
- ❖ **An IL with a rigorously defined semantics that decouples front-ends from optimizations**
- ❖ **The first formal semantics for Sawzall**
- ❖ **The first distributed implementation of CQL**



# Outline of This Talk

- ❖ **A Catalog of Streaming Optimizations**
- ❖ **The Brooklet Core Calculus**
- ❖ **River: From a Calculus to an Execution Environment**
- ❖ **Related Work**
- ❖ **Outlook and Conclusions**



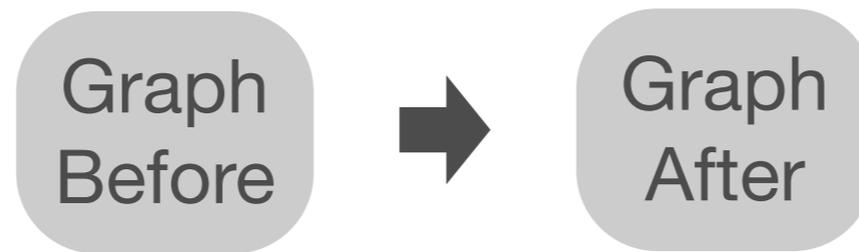
# Optimizations Catalog

## Identifying the Requirements for a Streaming IL



# Optimization Name

## Key Idea



### Safety

- Preconditions for correctness

### Profitability

throughput  
(higher is better)

- Micro-benchmark
- Runs on System S
- Relative numbers

Central trade-off factor

### Variations

- Most influential published papers

### Dynamism

- How to optimize at runtime

*Items highlighted in red will be addressed in this talk*



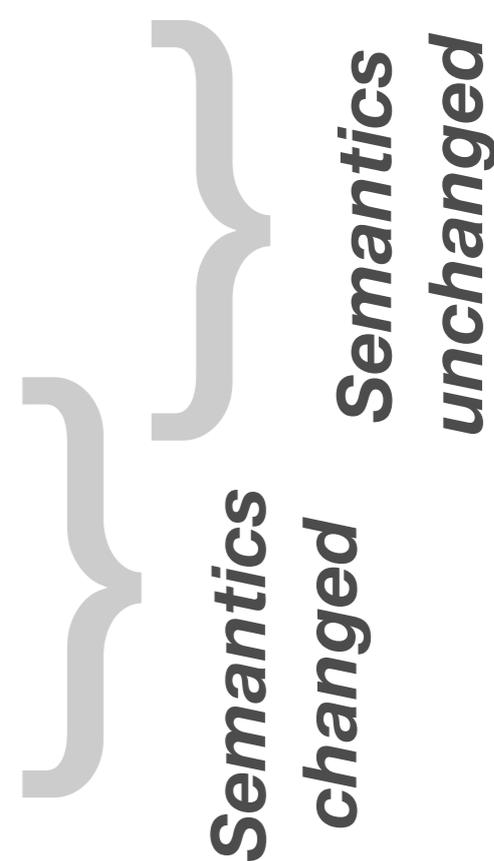
# List of Optimizations

## *Graph changed*

- Operator reordering
- Redundancy elimination
- Operator separation
- Fusion
- Fission

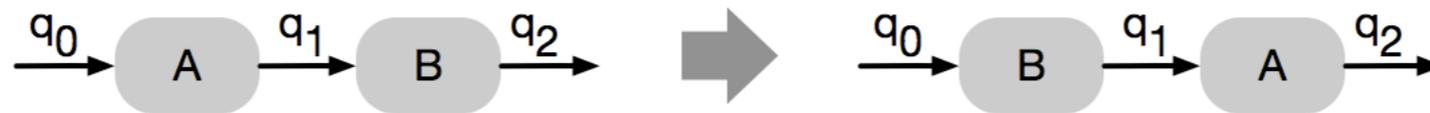
## *Graph unchanged*

- Load balancing
- Placement
- State sharing
- Batching
- Algorithm Selection
  
- Load shedding



# Operator Reordering

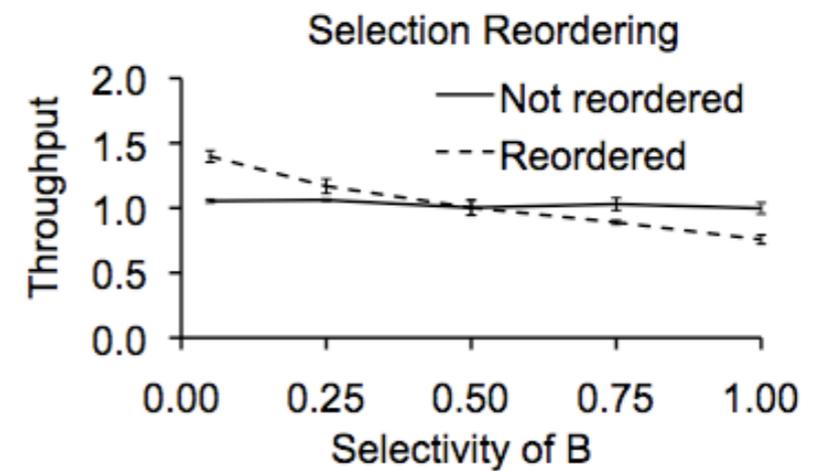
*Move more selective operators upstream to filter data early.*



## Safety

- ⊞ Commutative
- ⊞ Attributes available

## Profitability



## Variations

- ⊞ Algebraic
- ⊞ Commutativity analysis
- ⊞ Synergies, e.g. fusion, fission

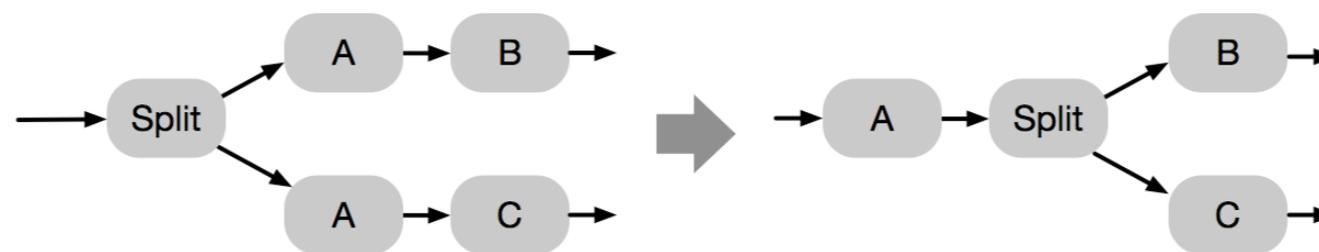
## Dynamism

⊞ Eddy



# Redundancy Elimination

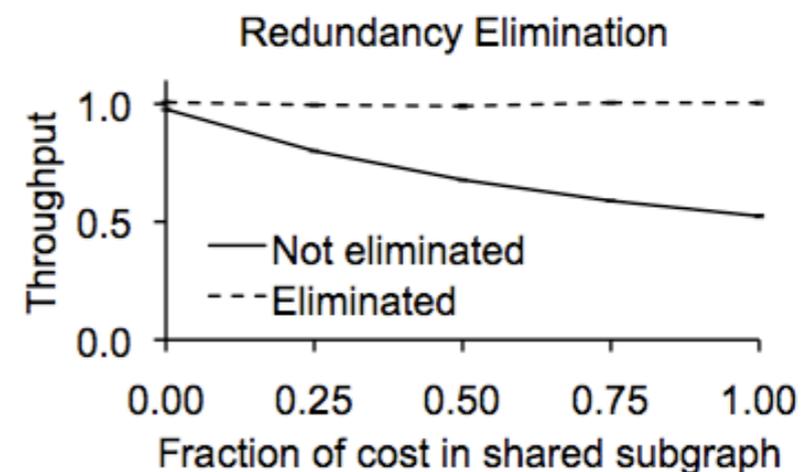
Combine or remove redundant operators.



## Safety

- Same algorithm
- Data available

## Profitability



## Variations

- Many-query optimization**
- Eliminate no-op
- Eliminate idempotent op
- Eliminate dead subgraph**

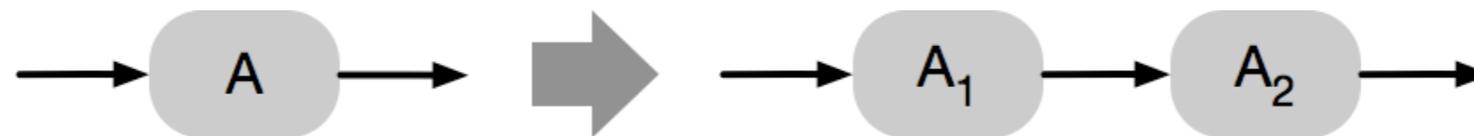
## Dynamism

- In many-query case: share at submission time



# Operator Separation

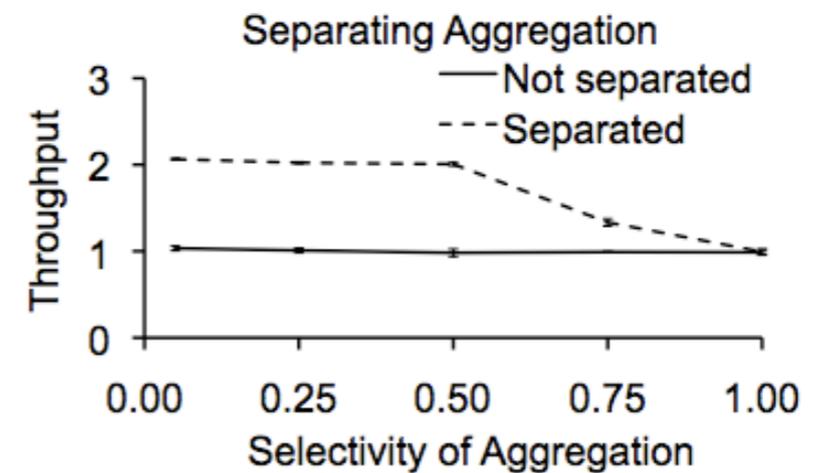
*Break coarse-grained operators into finer steps.*



## Safety

⊞ Ensure  $A_1(A_2(s)) = A(s)$

## Profitability



## Variations

- ⊞ Algebraic
- ⊞ Using special API
- ⊞ Dependency analysis
- ⊞ **Enable Reordering**

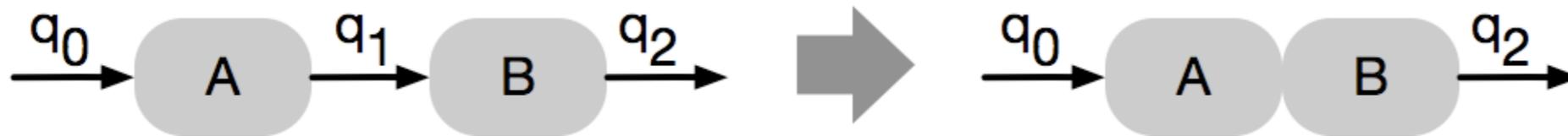
## Dynamism

⊞ N/A



# Fusion

*Avoid the overhead of data serialization and transport.*



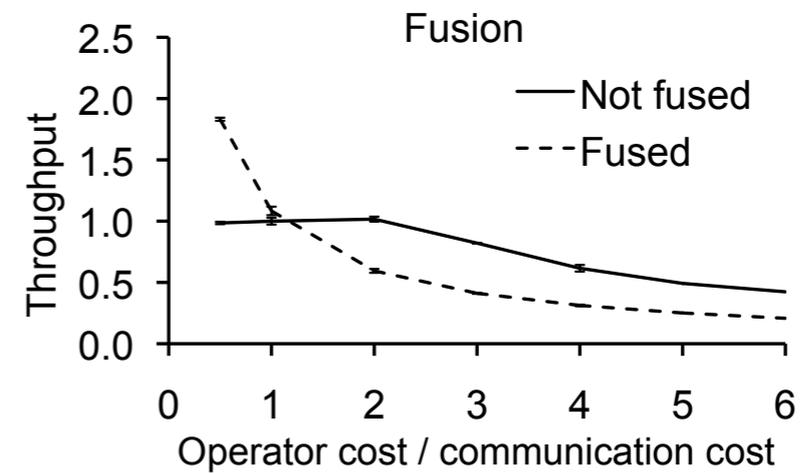
## Safety

- ⊞ Have right resources
- ⊞ Have enough resources
- ⊞ No infinite recursion

## Variations

- ⊞ **Single vs. multiple threads**
- ⊞ **Fusion enables traditional compiler optimizations**

## Profitability



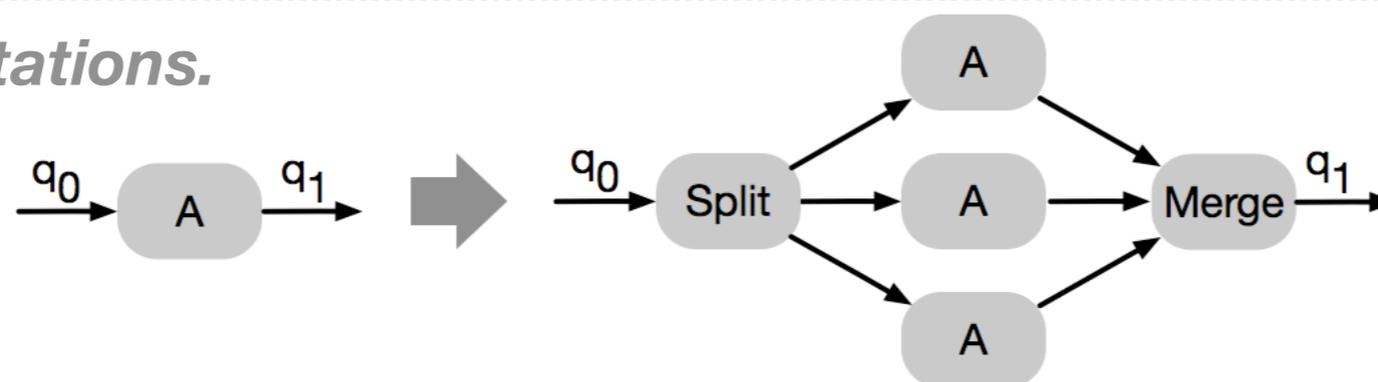
## Dynamism

- ⊞ Online recompilation
- ⊞ Transport operators



# Fission

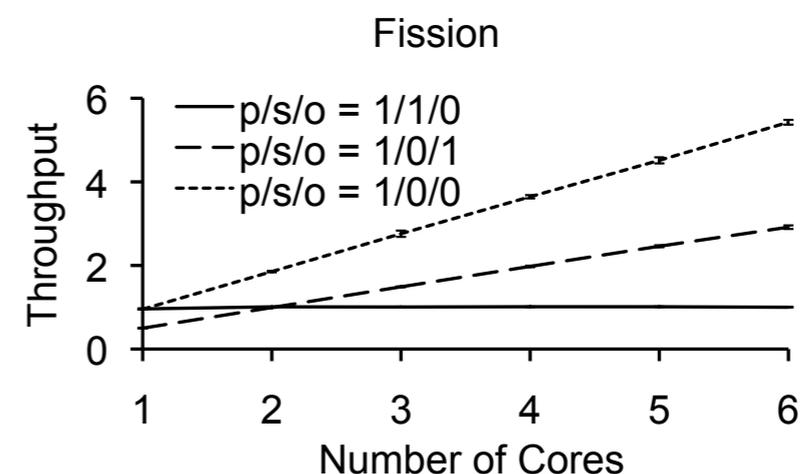
*Parallelize computations.*



## Safety

- ⊞ No state or disjoint state
- ⊞ **Merge in order, if needed**

## Profitability



## Variations

- ⊞ Round-robin (no state)
- ⊞ **Hash by key (disjoint state)**
- ⊞ Duplicate

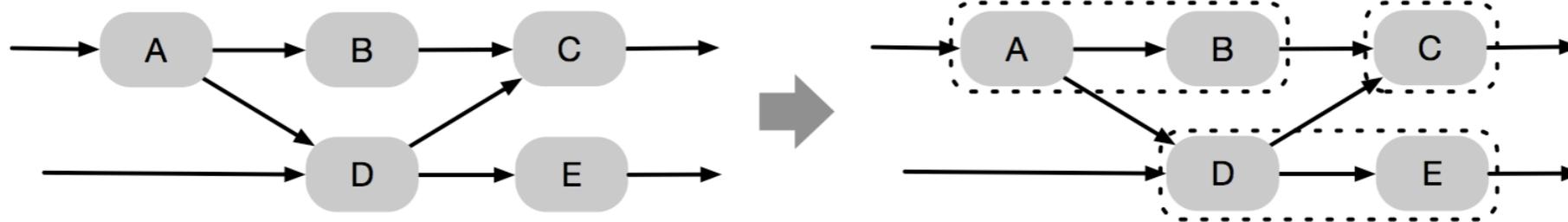
## Dynamism

- ⊞ Elastic operators (learn width)
- ⊞ STM (resolve conflicts)



# Placement

*Assign operators to hosts and cores.*



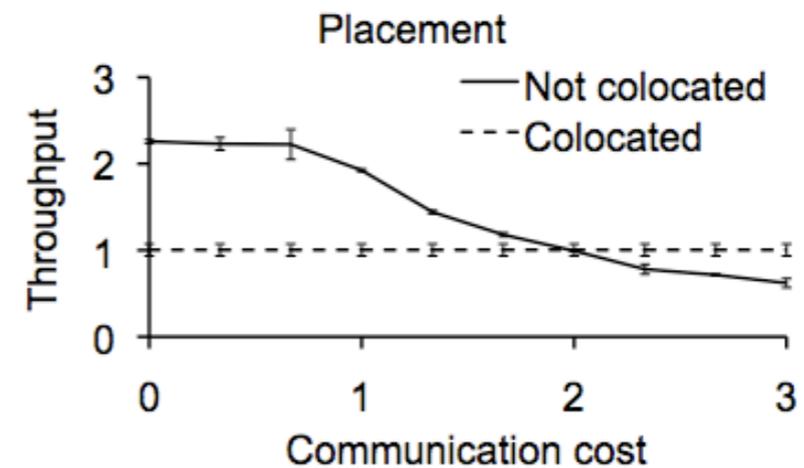
## Safety

- ⊞ Have right resources
- ⊞ Have enough resources
- ⊞ Obey license/security
- ⊞ If dynamic, need migratability

## Variations

- ⊞ Based on host resources vs. network resources, or both
- ⊞ Automatic vs. user-specified

## Profitability



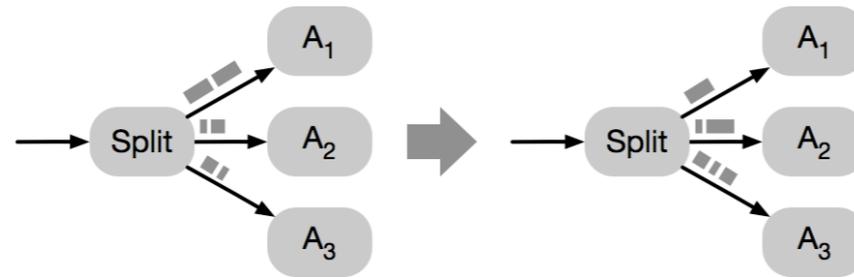
## Dynamism

- ⊞ Submission-time
- ⊞ Online, via operator migration



# Load Balancing

*Distribute workload evenly across resources*



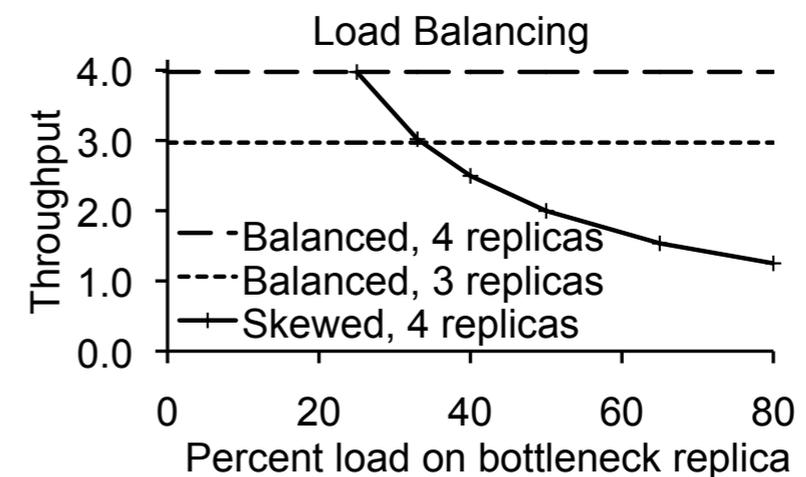
## Safety

- ⬢ Avoid starvation
- ⬢ Ensure each worker is equally qualified
- ⬢ Establish placement safety

## Variations

- ⬢ Balancing work while **placing operators**
- ⬢ Balancing work by **re-routing data**

## Profitability



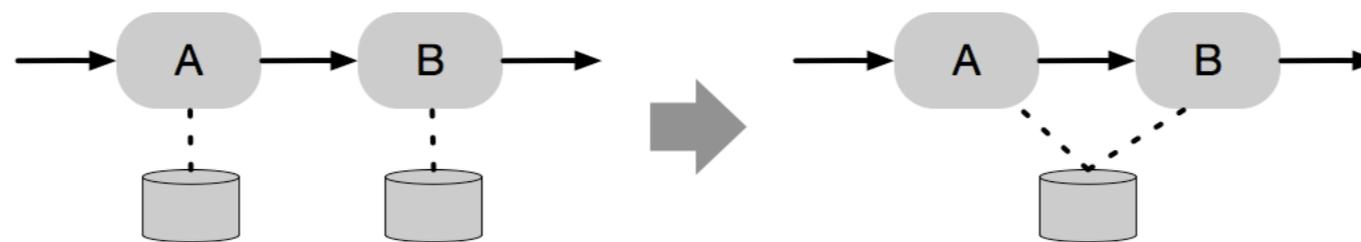
## Dynamism

- ⬢ Easier for routing than placement



# State Sharing

*Optimize for space by avoiding unnecessary copies of data.*



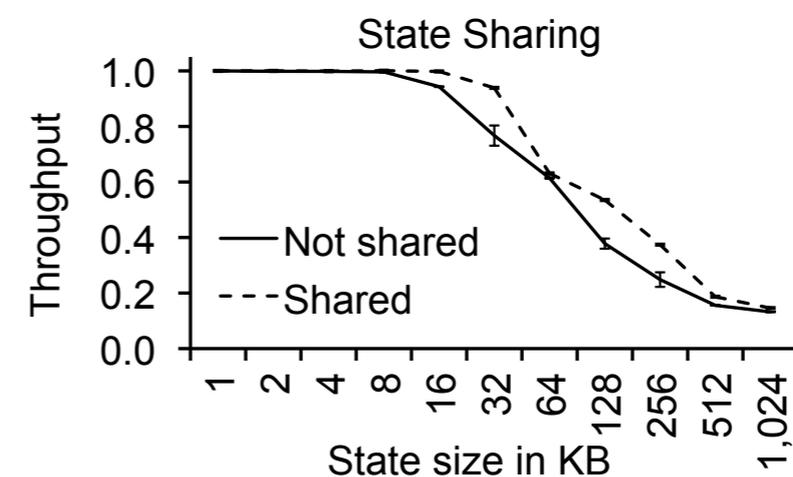
## Safety

- Common access (usually fusion)
- No race conditions
- No memory leaks

## Variations

- Sharing **queues**
- Sharing **windows**
- Sharing **operator state**

## Profitability



## Dynamism

N/A



# Batching

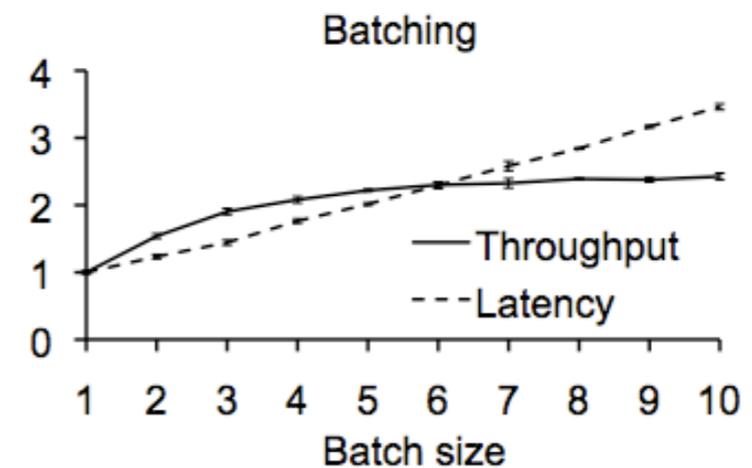
*Process multiple data items in a single batch.*



## Safety

- ⬢ No deadlocks
- ⬢ Satisfy deadlines

## Profitability



## Variations

- ⬢ **Batching enables traditional compiler optimizations**

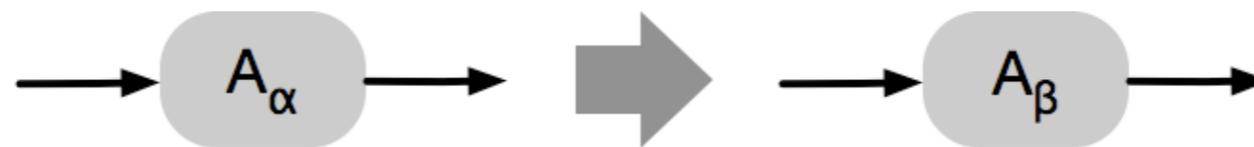
## Dynamism

- ⬢ Batch controller
- ⬢ Train scheduling



# Algorithm Selection

*Use a faster algorithm for implementing an operator.*

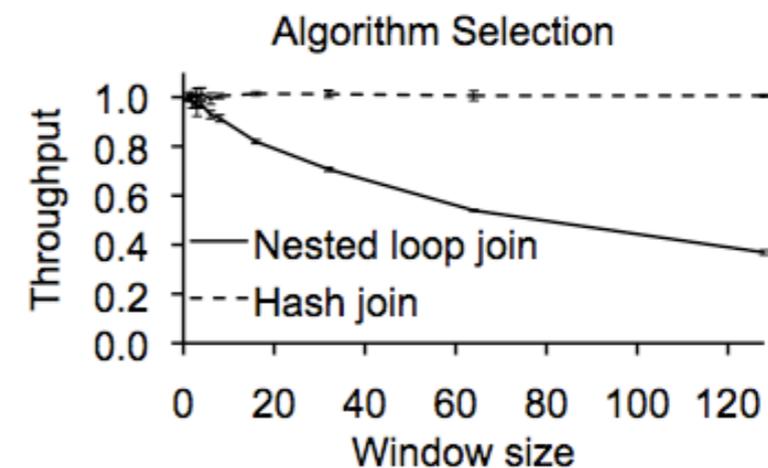


## Safety

⊞  $A_\alpha(s) \cong A_\beta(s)$

⊞ **May not need to be safe**

## Profitability



## Variations

⊞ Algebraic

⊞ Auto-tuners

⊞ **General vs. specialized**

## Dynamism

⊞ **Compile both versions, then select via control port**



# Load Shedding

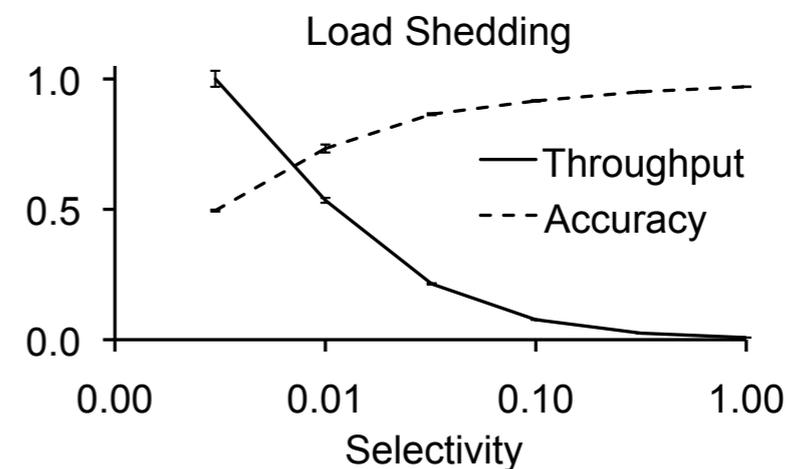
*Degrade gracefully when overloaded.*



## Safety

- 🔹 **By definition, not safe!**
- 🔹 QoS trade-off

## Profitability



## Variations

- 🔹 Filtering data items  
(variations: where in graph)
- 🔹 Algorithm selection

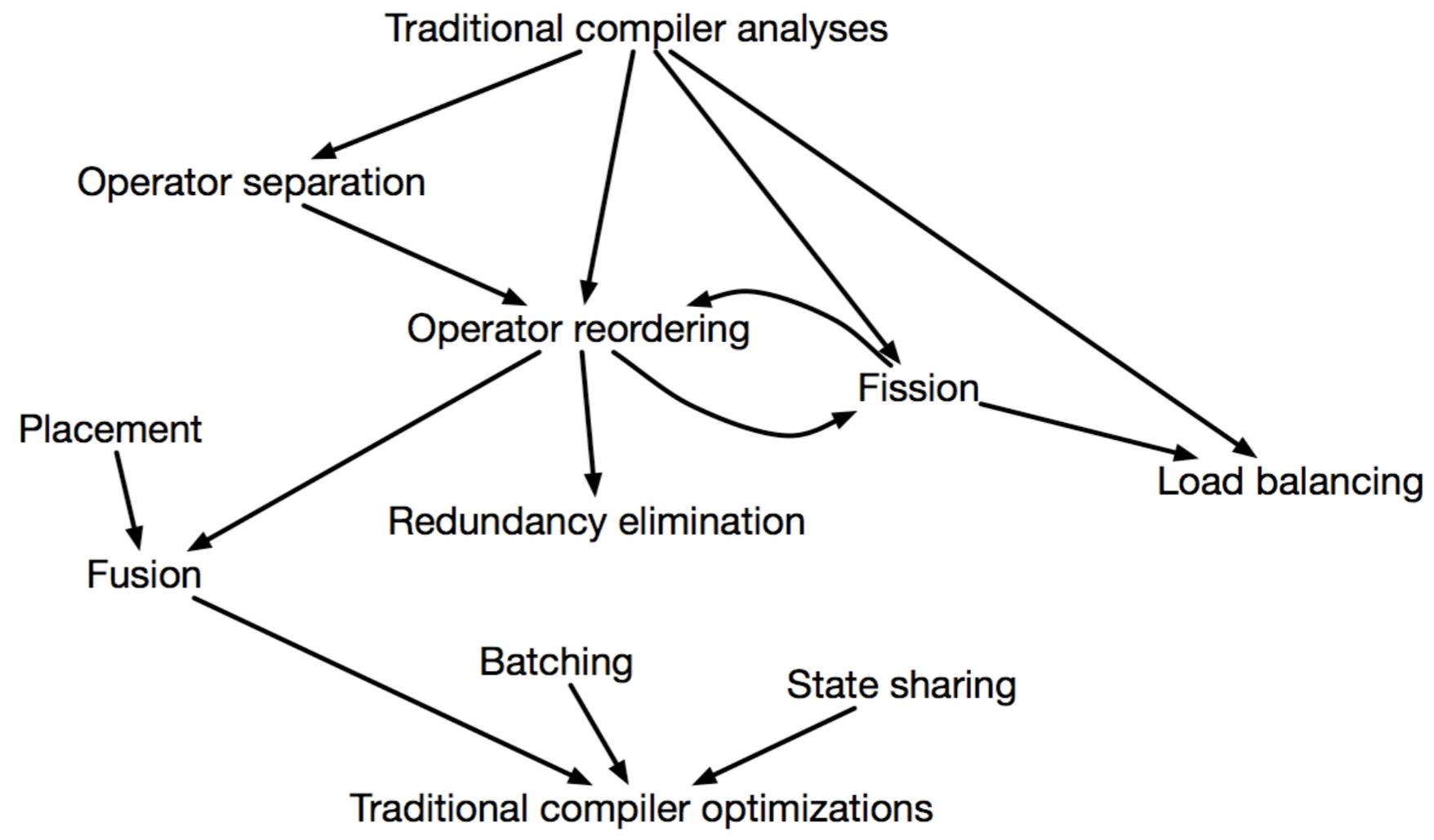
## Dynamism

- 🔹 **Always dynamic**



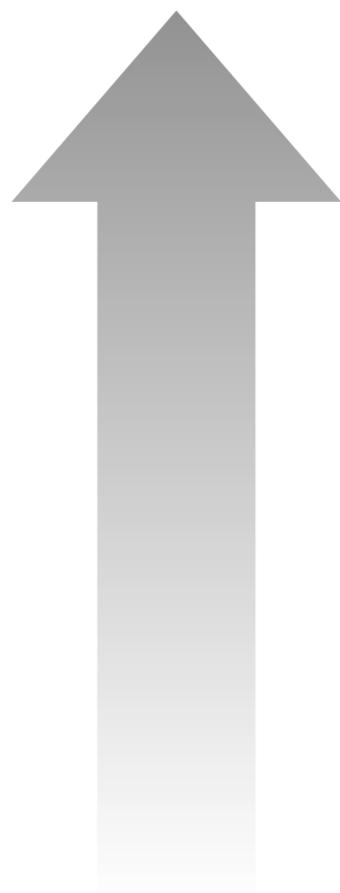
# Optimizations Enable Optimizations

*Traditional* → {  
*Stream*  
*Stream* → {  
*Stream*  
*Traditional* → {

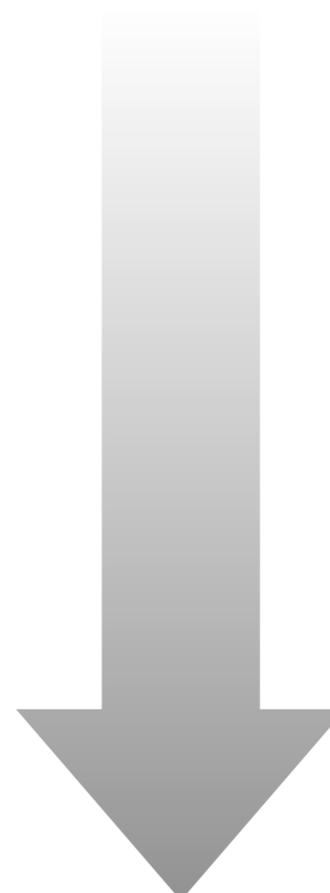


# Languages Enable Optimizations

High-level  
Easy to use  
Optimizable



Mario  
CEP patterns  
StreamDatalog  
StreamSQL  
StreamIt  
Graph GUI  
SPL  
Java API  
Annotated C  
C/Fortran



Low-level  
General  
Predictable



# Hand-Optimized vs. Auto-Optimization

## Hand-Optimized

- 🔹 Experts can get better performance
- 🔹 Better Control
- 🔹 Generality
- 🔹 Easier to build systems

## Auto-Optimized

- 🔹 Better out-of-the-box experience
- 🔹 Portability
- 🔹 Application code is less cluttered



# Requirements for an IL

Observation	Conclusion
4/11 depend on the order that operators execute	IL should be explicit how determinism is enforced
5/11 modify the topology	IL needs to model communication
8/11 depend on state	IL needs to model state
9/11 have dynamic variations	IL needs to support dynamism
11/11 have a unique requirement	IL must be extensible



# A Universal Calculus For Stream Processing

**A formal foundation for a streaming IL**

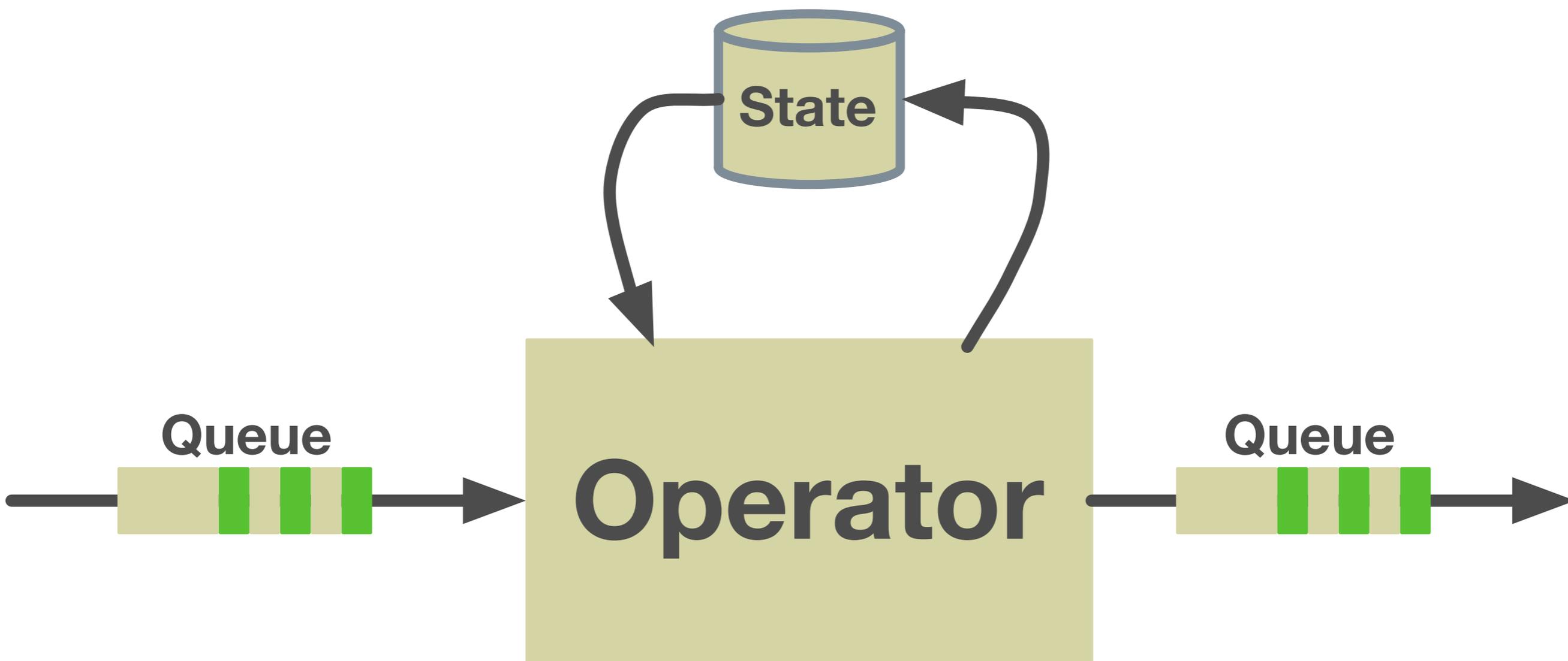


# Design Goals

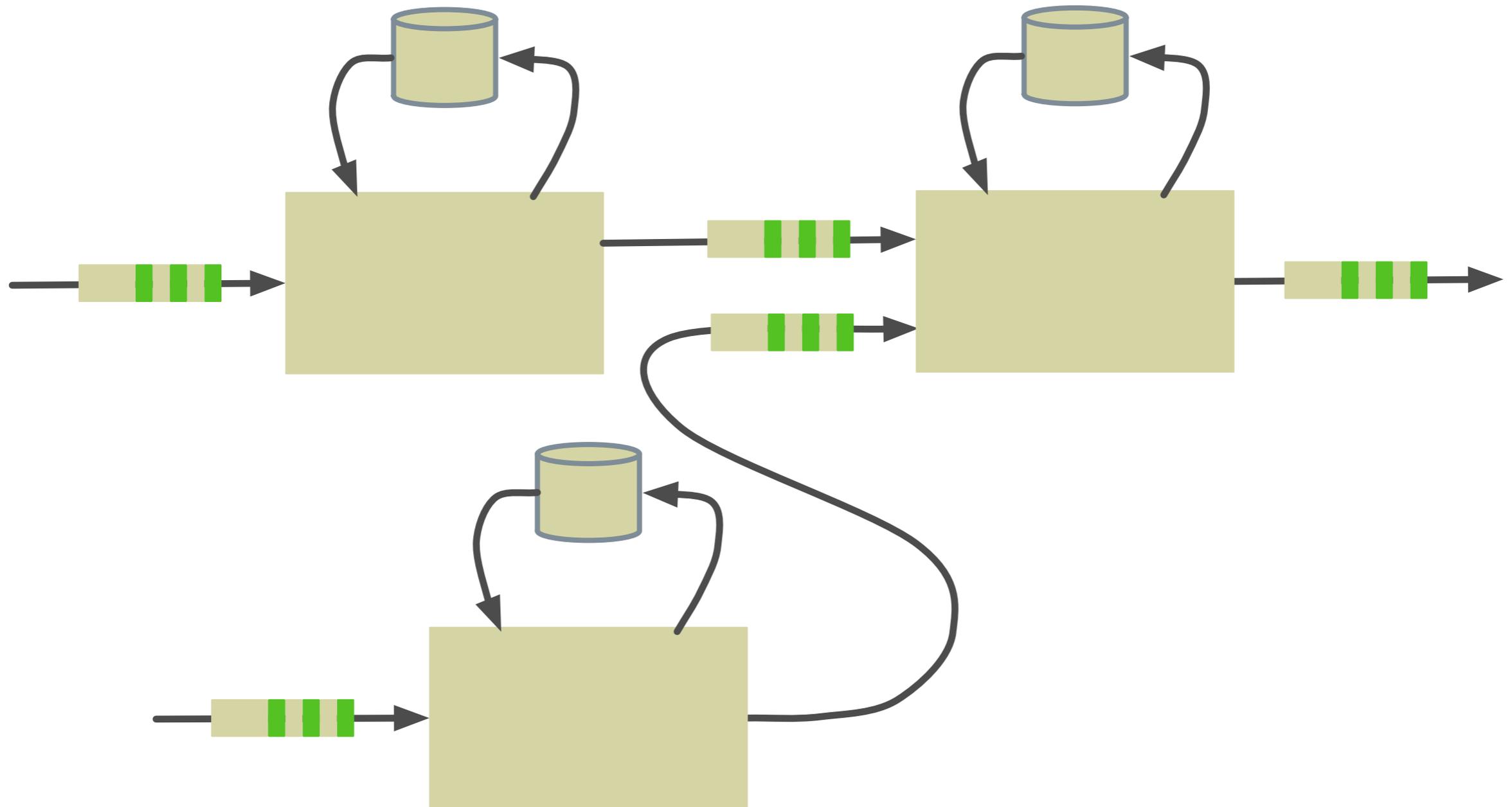
- Enable reasoning about correctness of optimizations
- Flexibility to represent diverse languages
- Formalize *three* of the requirements:
  - State, communication, and non-determinism
- Save dynamism for future work
- Extensibility is addressed in the IL



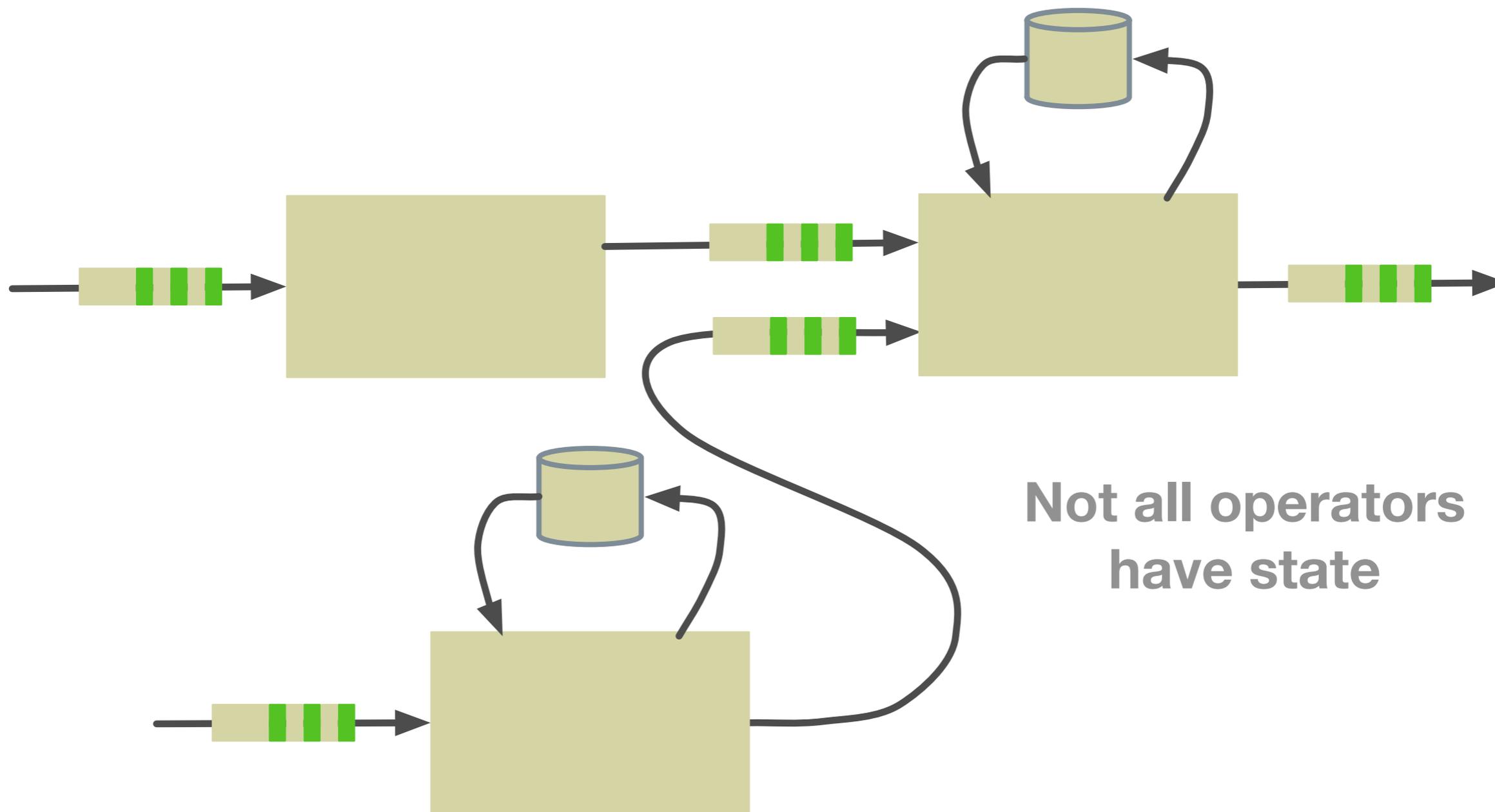
# Elements of a Streaming App



# Elements of a Streaming App



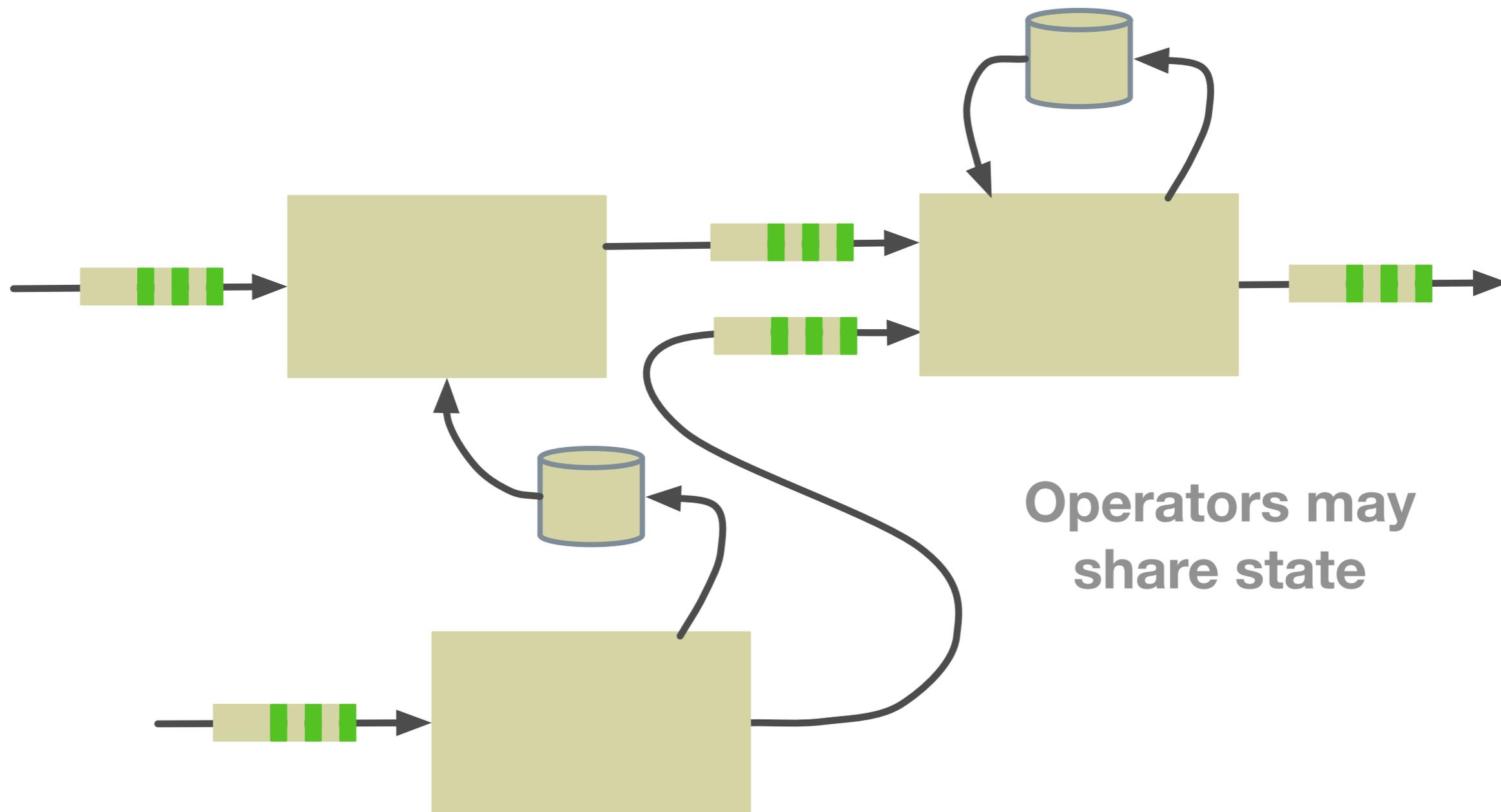
# Elements of a Streaming App



Not all operators  
have state



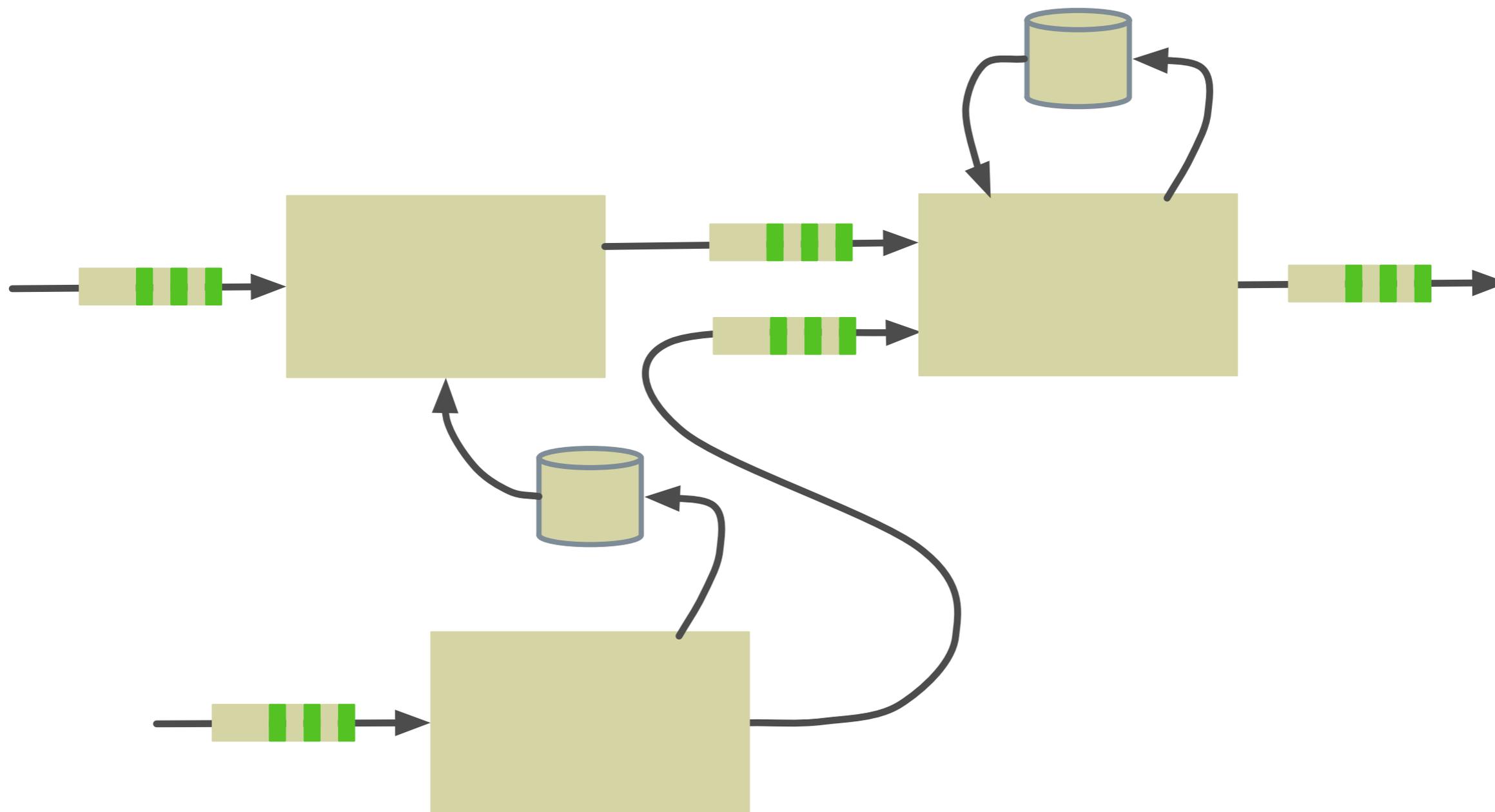
# Elements of a Streaming App



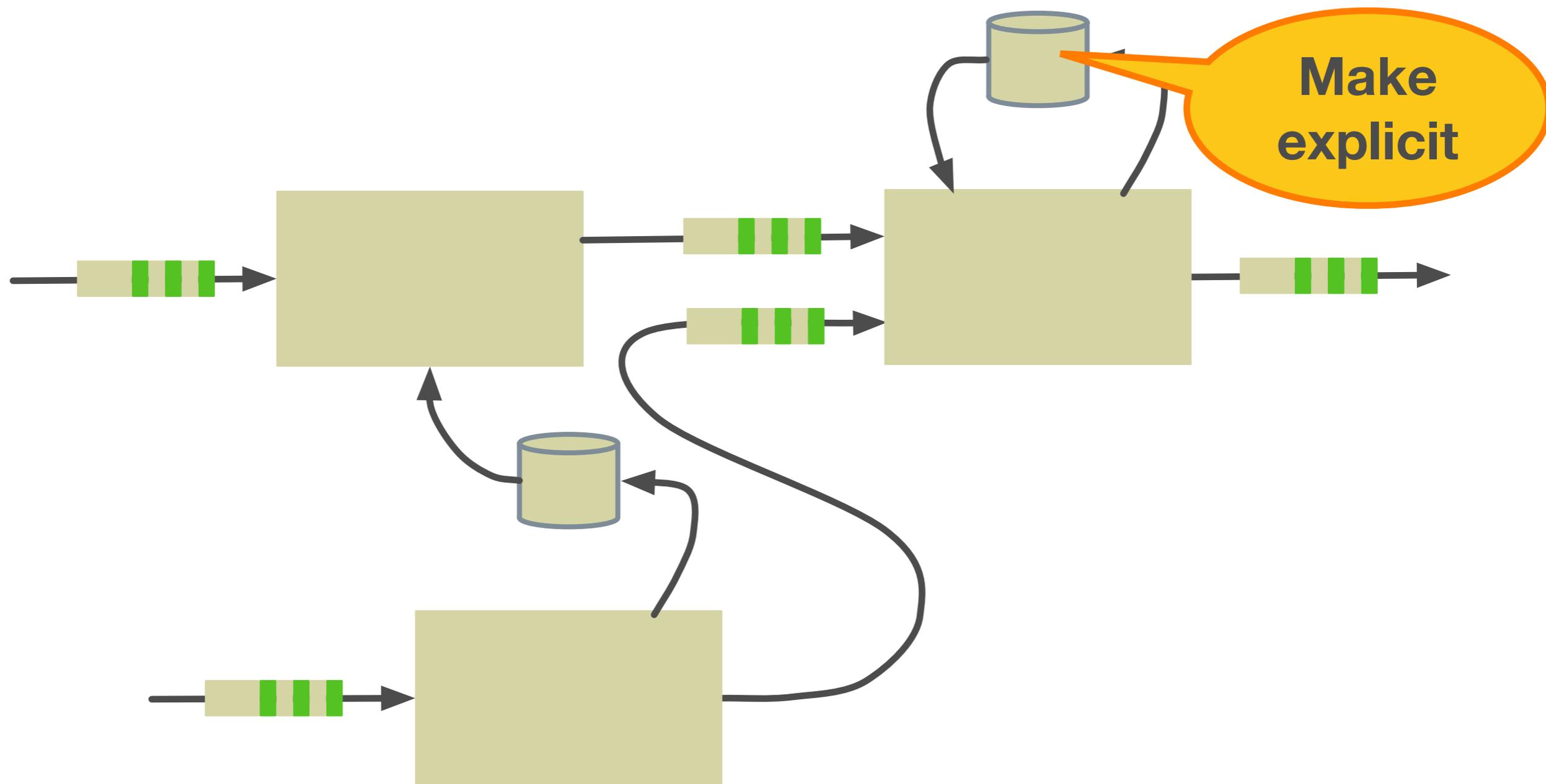
Operators may share state



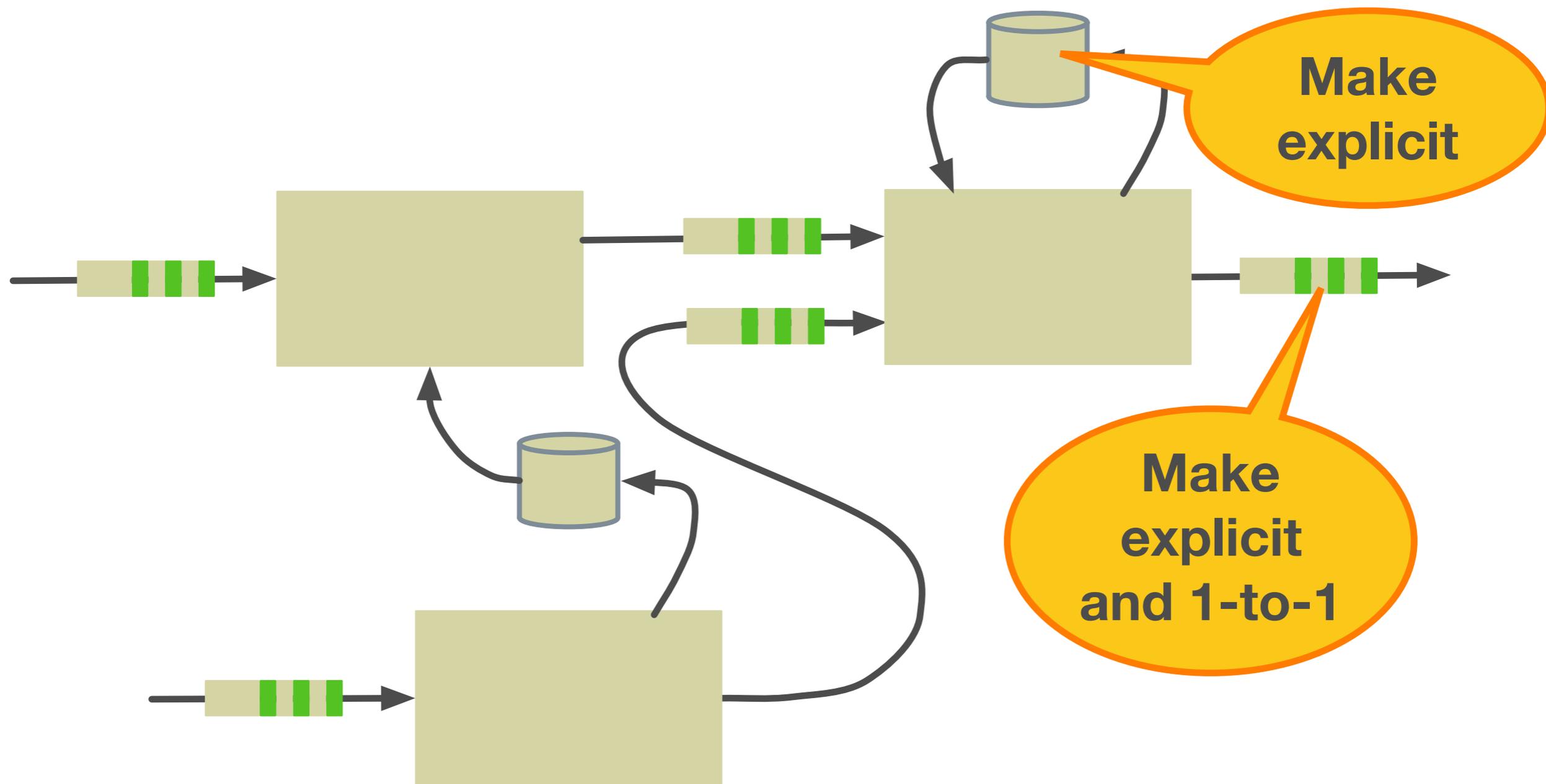
# Requirements for Calculus



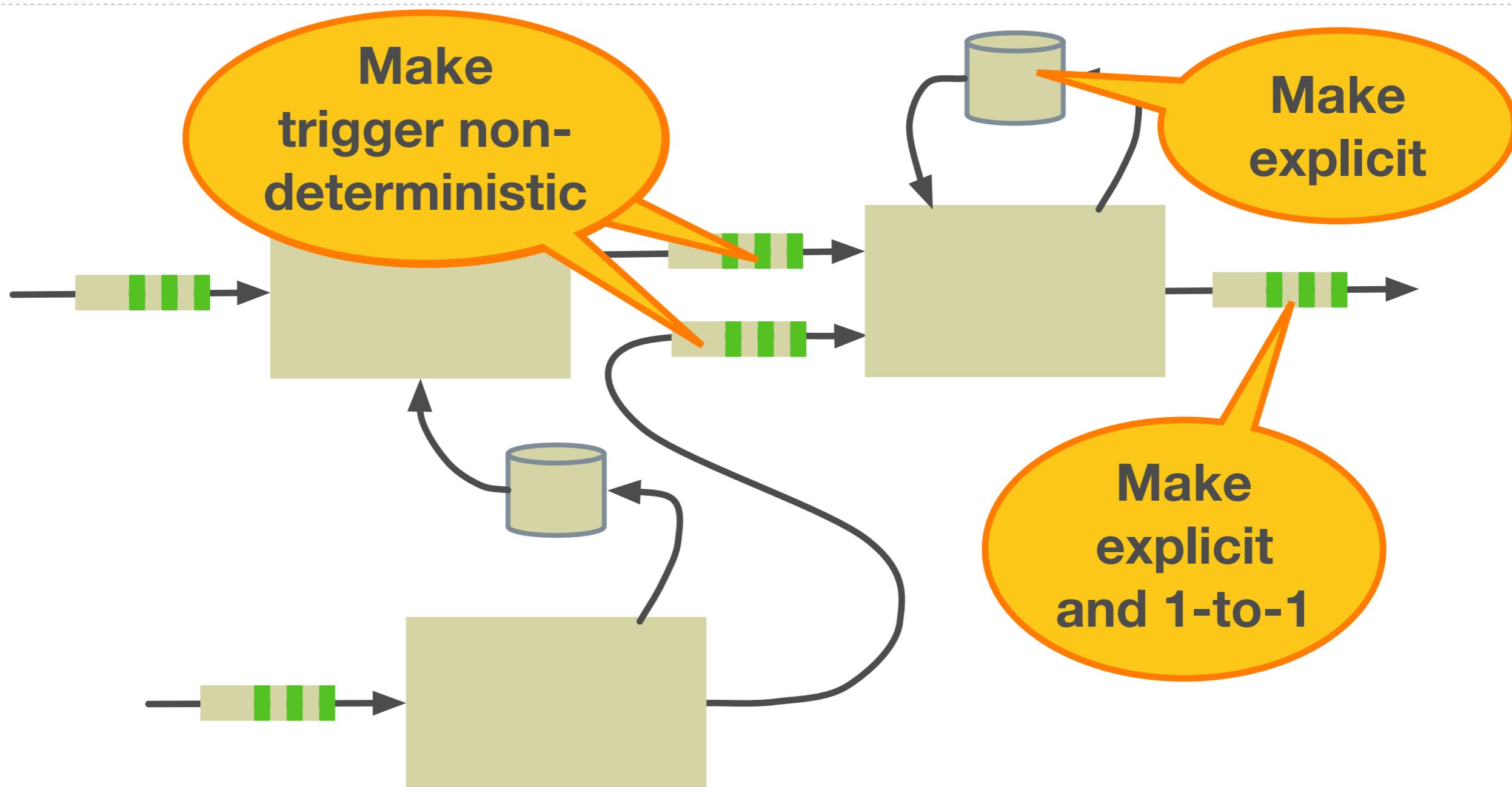
# Requirements for Calculus



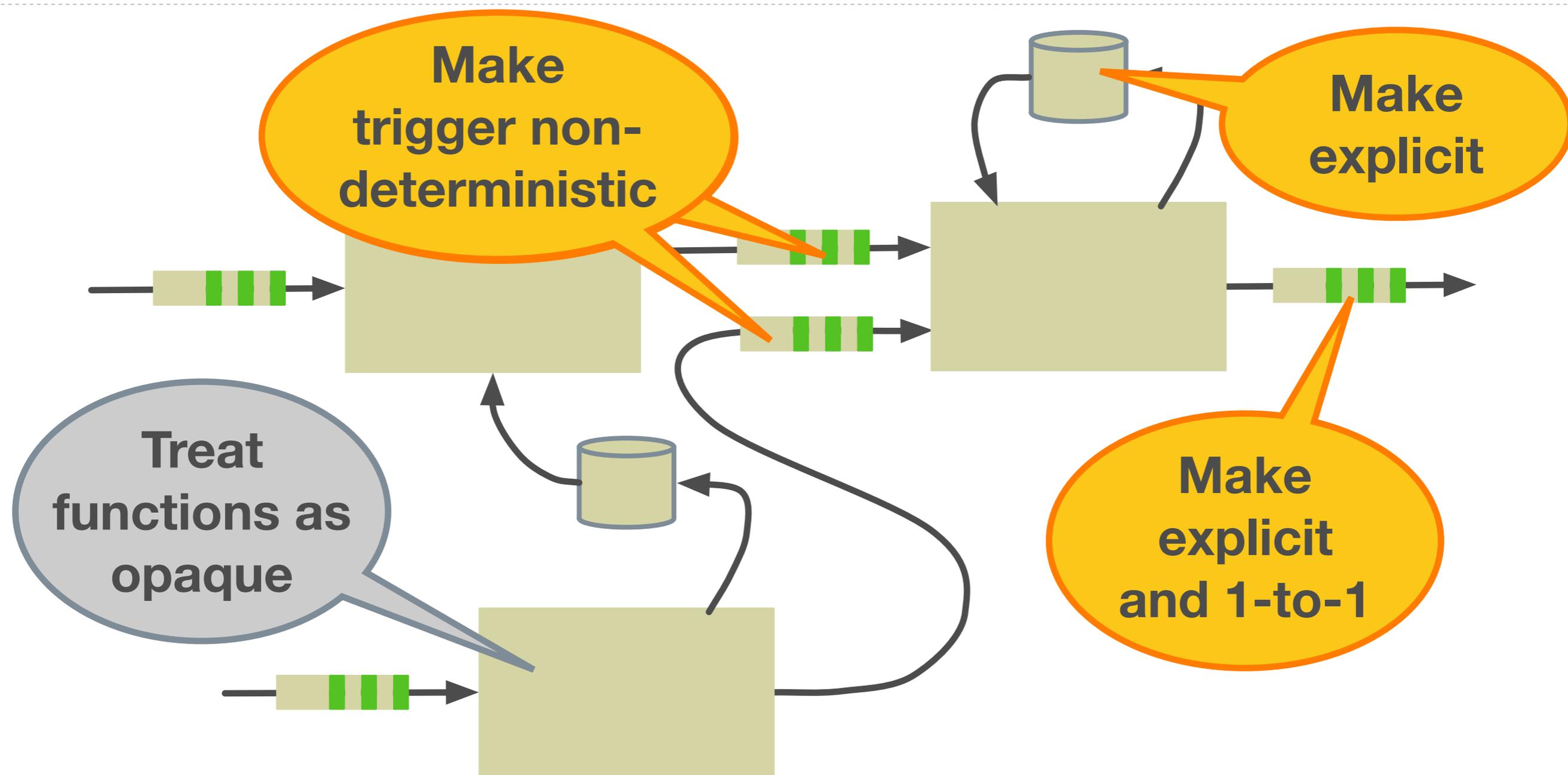
# Requirements for Calculus



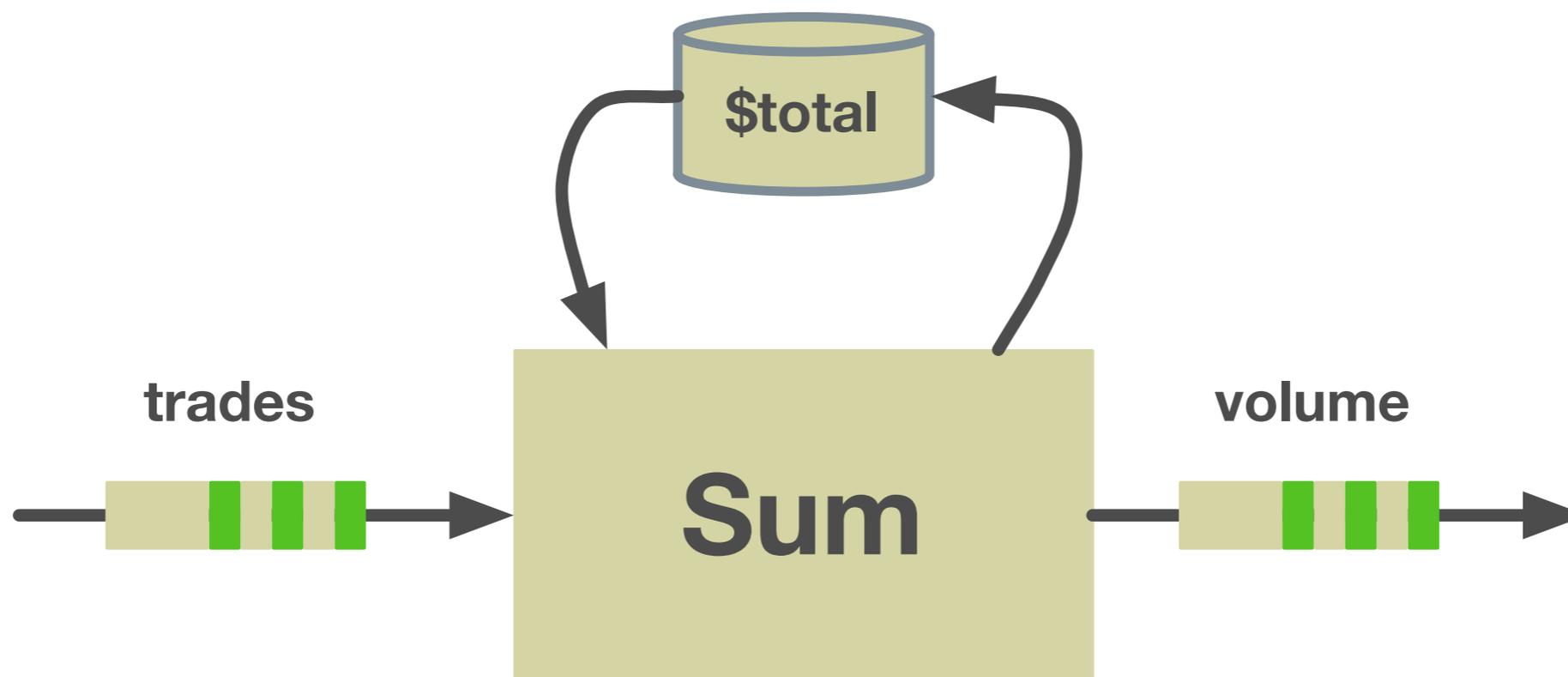
# Requirements for Calculus



# Requirements for Calculus



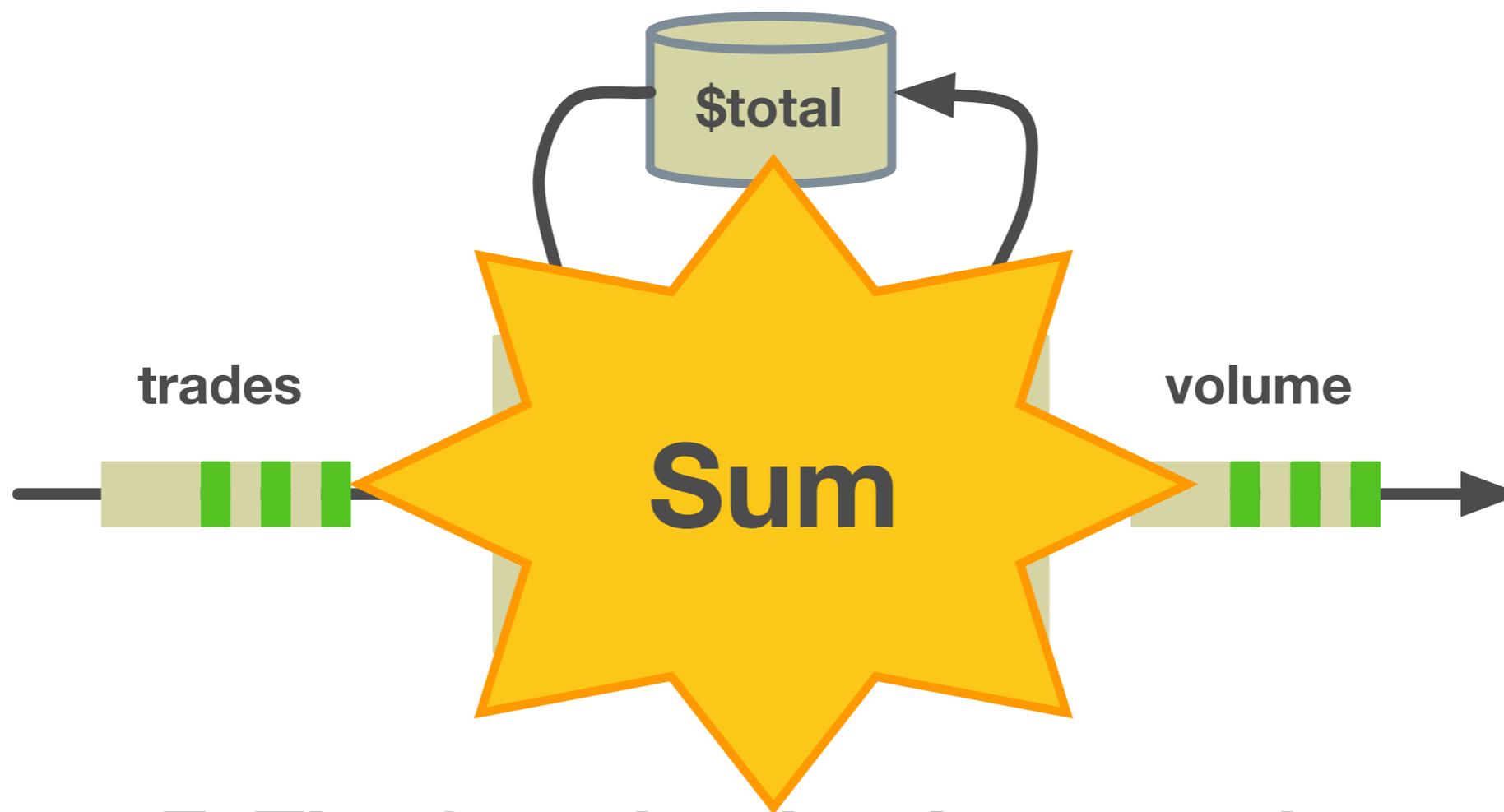
# Brooklet Syntax



**(volume, \$total) ← Sum(trades, \$total)**



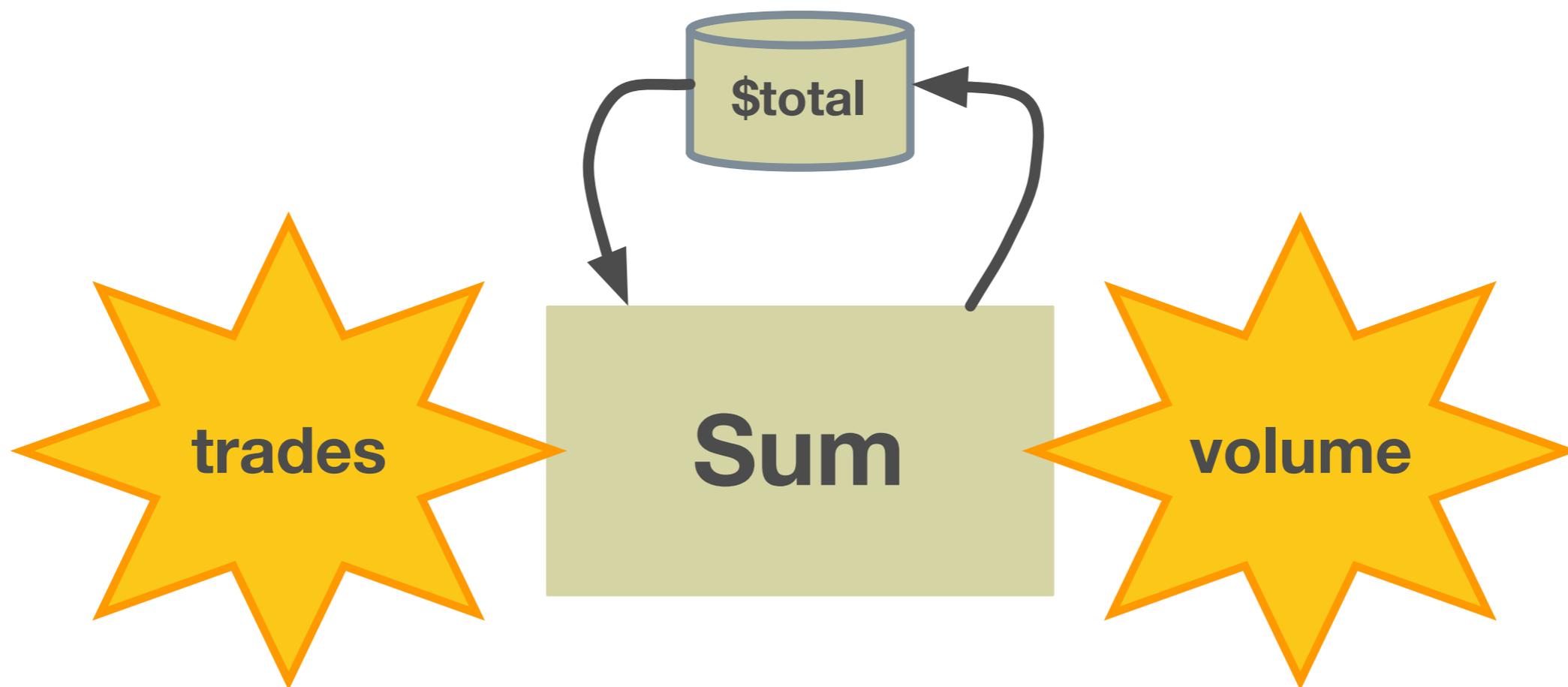
# Function Environment



**F: The function implementations**



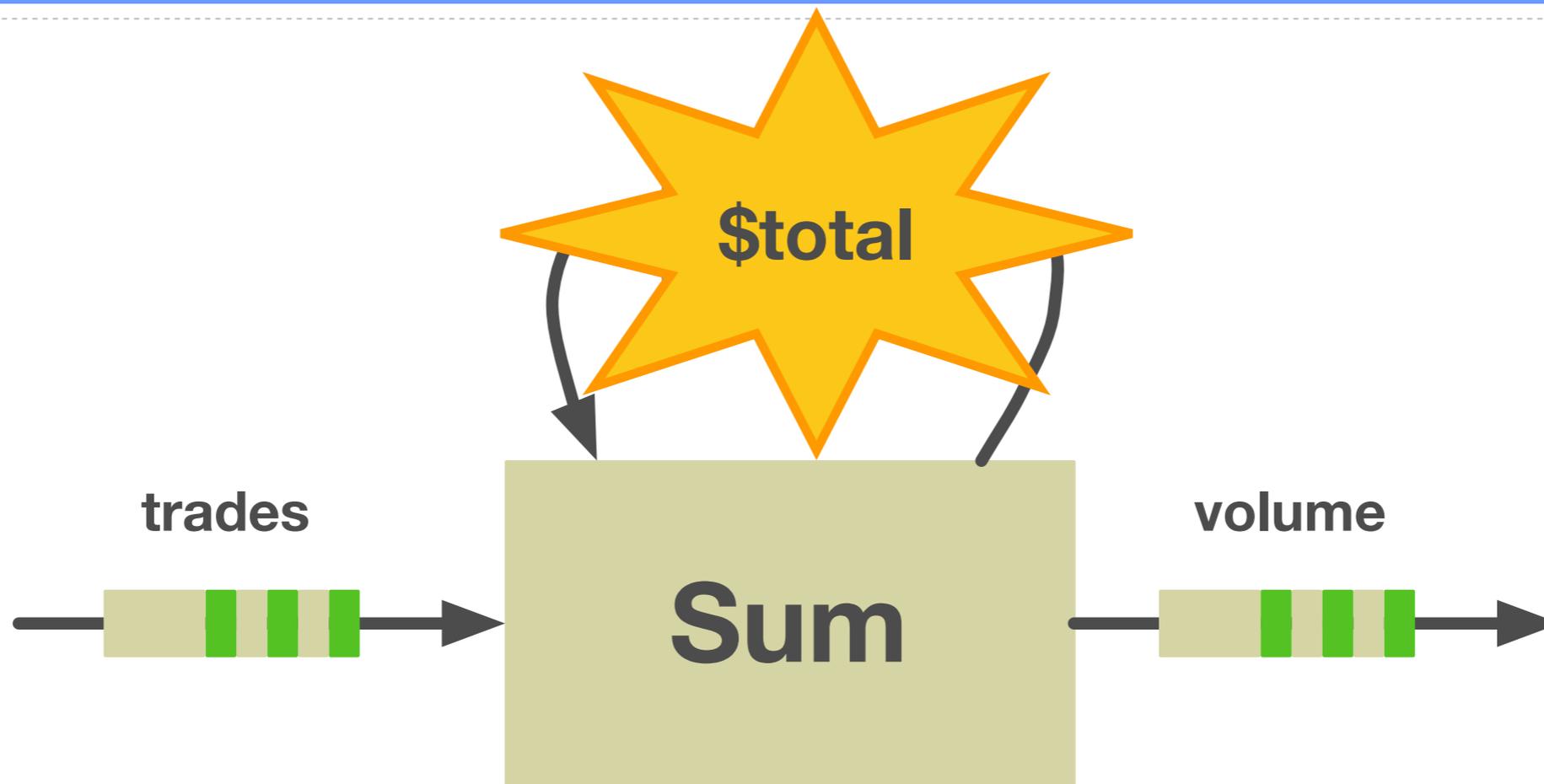
# Queue Store



**Q: The contents of the queues**



# Variable Store

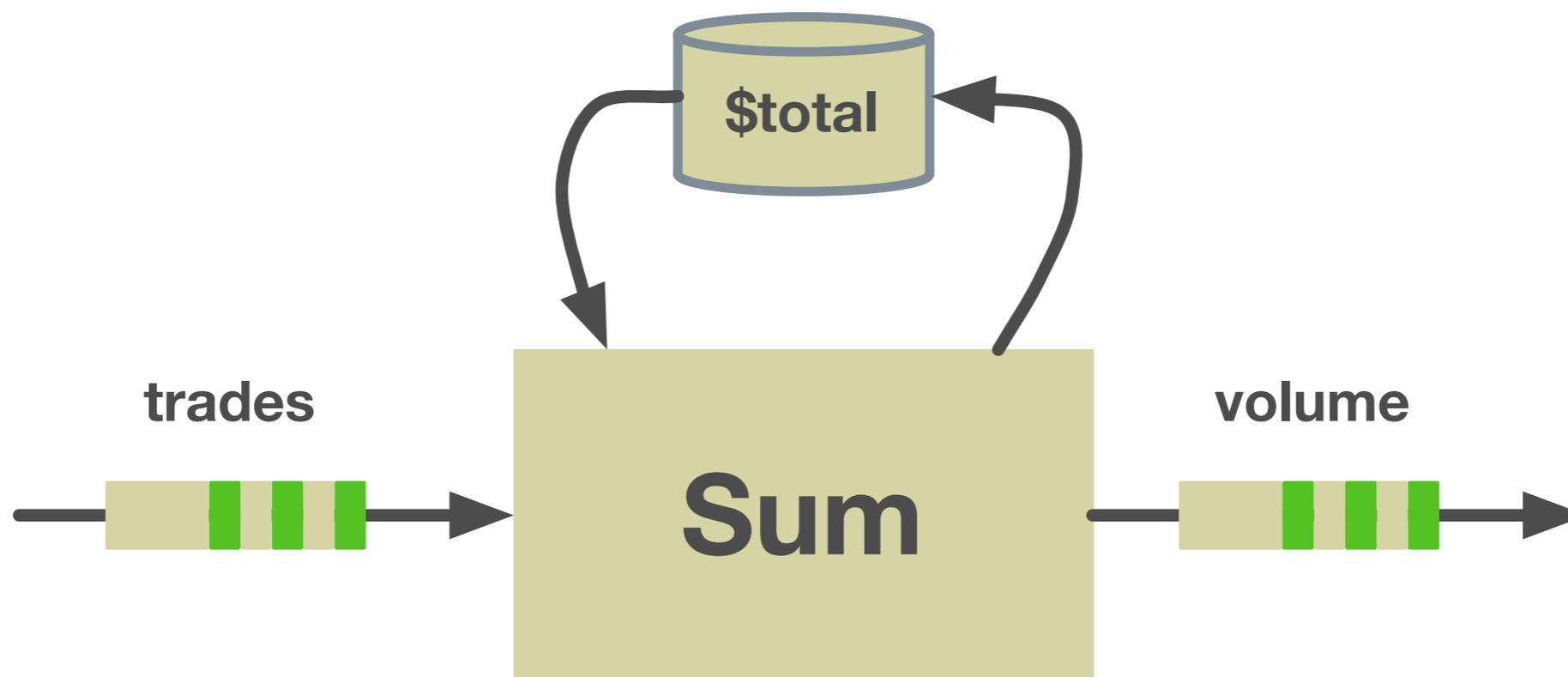


**V: The contents of the variables**



# Brooklet

## Operational Semantics



$$F \vdash \langle Q, V \rangle \rightarrow \langle Q', V' \rangle$$



# Complete Calculus

## Brooklet syntax:

$P_b ::= out\ in\ \bar{op}$	<i>Brooklet program</i>
$out ::= output\ \bar{q};$	<i>Output declaration</i>
$in ::= input\ \bar{q};$	<i>Input declaration</i>
$op ::= (\bar{q}, \bar{v}) \leftarrow f(\bar{q}, \bar{v});$	<i>Operator</i>
$q ::= id$	<i>Queue identifier</i>
$v ::= \$id$	<i>Variable identifier</i>
$f ::= id$	<i>Function identifier</i>

## Brooklet example: IBM market maker.

```

output result;
input bids, asks;
(ibmBids) ← SelectIBM(bids);
(ibmAsks) ← SelectIBM(asks);
($lastAsk) ← Window(ibmAsks);
(ibmSales) ← SaleJoin(ibmBids, $lastAsk);
(result, $cnt) ← Count(ibmSales, $cnt);

```

## Brooklet semantics: $F_b \vdash \langle V, Q \rangle \longrightarrow \langle V', Q' \rangle$

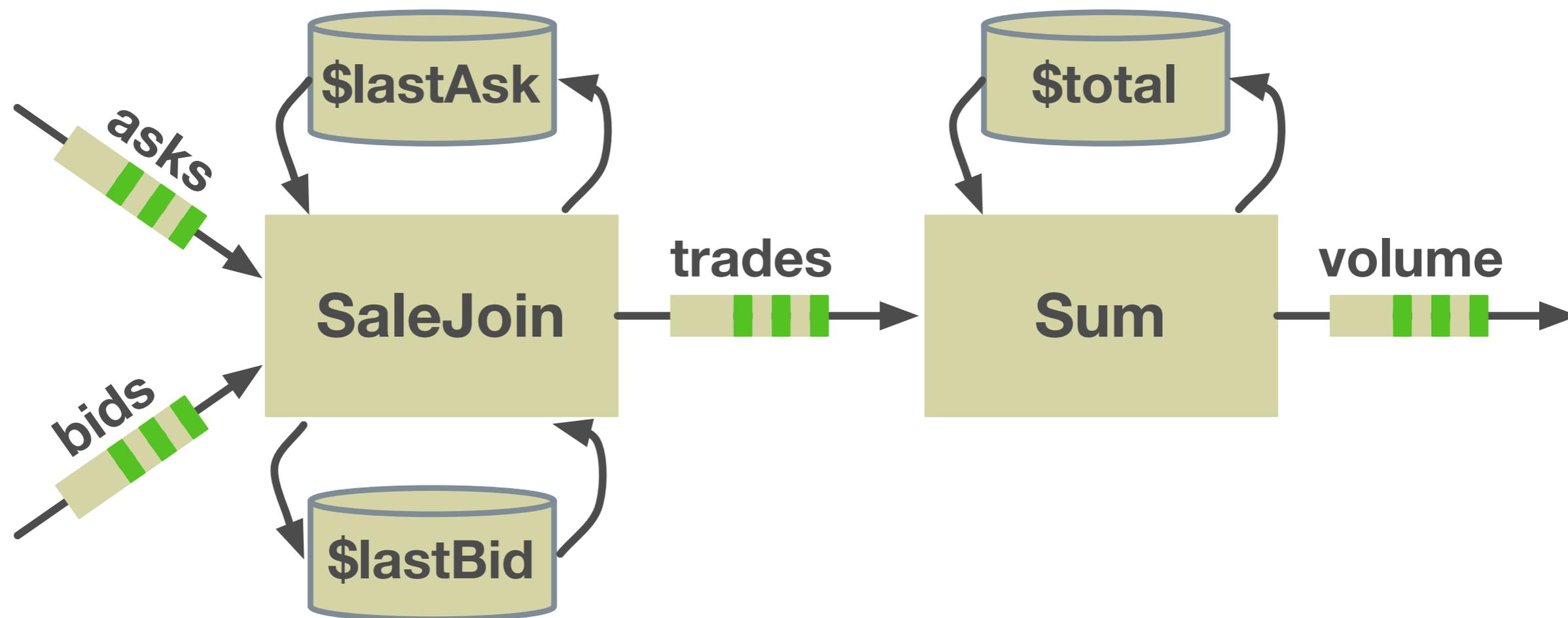
$$\begin{array}{l}
 d, b = Q(q_i) \\
 op = (\_, \_) \leftarrow f(\bar{q}, \bar{v}); \\
 (\bar{b}', \bar{d}') = F_b(f)(d, i, V(\bar{v})) \\
 V' = updateV(op, V, \bar{d}') \\
 Q' = updateQ(op, Q, q_i, \bar{b}') \\
 \hline
 F_b \vdash \langle V, Q \rangle \longrightarrow \langle V', Q' \rangle
 \end{array}
 \quad (E-FIREQUEUE)$$

$$\begin{array}{l}
 op = (\_, \bar{v}) \leftarrow f(\_, \_); \\
 \hline
 updateV(op, V, \bar{d}) = [\bar{v} \mapsto \bar{d}]V
 \end{array}
 \quad (E-UPDATEV)$$

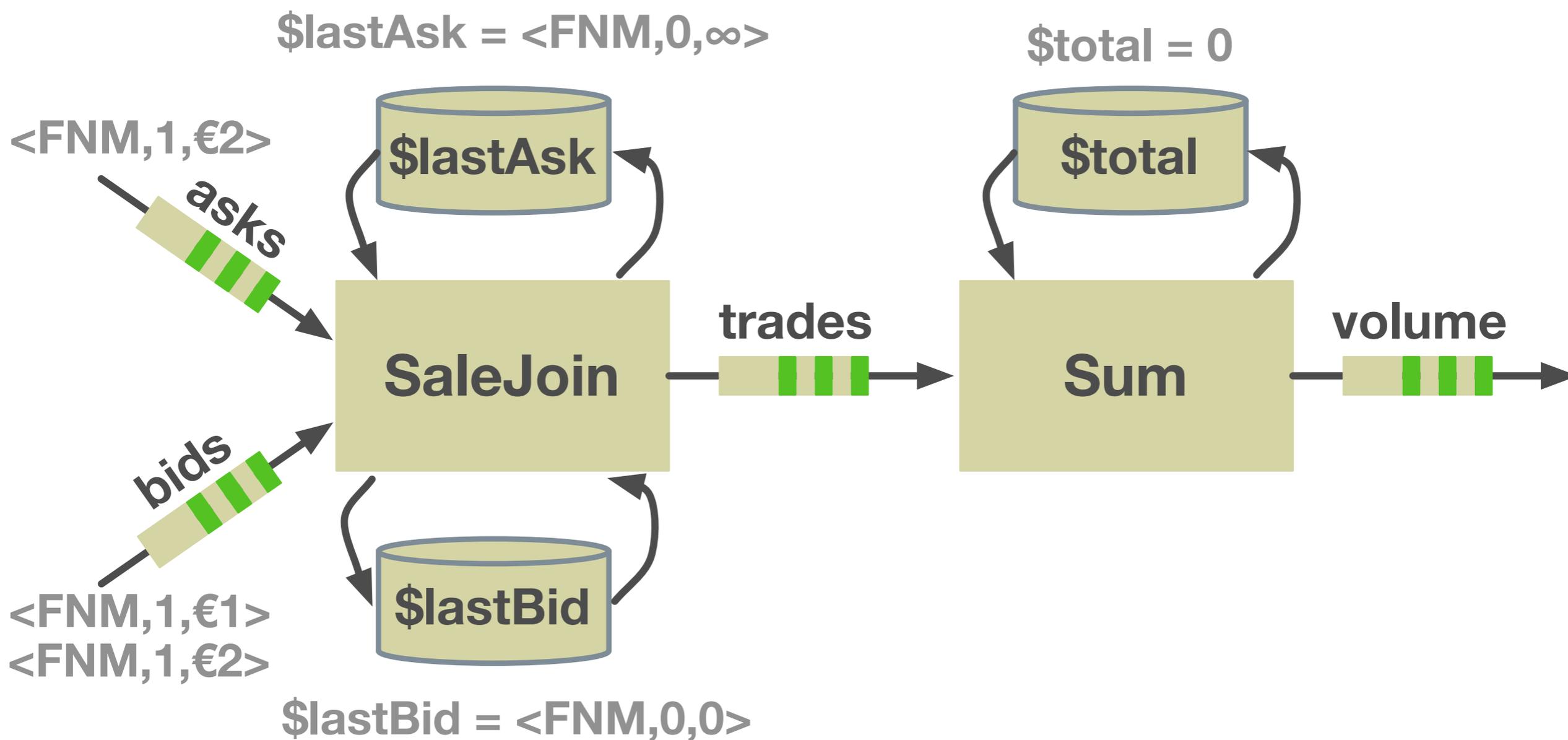
$$\begin{array}{l}
 op = (\bar{q}, \_) \leftarrow f(\_, \_); \\
 d_f, b_f = Q(q_f) \\
 Q' = [q_f \mapsto b_f]Q \\
 Q'' = [\forall q_i \in \bar{q} : q_i \mapsto Q(q_i), b_i]Q' \\
 \hline
 updateQ(op, Q, q_f, \bar{b}) = Q''
 \end{array}
 \quad (E-UPDATEQ)$$



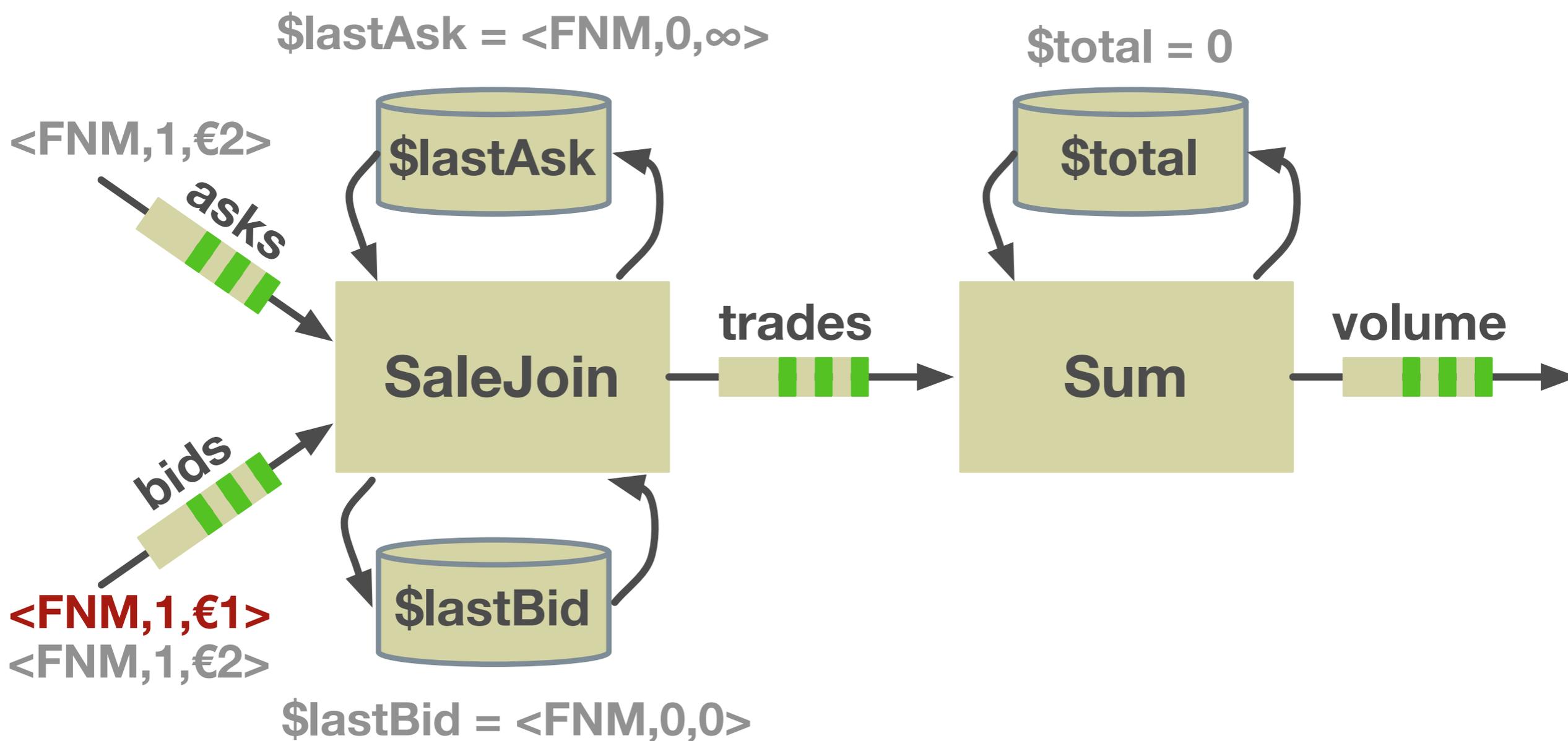
# Example: A Fannie Mae Bid/Ask Join



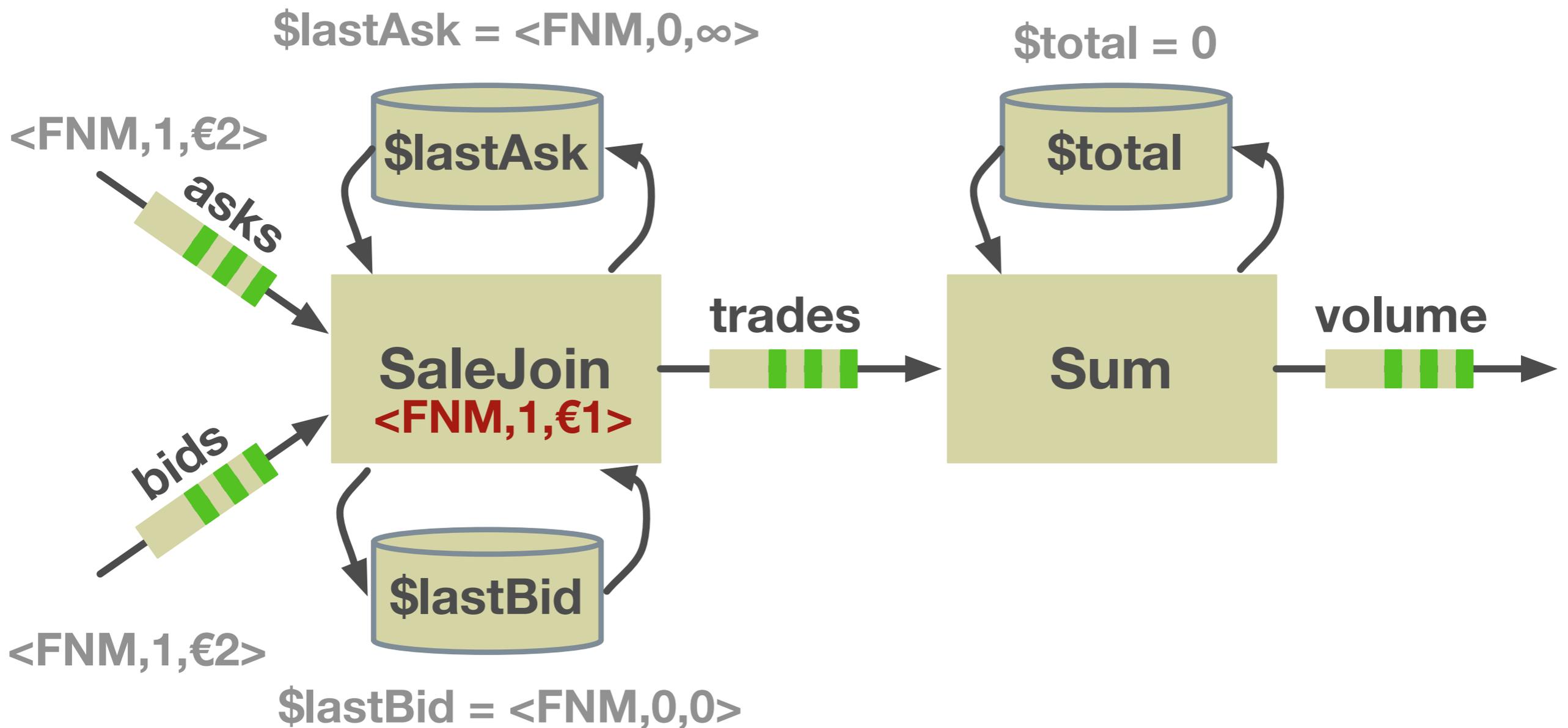
# Example: A Fannie Mae Bid/Ask Join



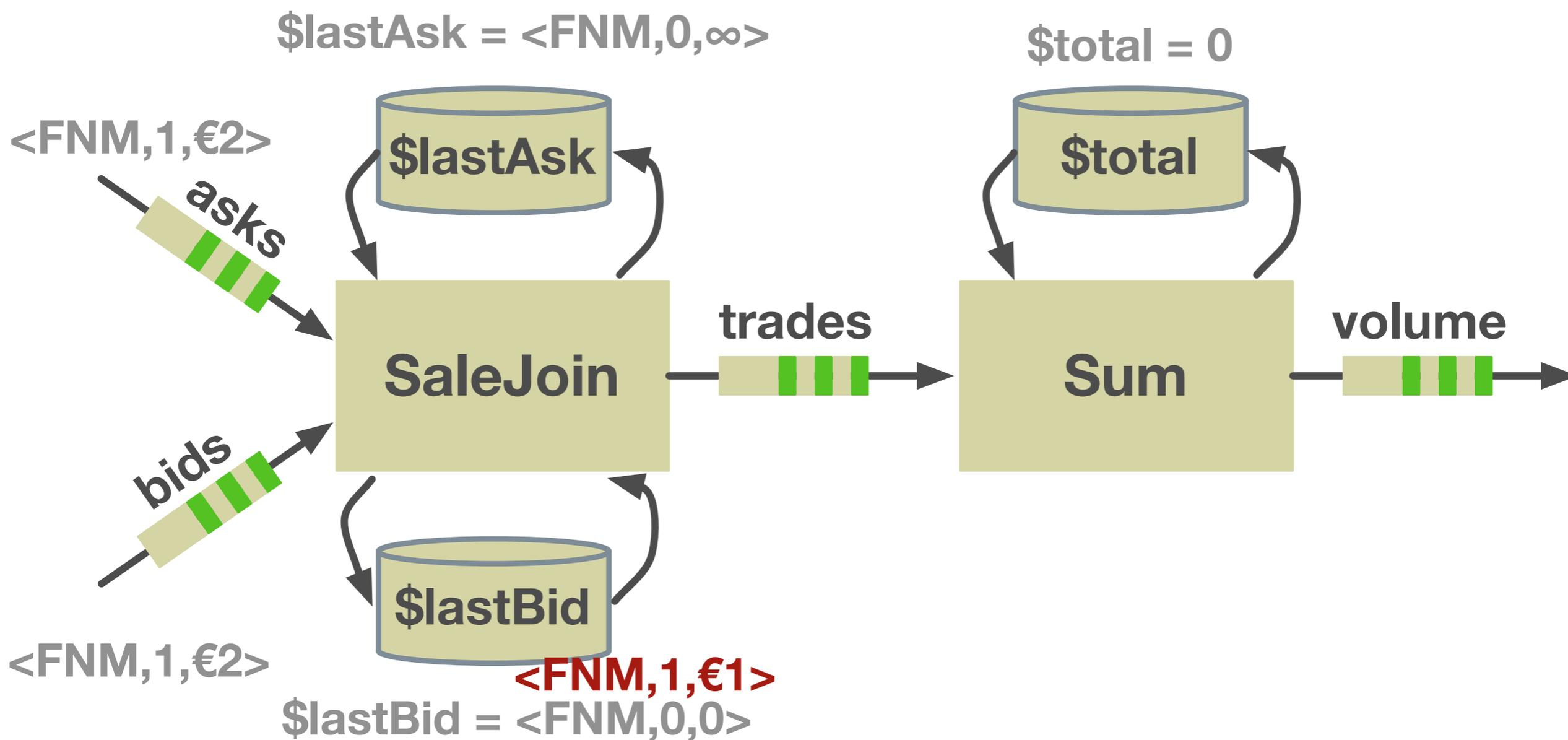
# Example: A Fannie Mae Bid/Ask Join



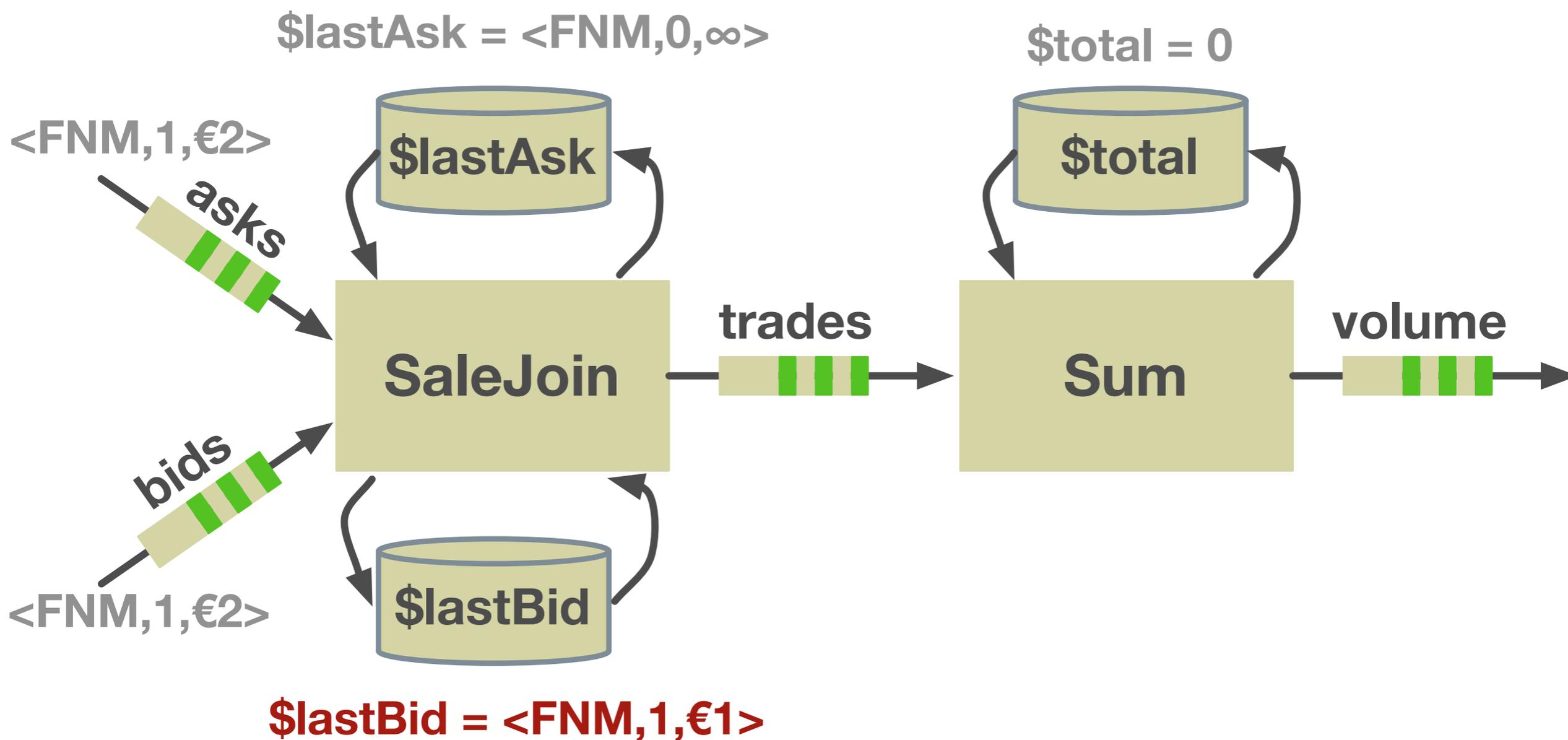
# Example: A Fannie Mae Bid/Ask Join



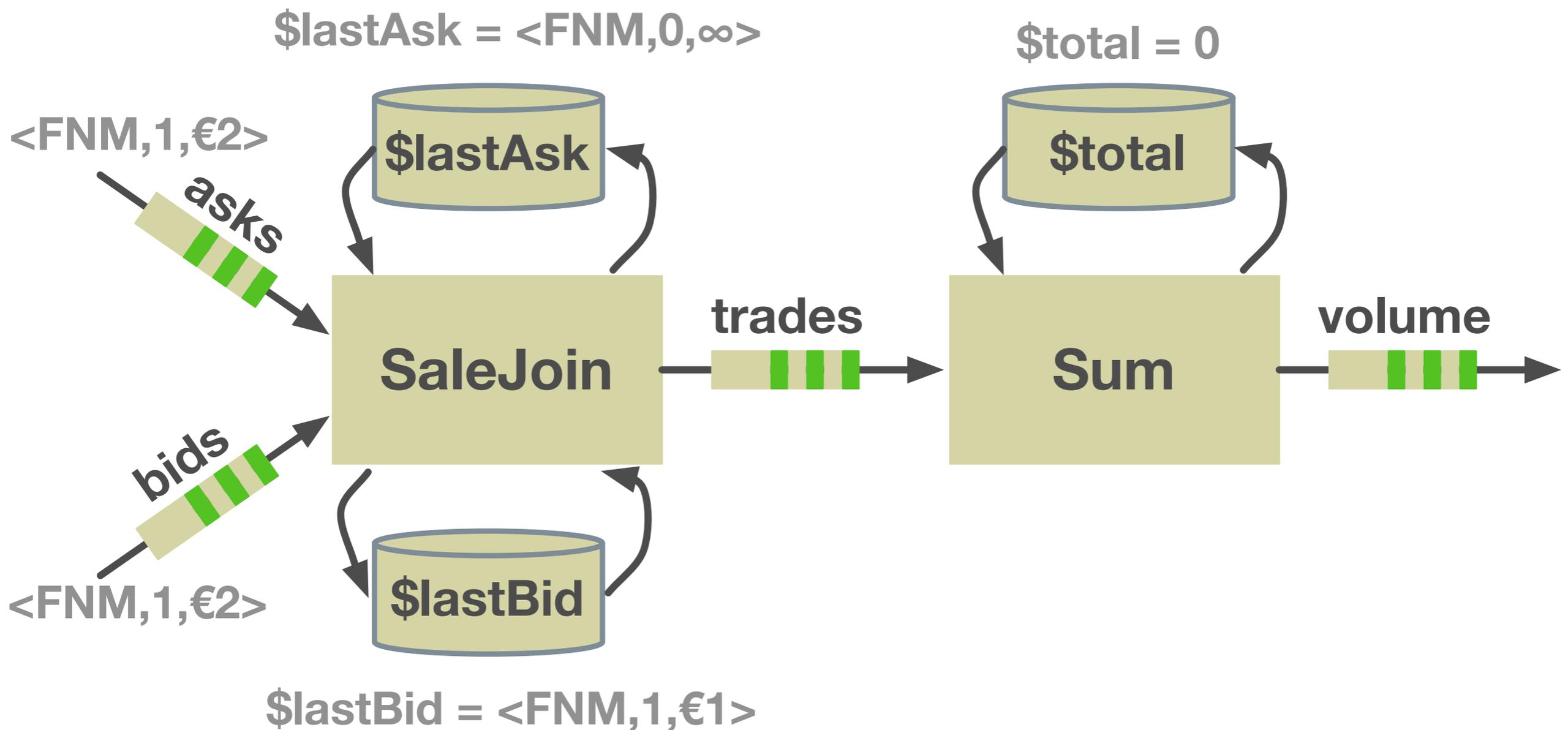
# Example: A Fannie Mae Bid/Ask Join



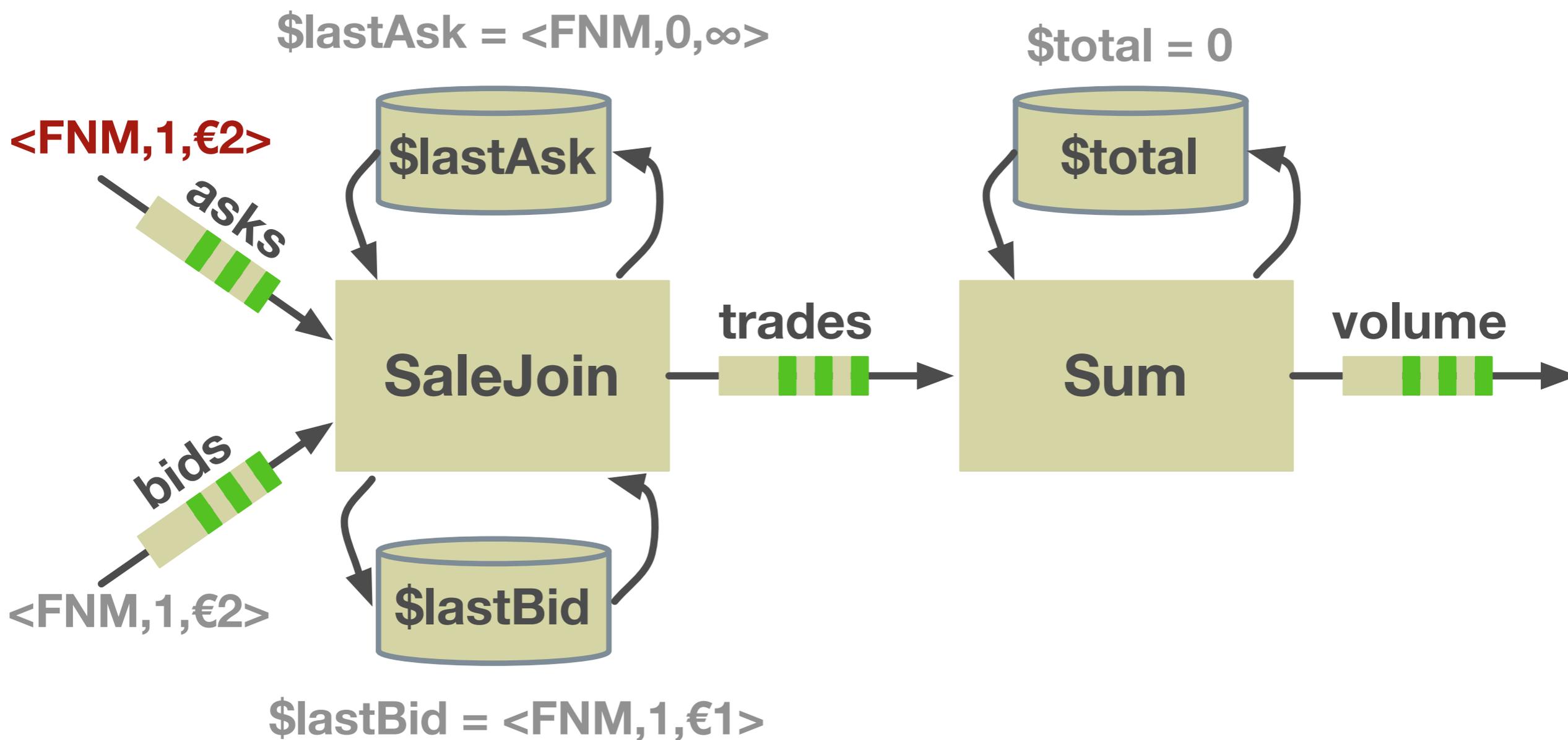
# Example: A Fannie Mae Bid/Ask Join



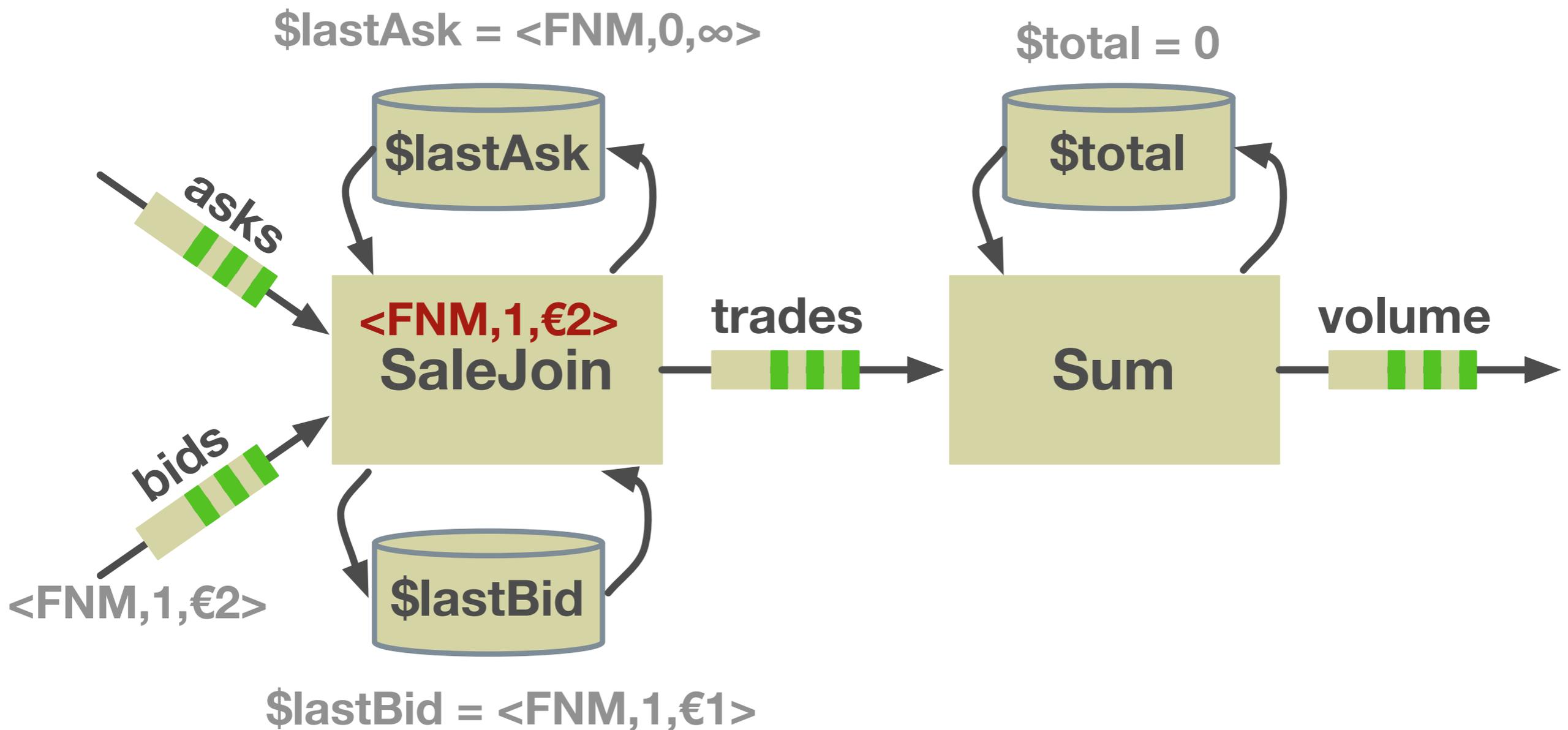
# Example: A Fannie Mae Bid/Ask Join



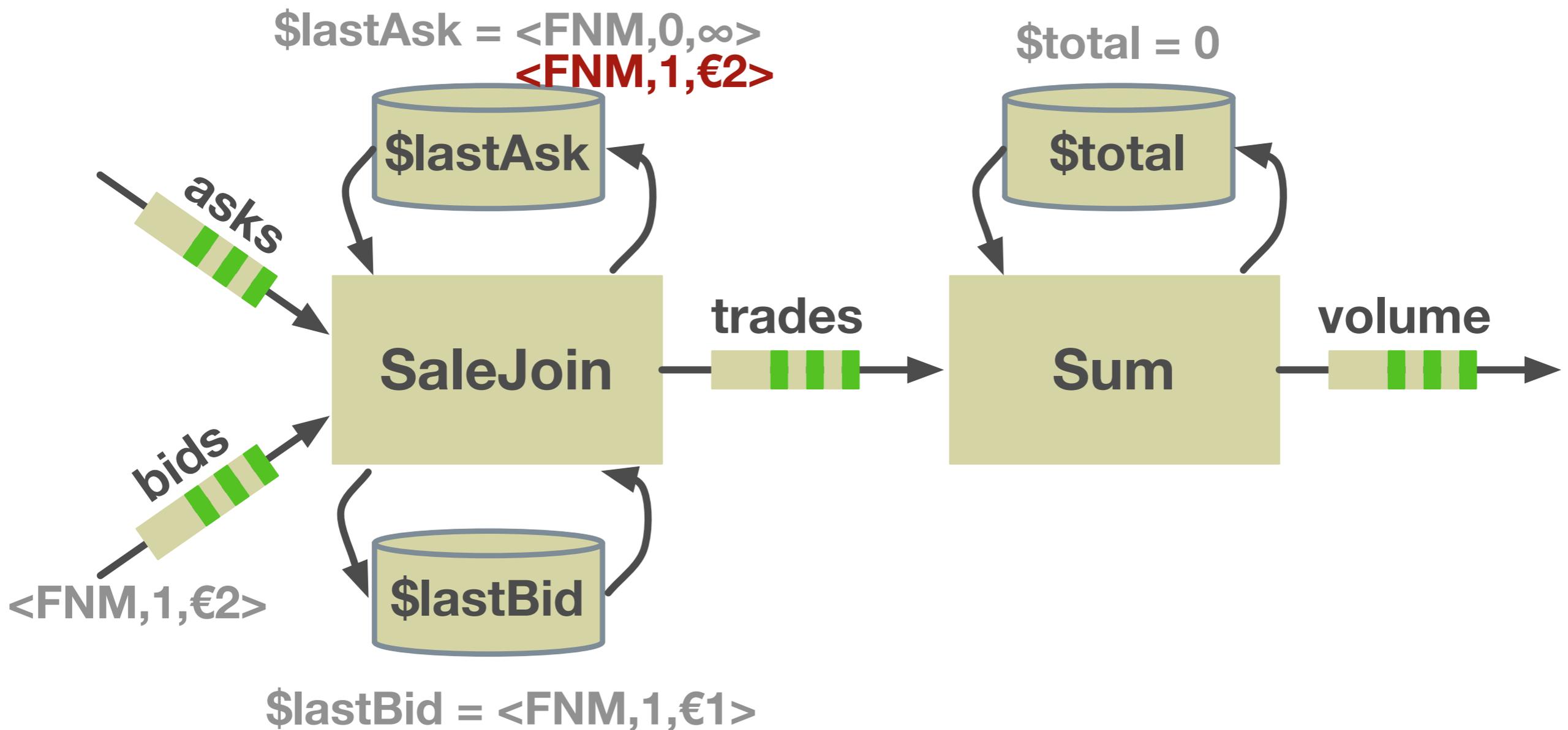
# Example: A Fannie Mae Bid/Ask Join



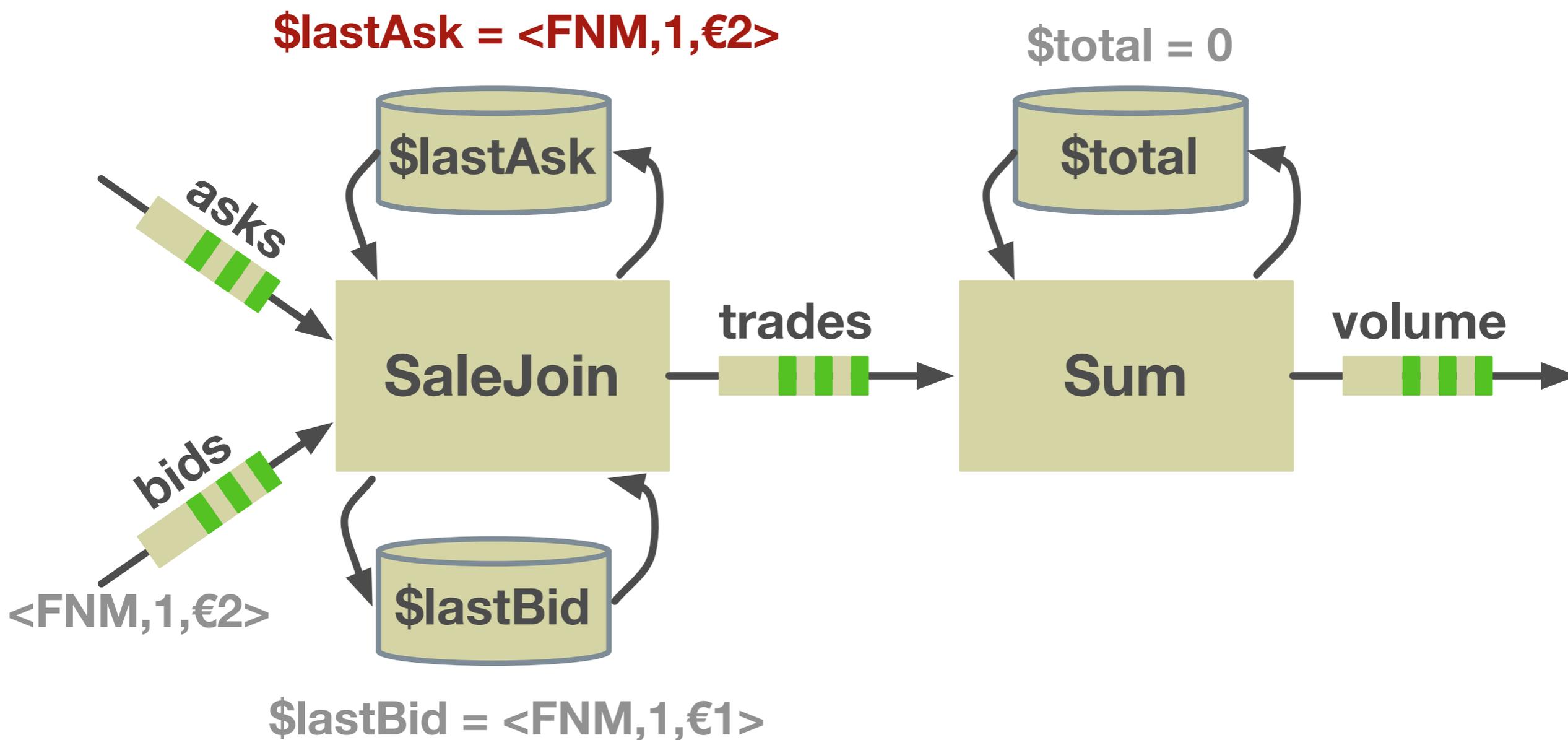
# Example: A Fannie Mae Bid/Ask Join



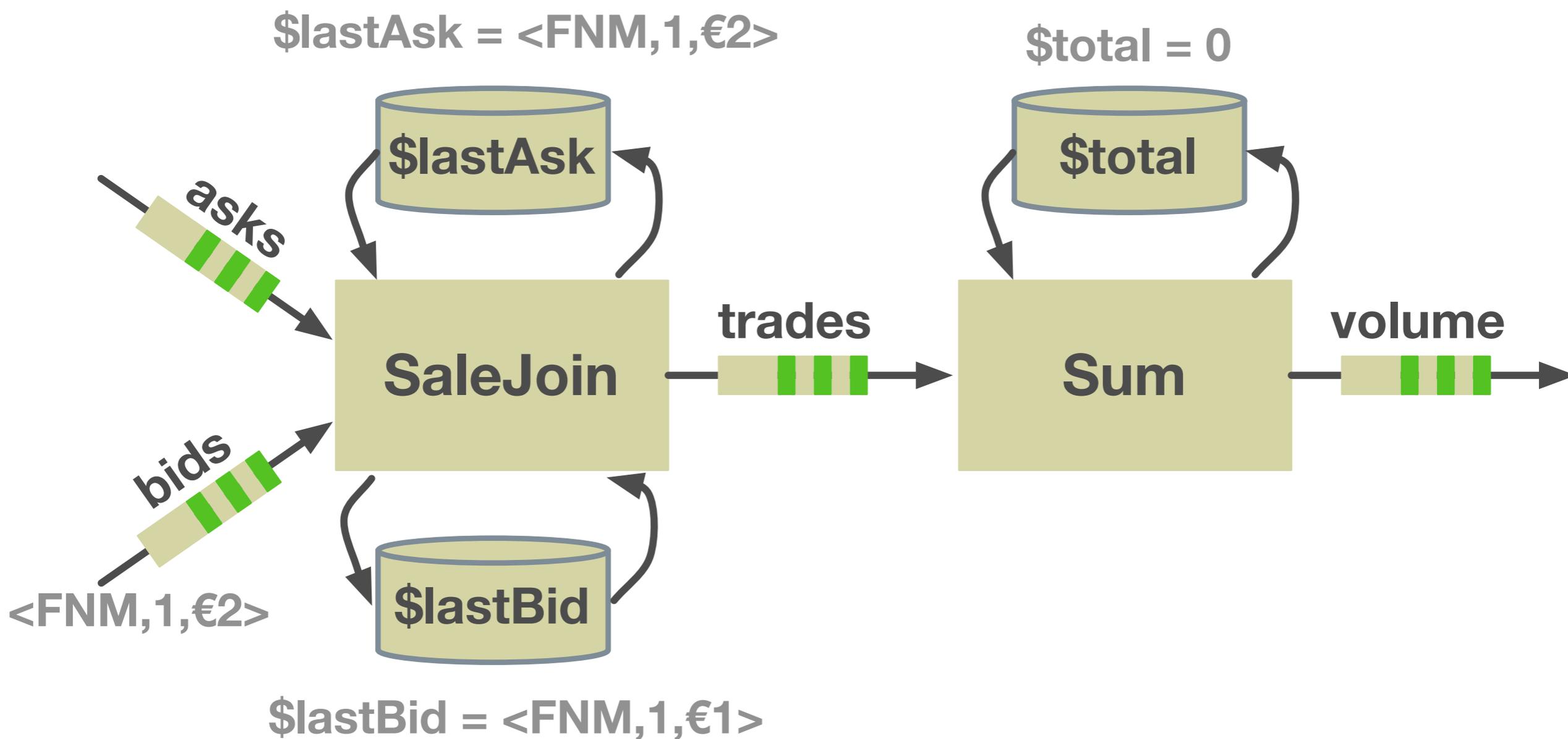
# Example: A Fannie Mae Bid/Ask Join



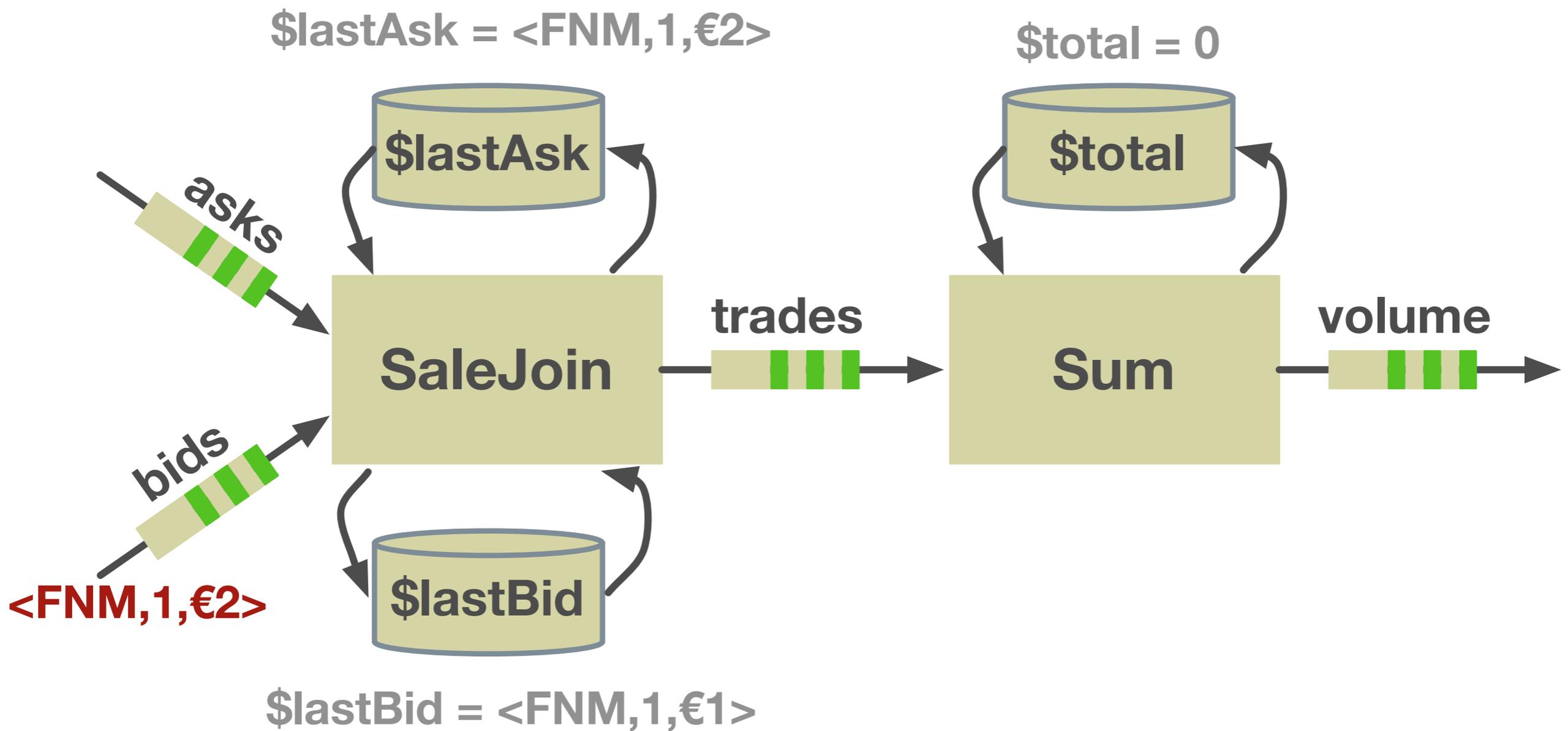
# Example: A Fannie Mae Bid/Ask Join



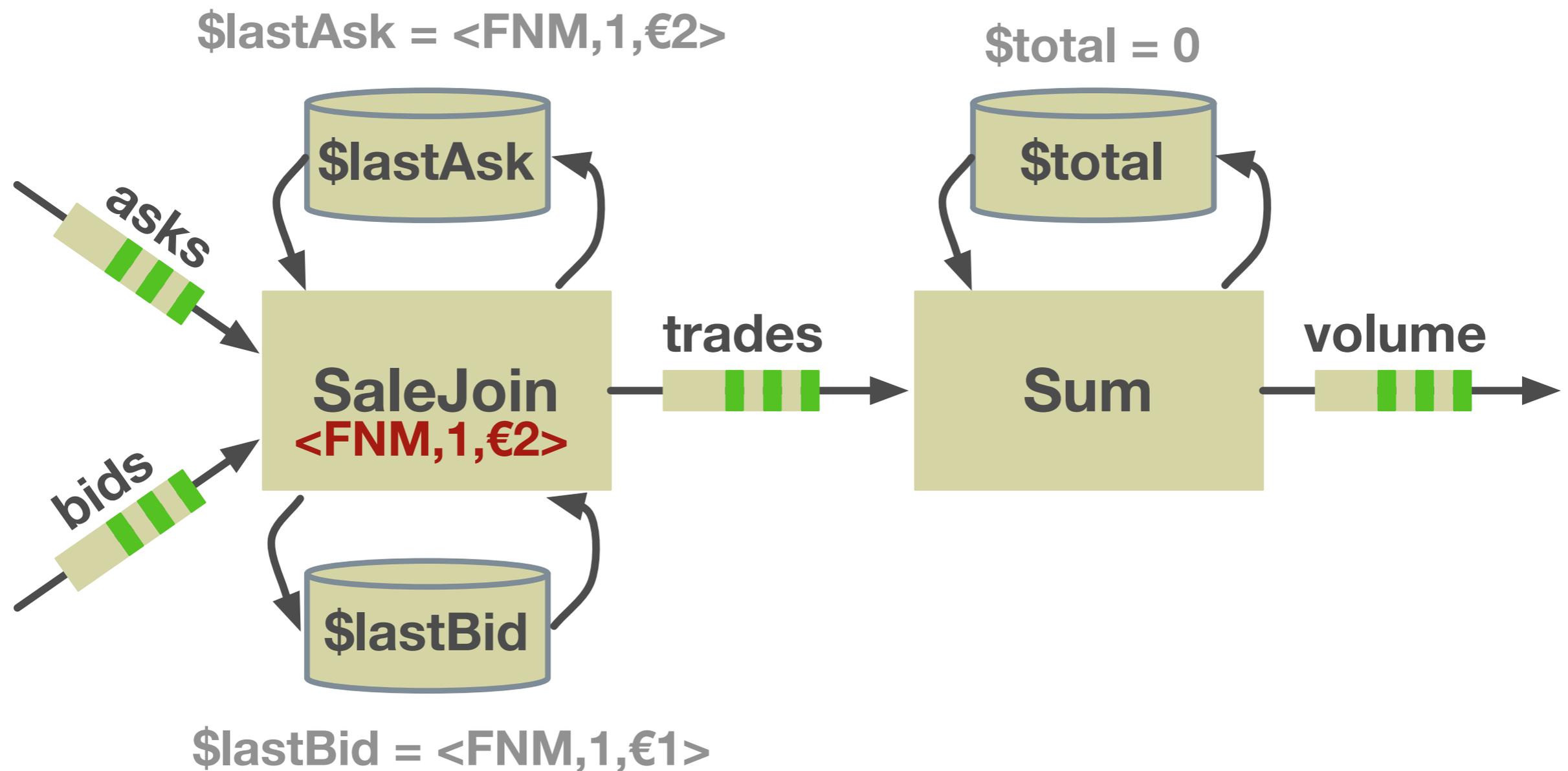
# Example: A Fannie Mae Bid/Ask Join



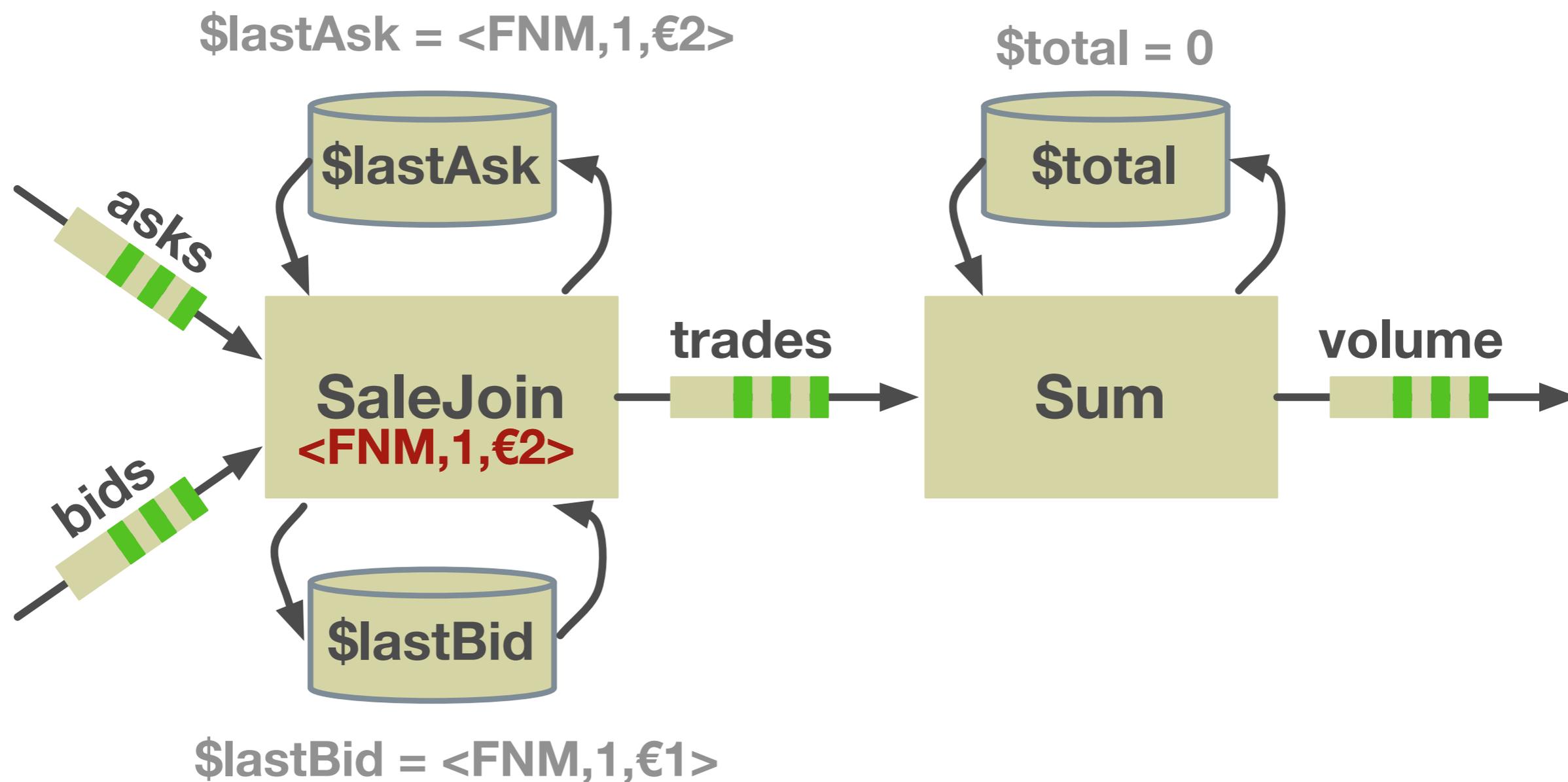
# Example: A Fannie Mae Bid/Ask Join



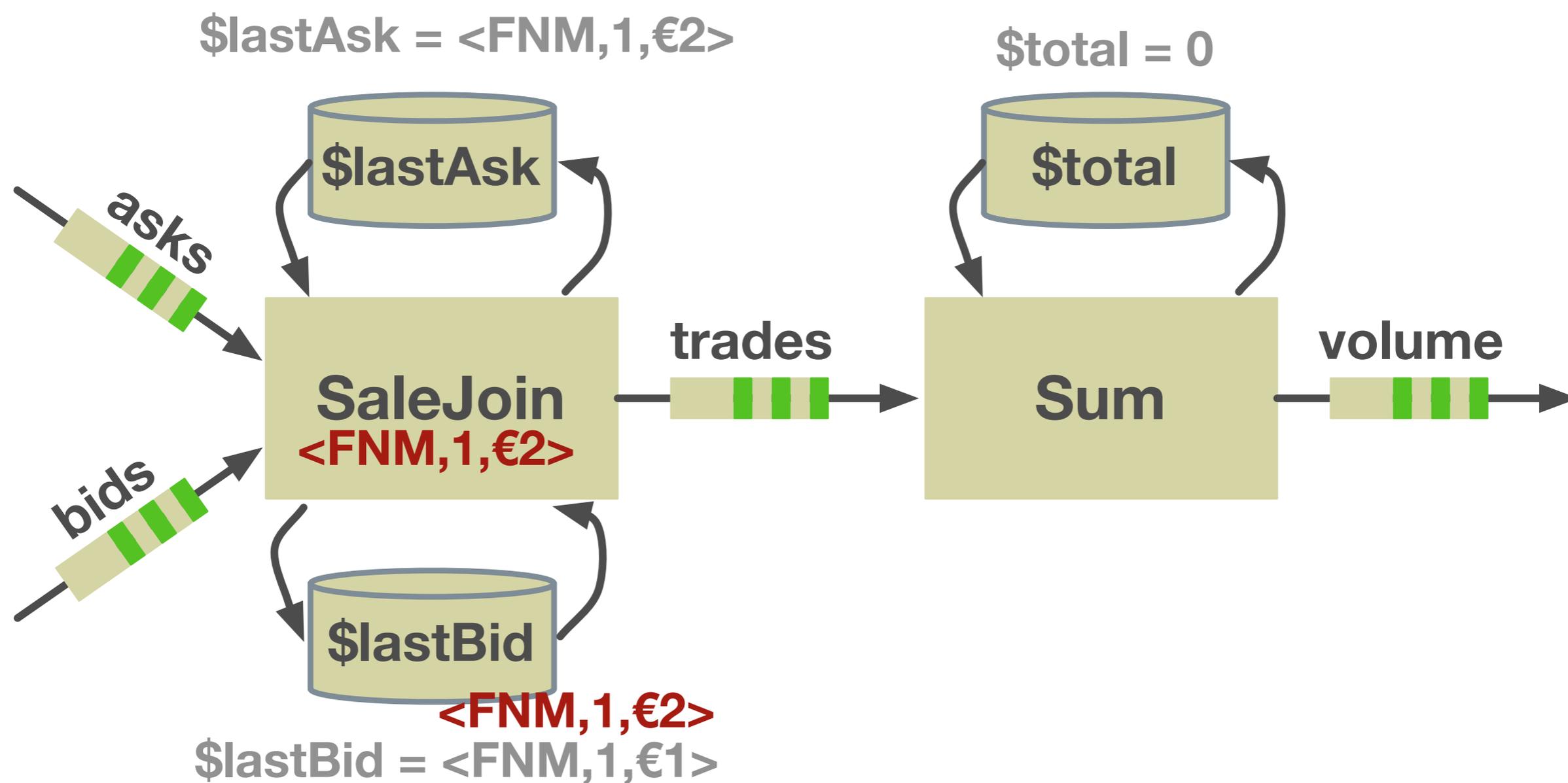
# Example: A Fannie Mae Bid/Ask Join



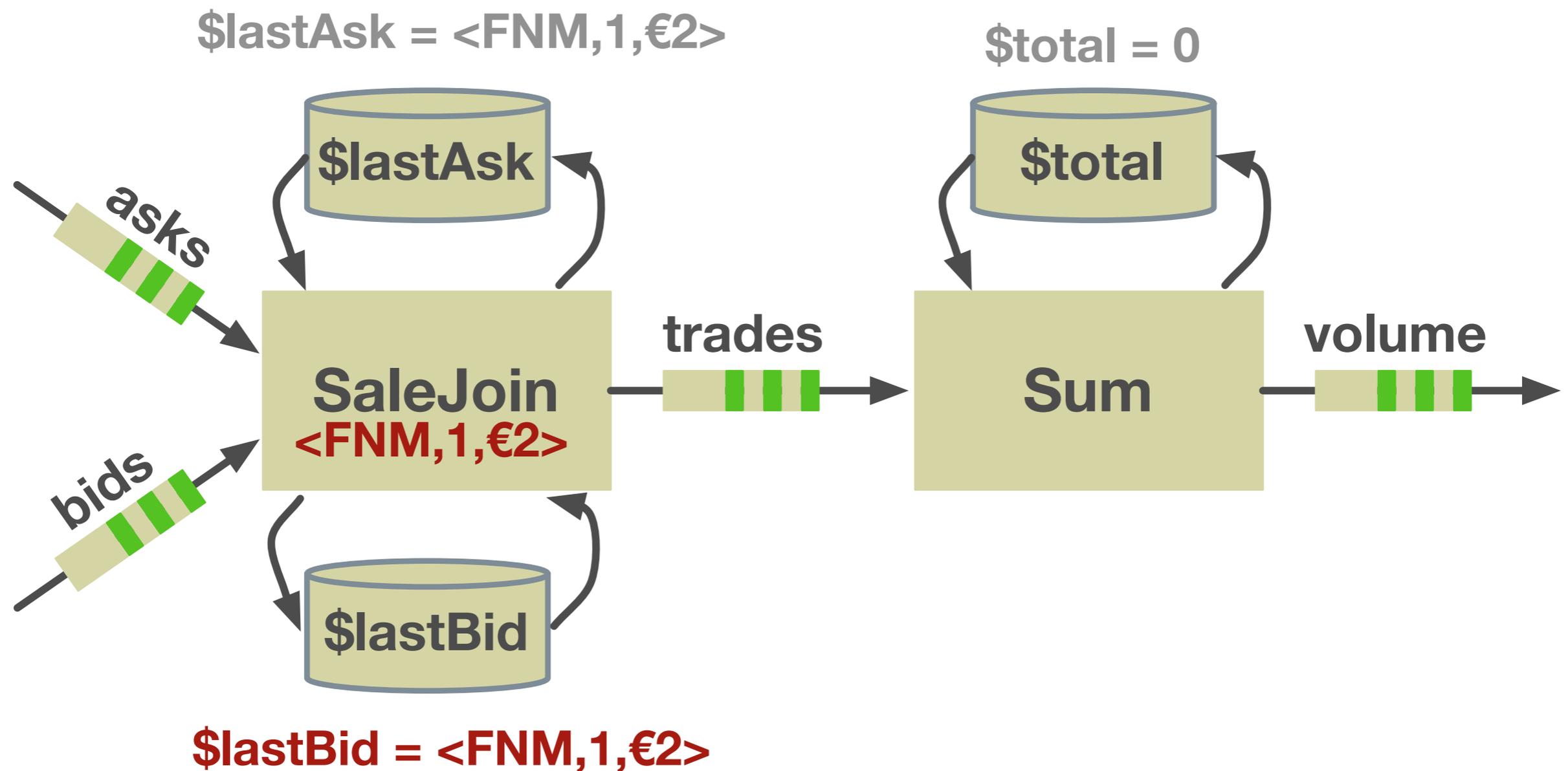
# Example: A Fannie Mae Bid/Ask Join



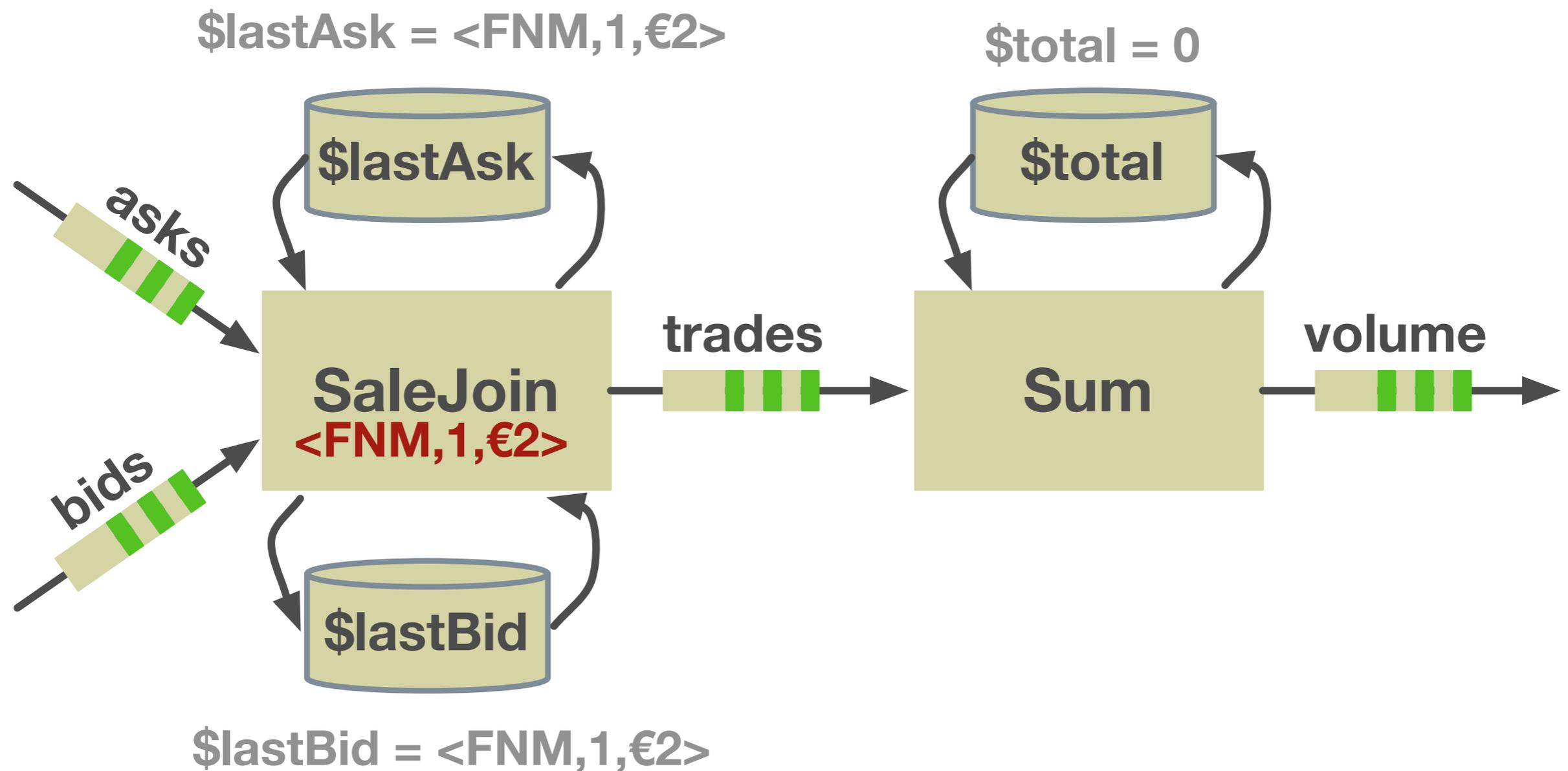
# Example: A Fannie Mae Bid/Ask Join



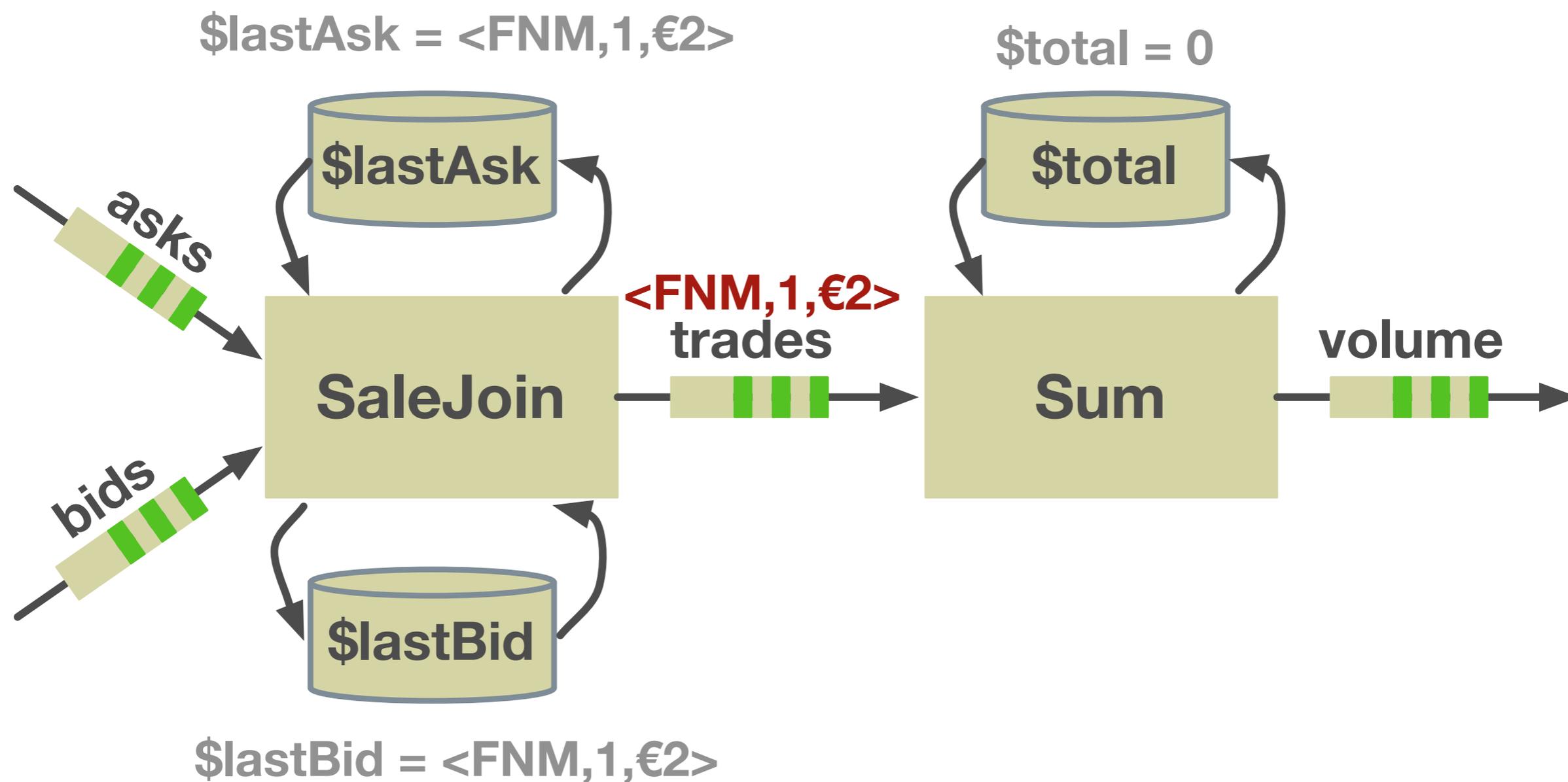
# Example: A Fannie Mae Bid/Ask Join



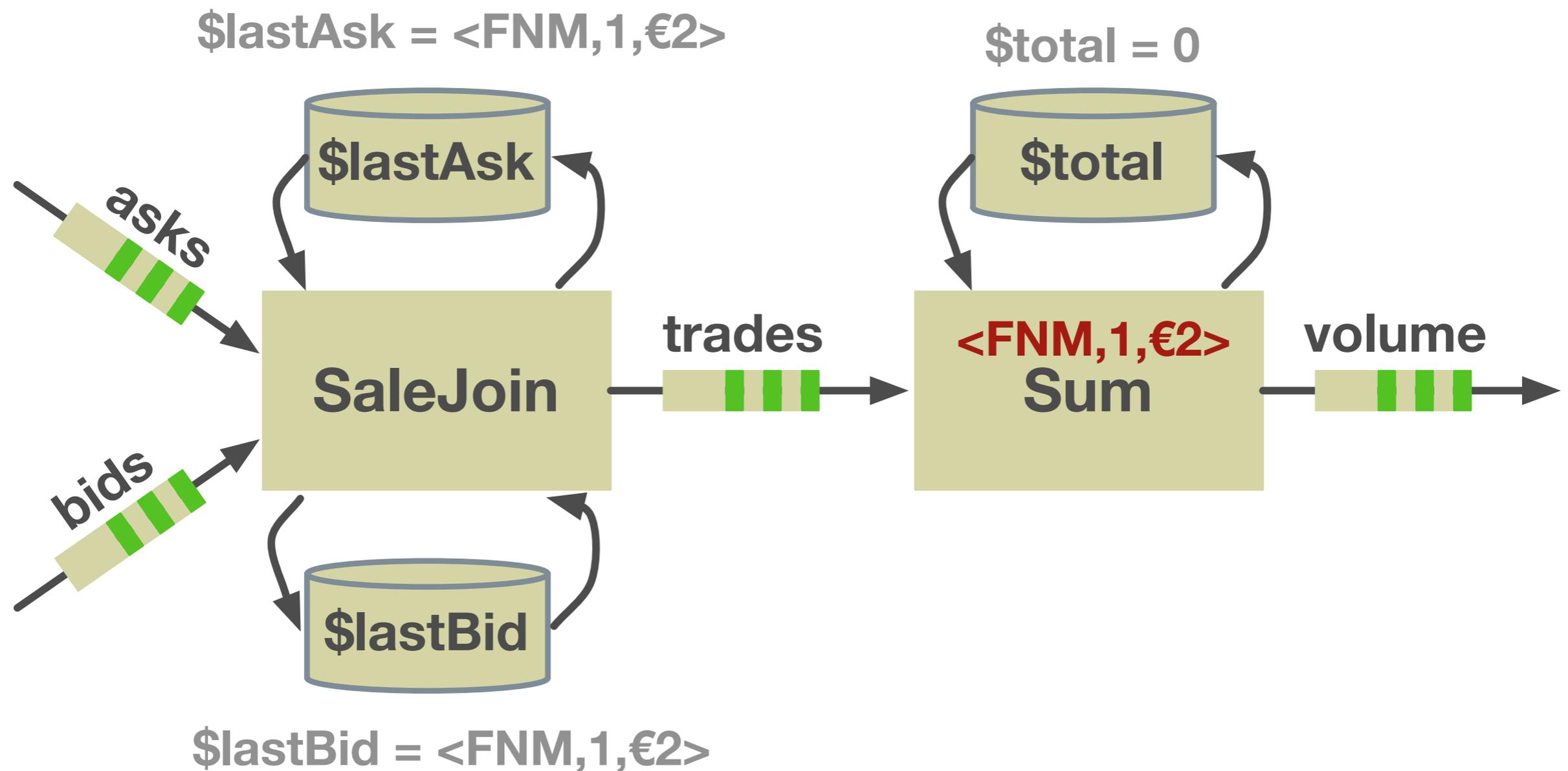
# Example: A Fannie Mae Bid/Ask Join



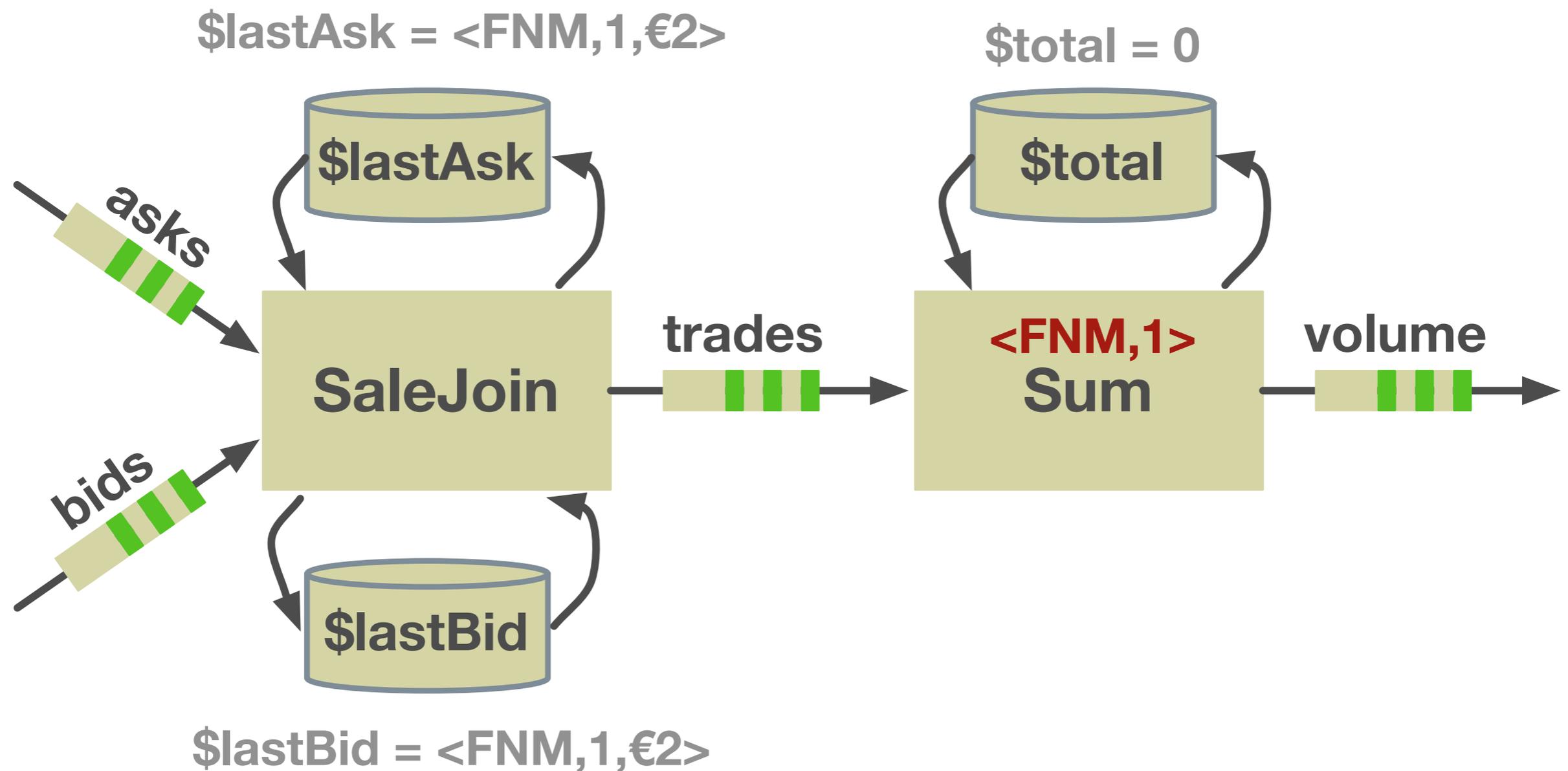
# Example: A Fannie Mae Bid/Ask Join



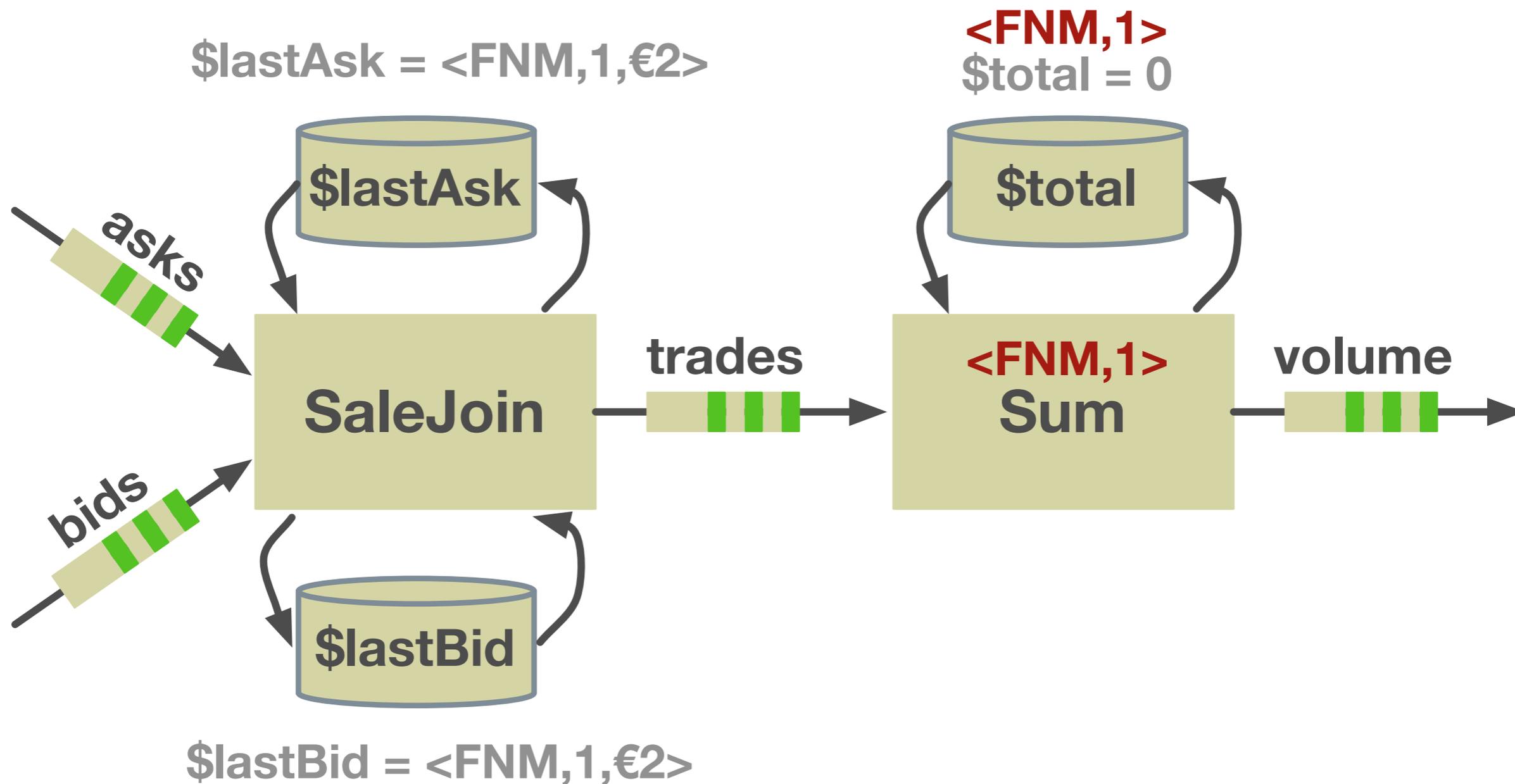
# Example: A Fannie Mae Bid/Ask Join



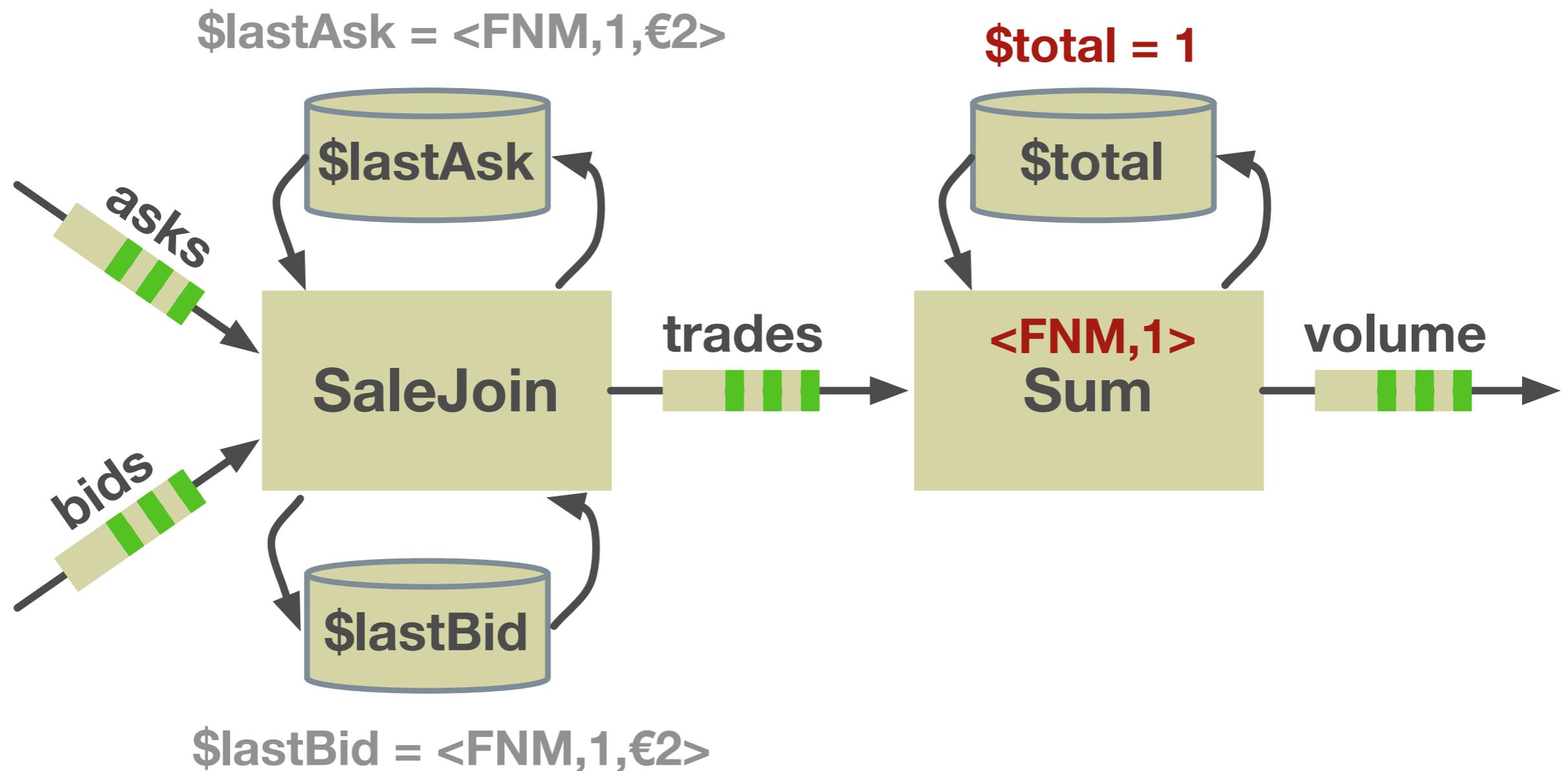
# Example: A Fannie Mae Bid/Ask Join



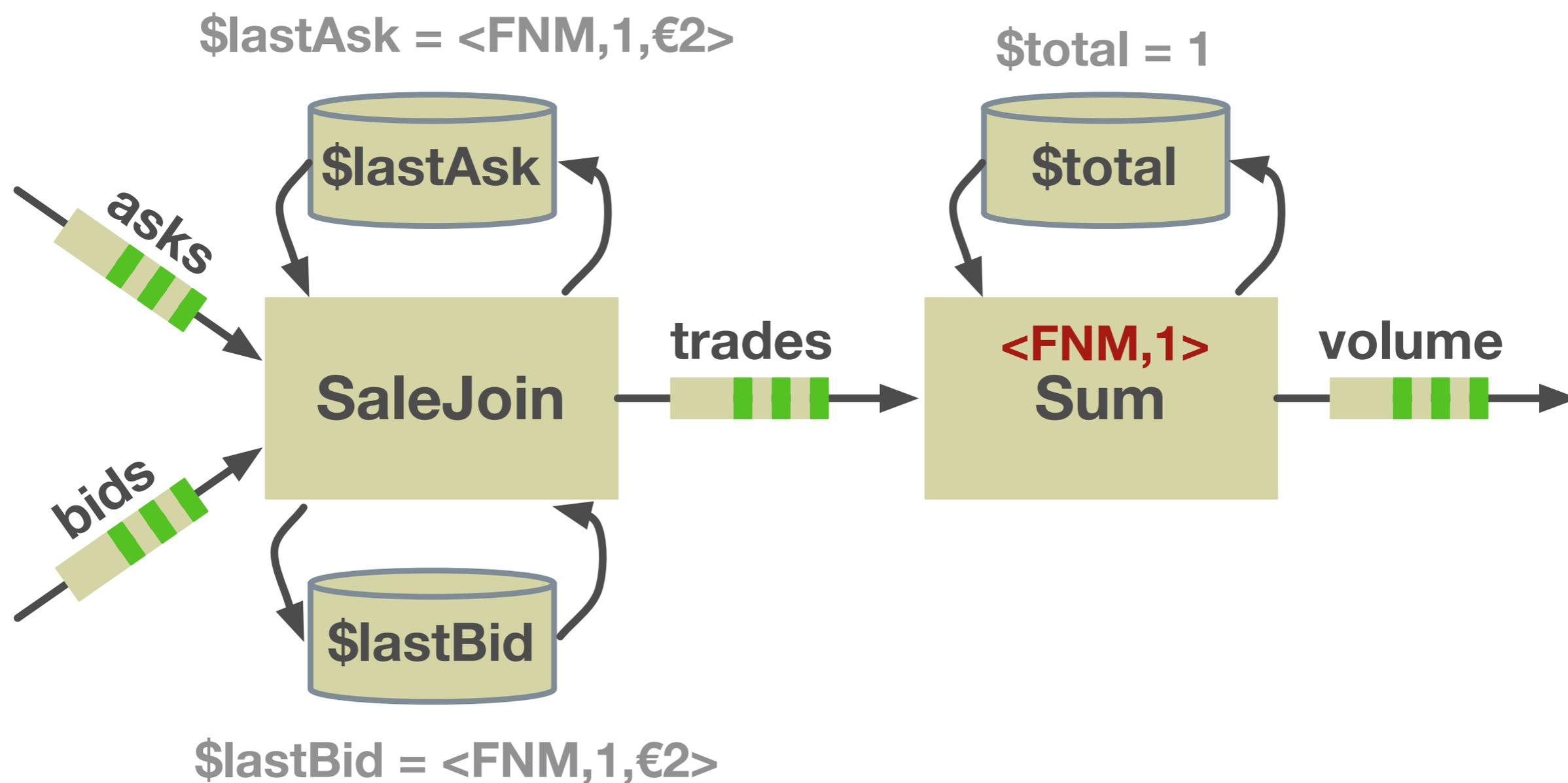
# Example: A Fannie Mae Bid/Ask Join



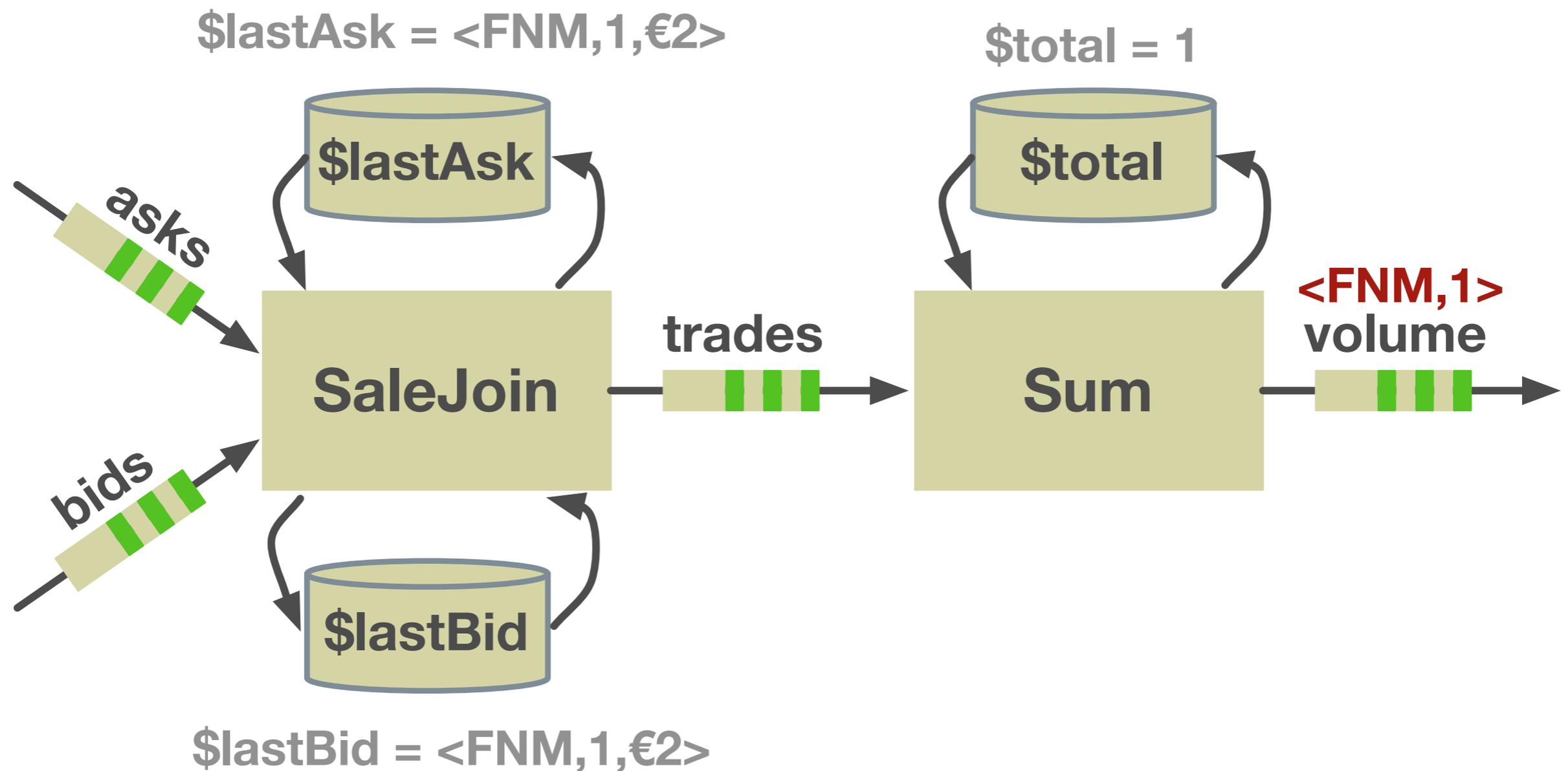
# Example: A Fannie Mae Bid/Ask Join



# Example: A Fannie Mae Bid/Ask Join



# Example: A Fannie Mae Bid/Ask Join

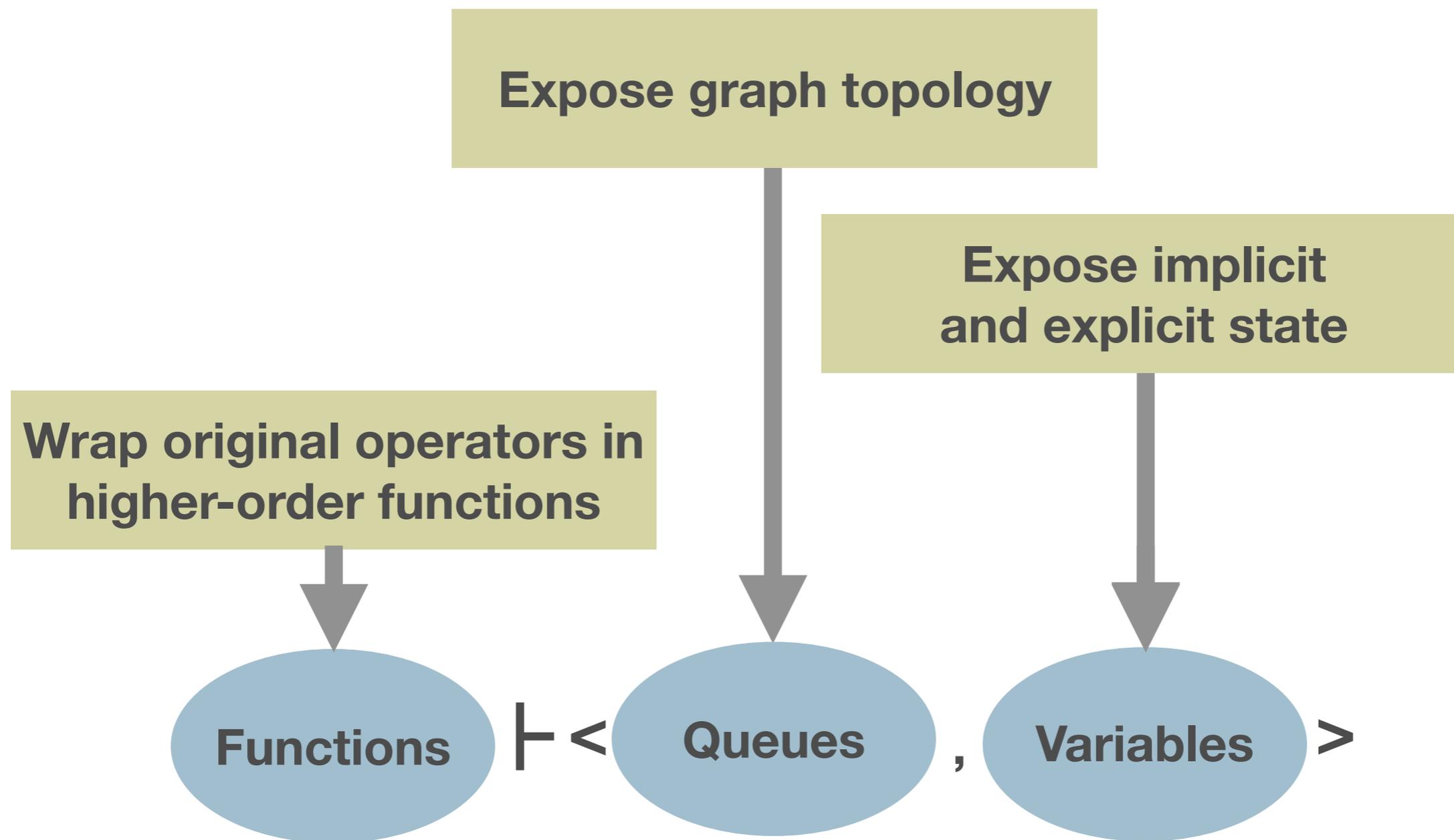


# Translations

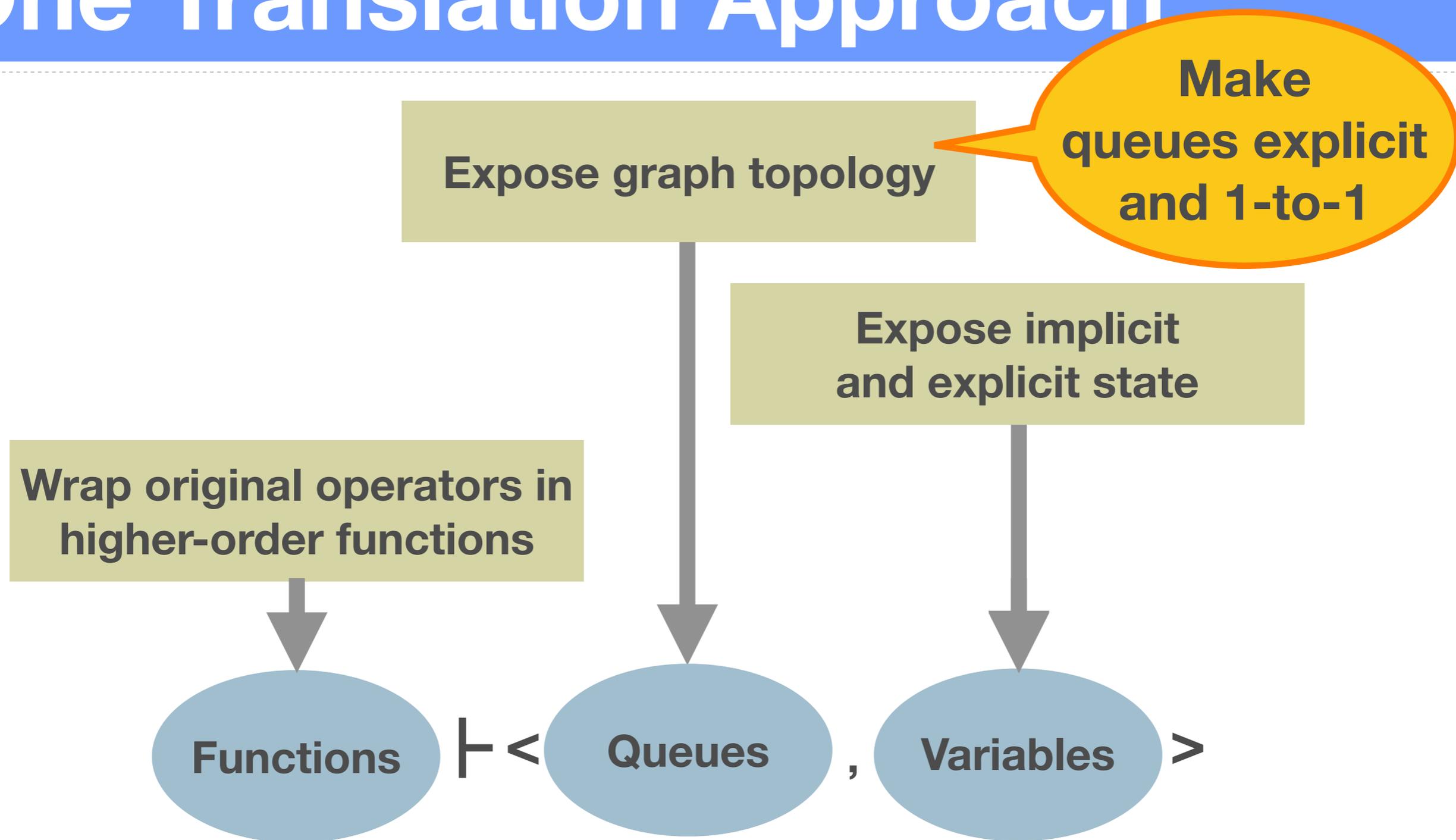
**Demonstrating Brooklet's generality  
by translating three rather diverse streaming languages**



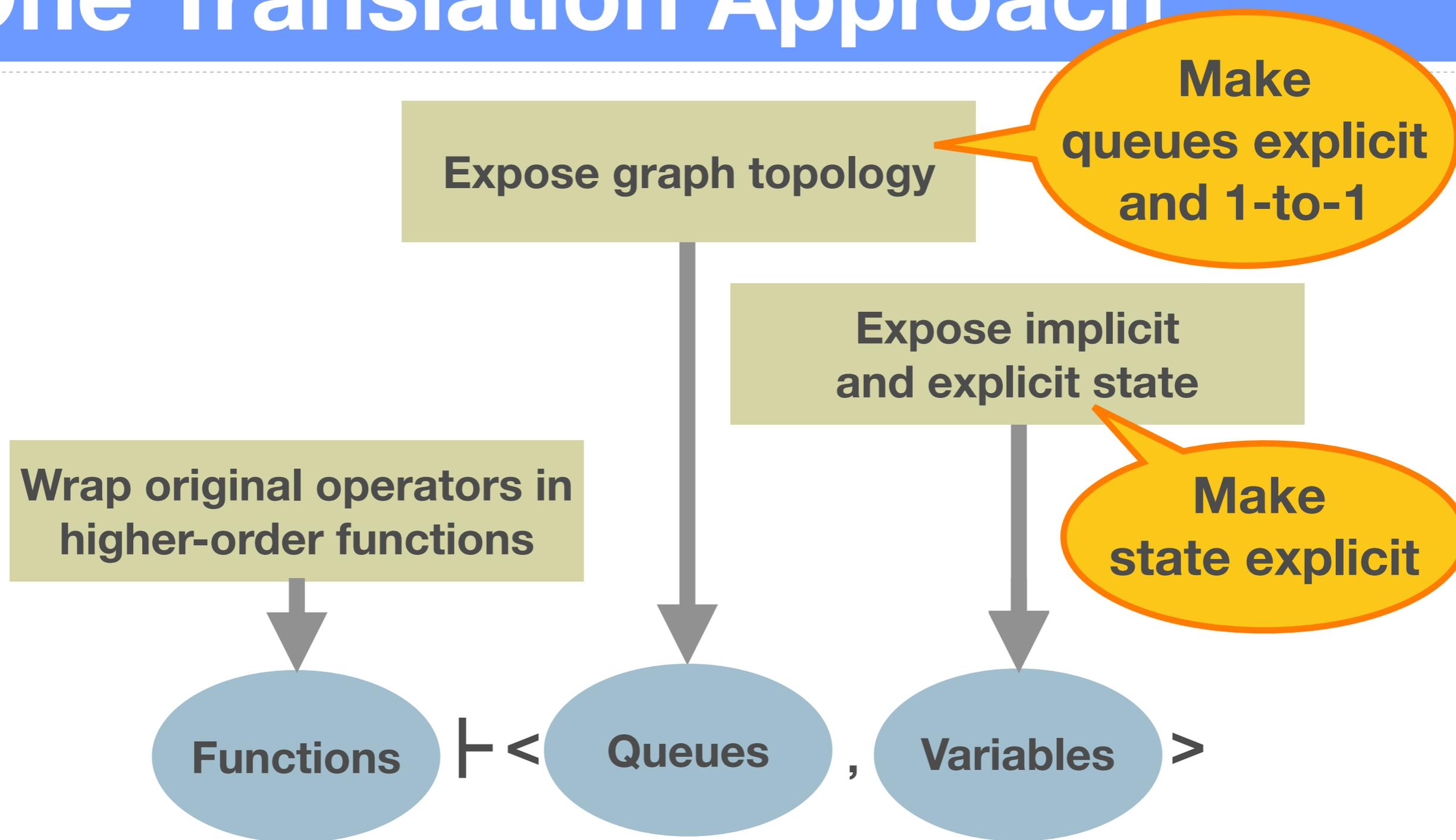
# CQL, StreamIt, Sawzall: One Translation Approach



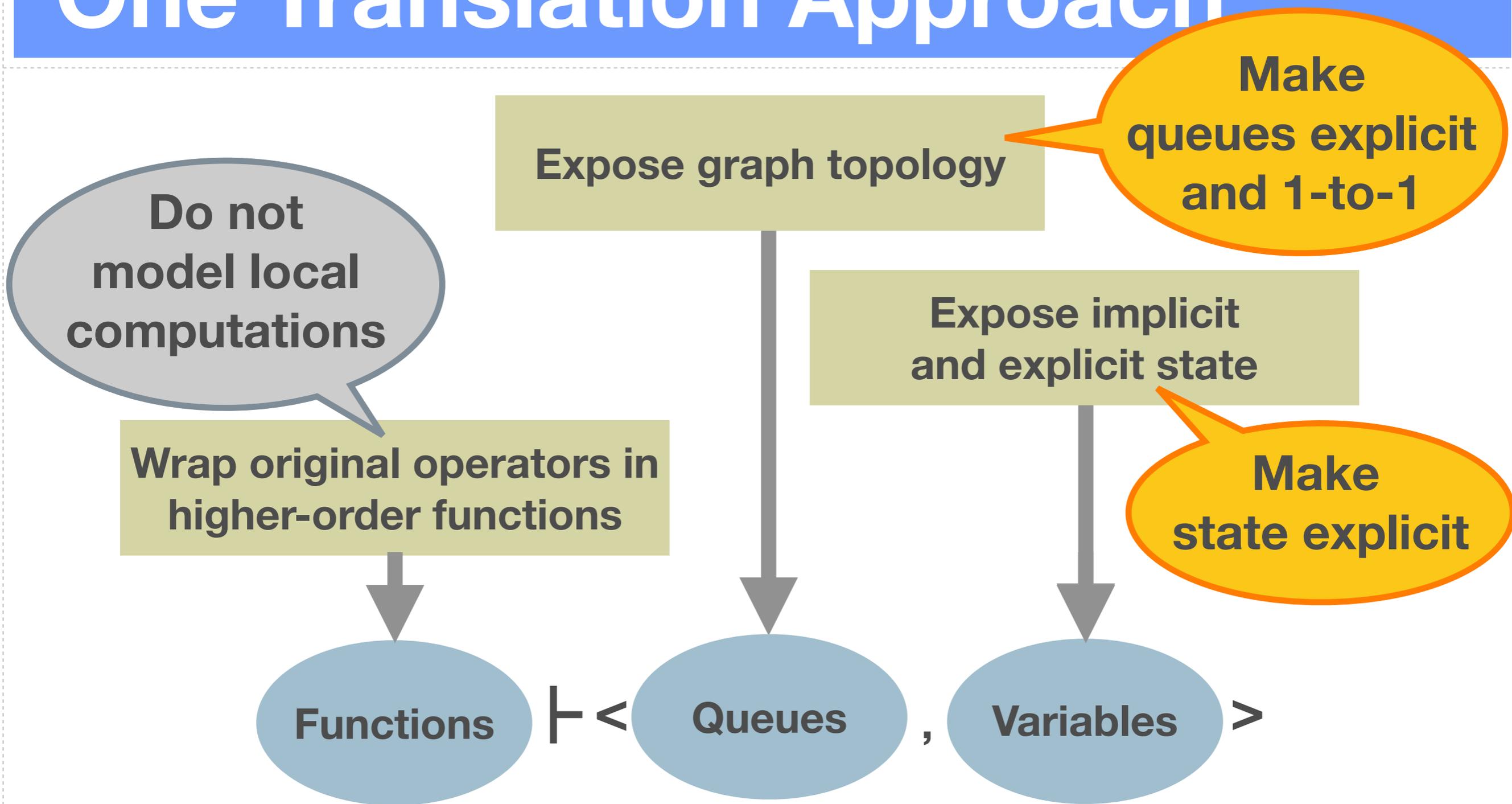
# CQL, StreamIt, Sawzall: One Translation Approach



# CQL, StreamIt, Sawzall: One Translation Approach



# CQL, StreamIt, Sawzall: One Translation Approach

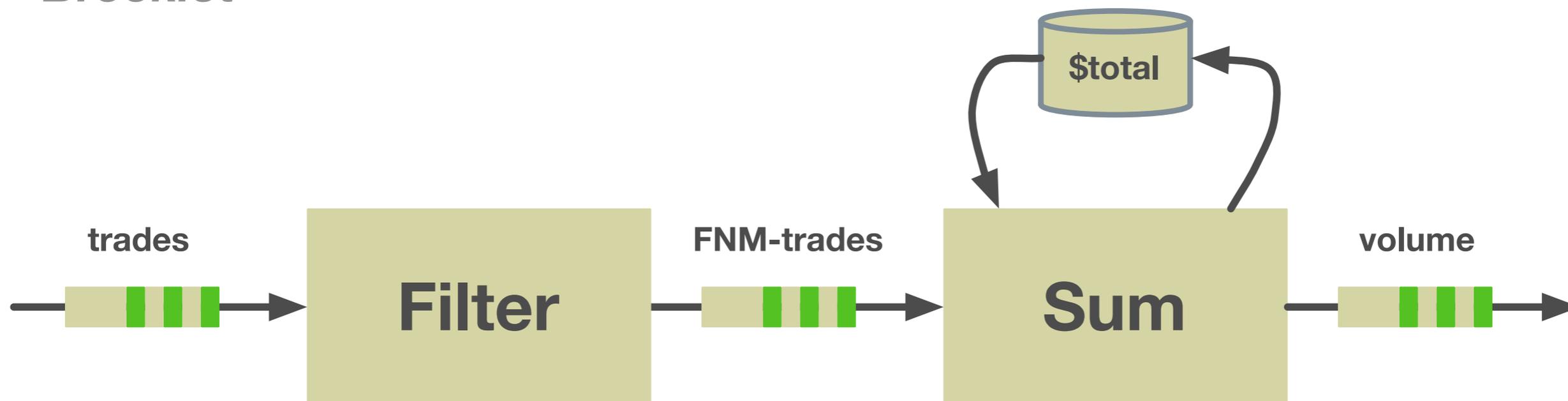


# Example: CQL to Brooklet

*select Sum(shares) from trades  
where trades.ticker = "FNM"*

*CQL*

*Brooklet*

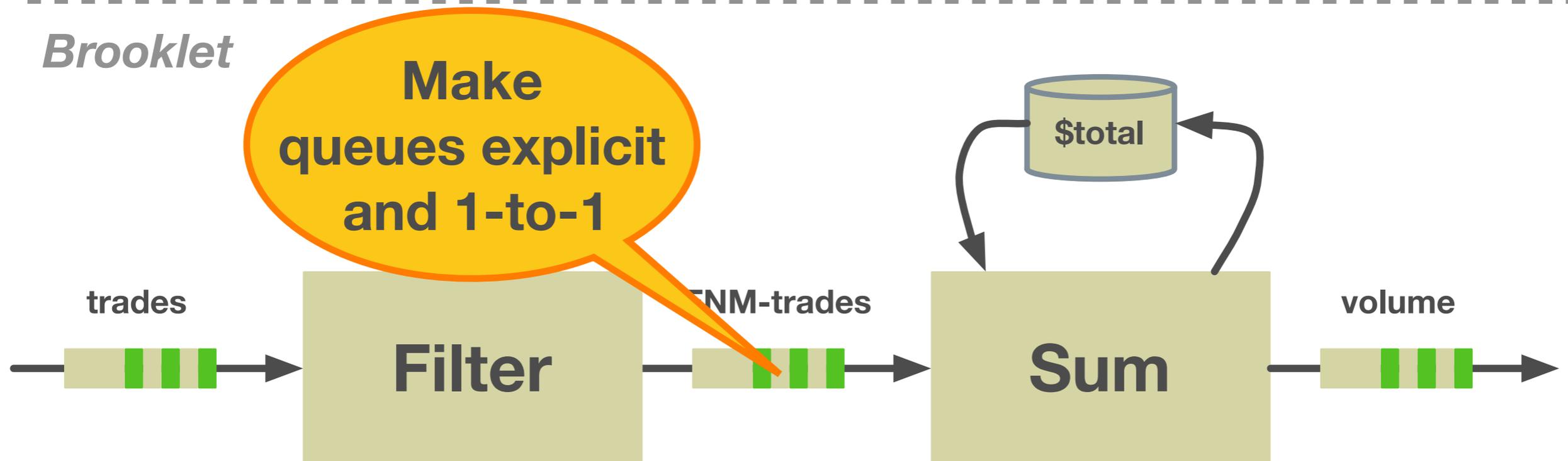


# Example: CQL to Brooklet

*select Sum(shares) from trades  
where trades.ticker = "FNM"*

*CQL*

*Brooklet*



# Example: CQL to Brooklet

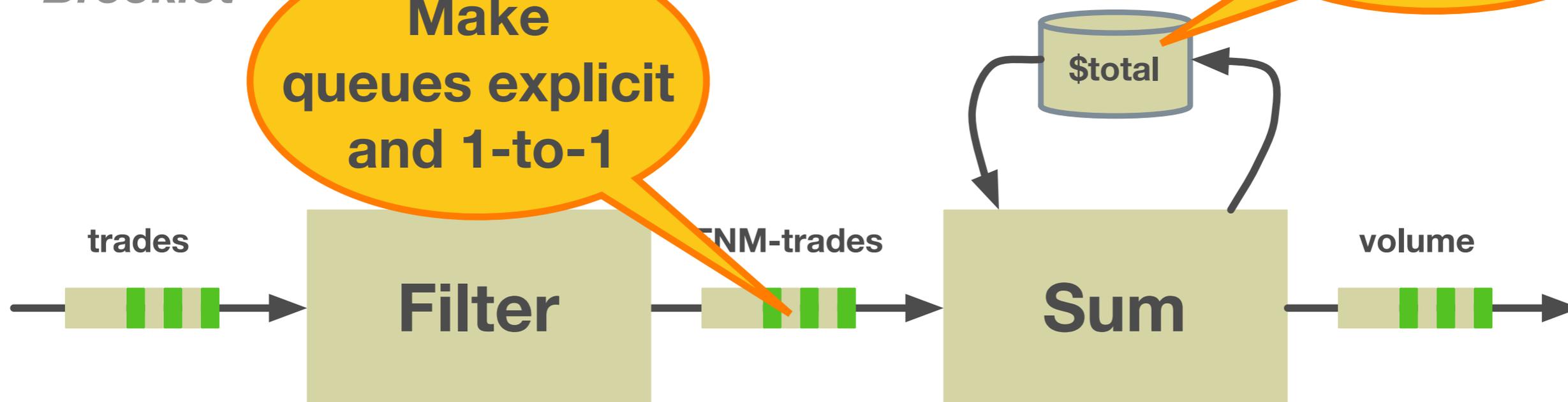
*select Sum(shares) from trades  
where trades.ticker = "FNM"*

CQL

Brooklet

Make state explicit

Make queues explicit and 1-to-1

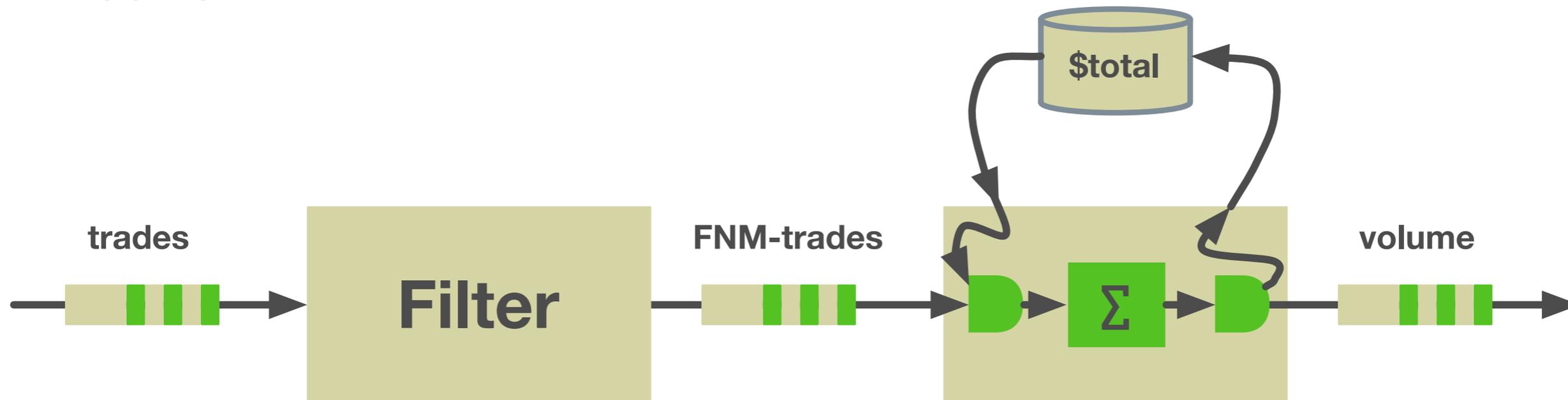


# Example: CQL to Brooklet

*select Sum(shares) from trades  
where trades.ticker = "FNM"*

*CQL*

*Brooklet*

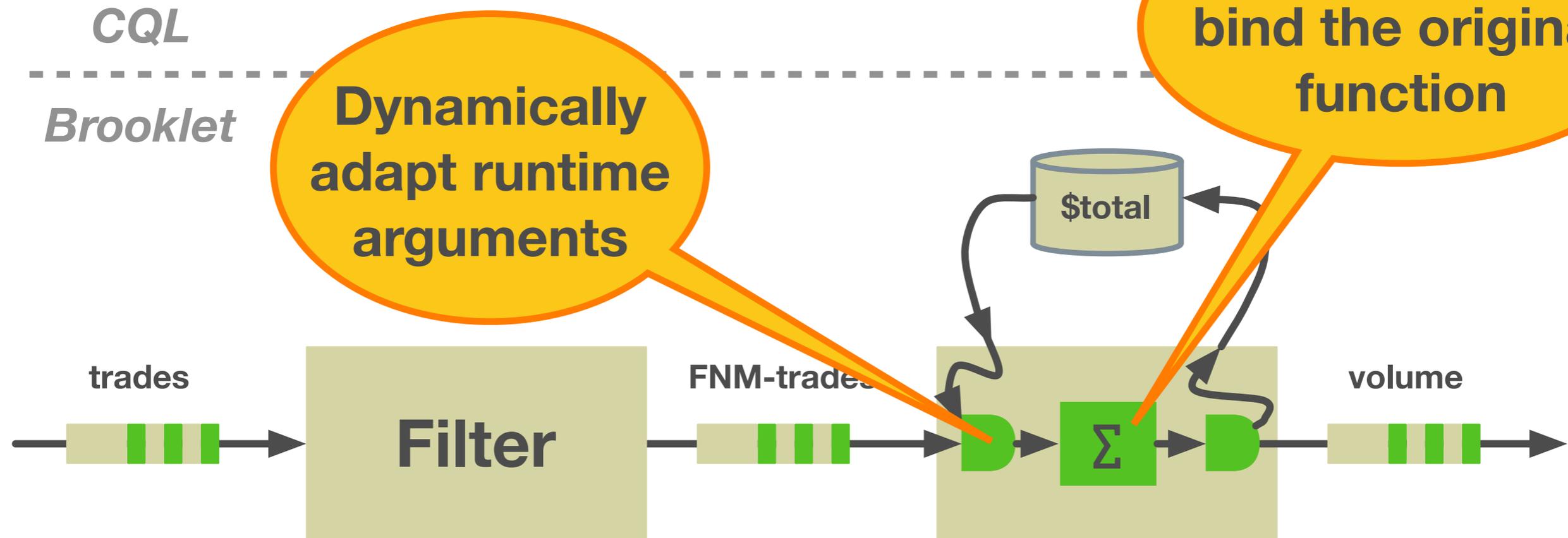


# Example: CQL to Brooklet

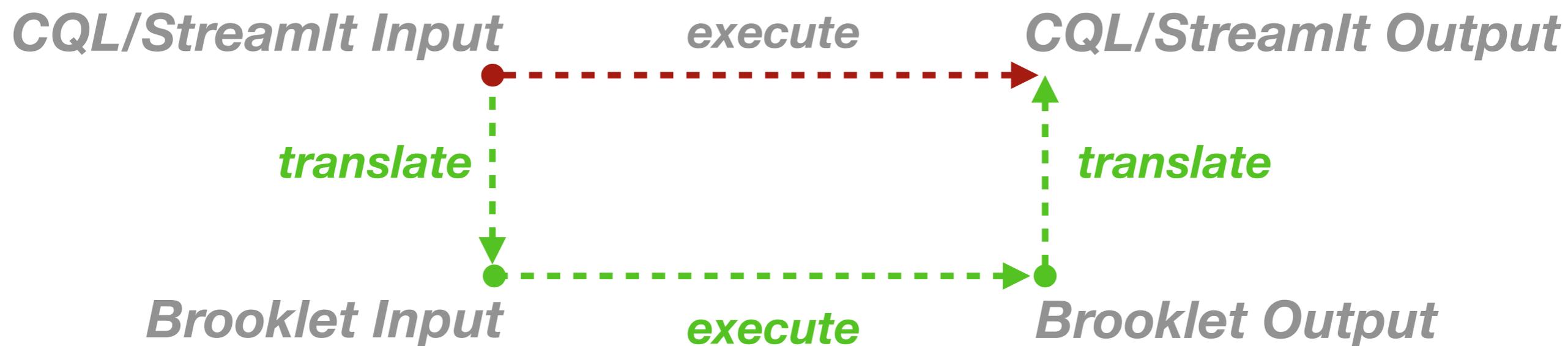
*select Sum(shares) from trades  
where trades.ticker = "FNM"*

CQL

Brooklet



# Translation Correctness Theorem



- ❏ Results under CQL and StreamIt semantics are the same as the results under Brooklet semantics after translation
- ❏ First formal semantics for Sawzall

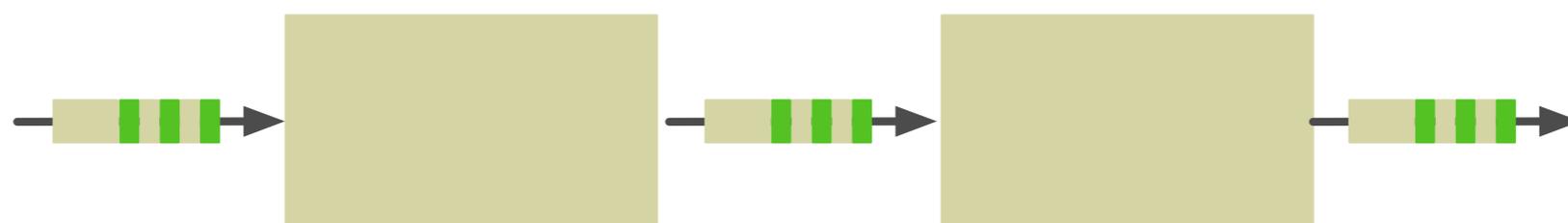


# Optimizations

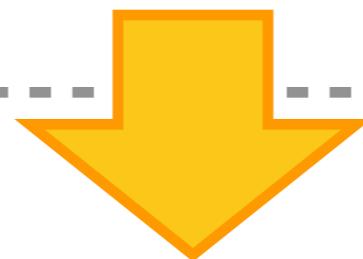
**Demonstrating Brooklet's utility  
by realizing three essential optimizations**



# Operator Fusion: Eliminate Queueing Delays



*before*



*after*



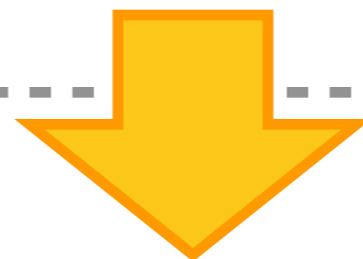
**Look for connected operators,  
whose state isn't used anywhere else**



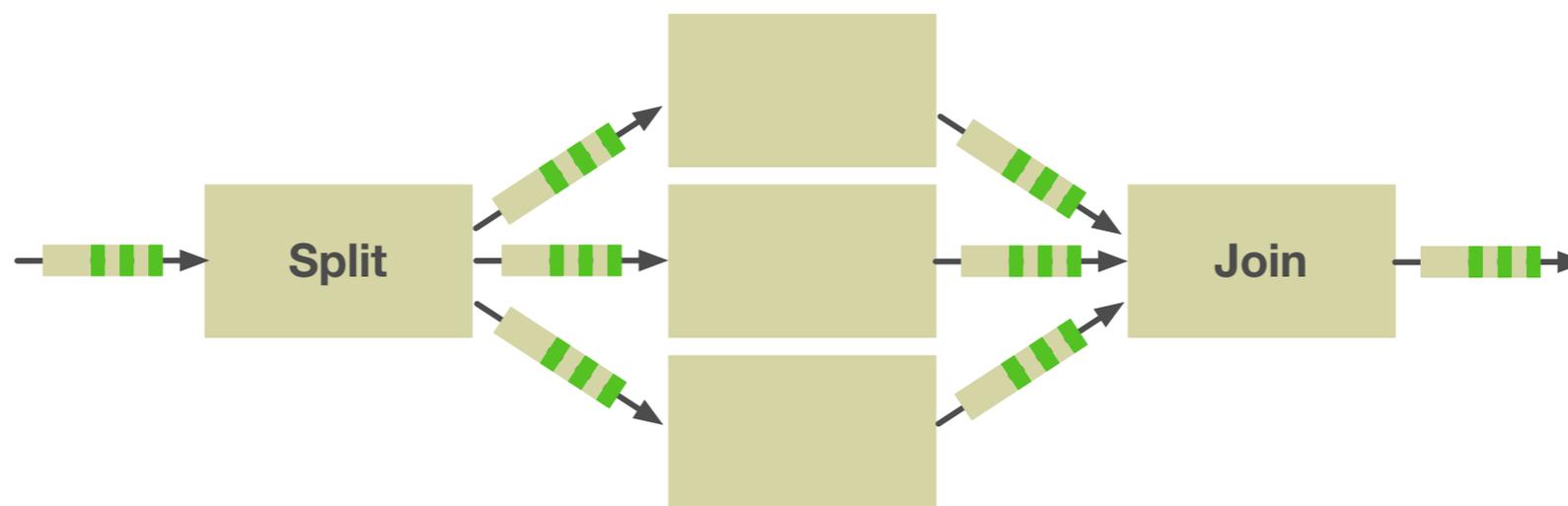
# Operator Fission: Process More Data in Parallel



*before*



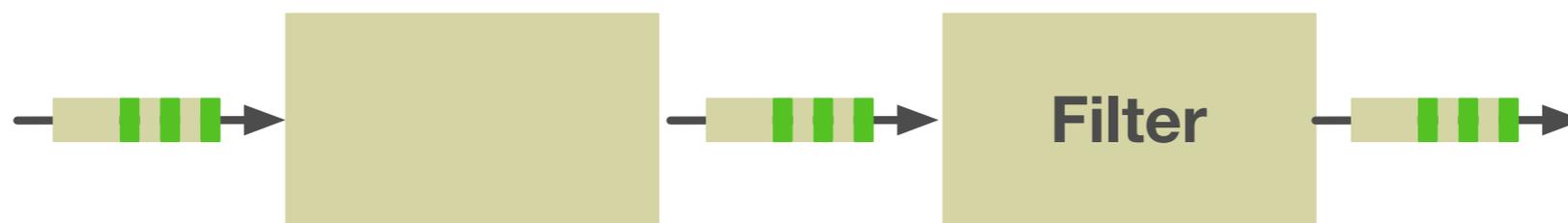
*after*



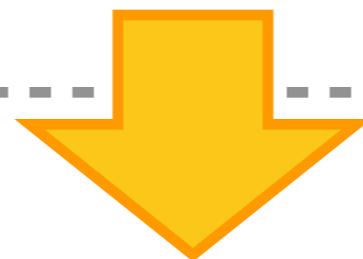
**Look for stateless operators**



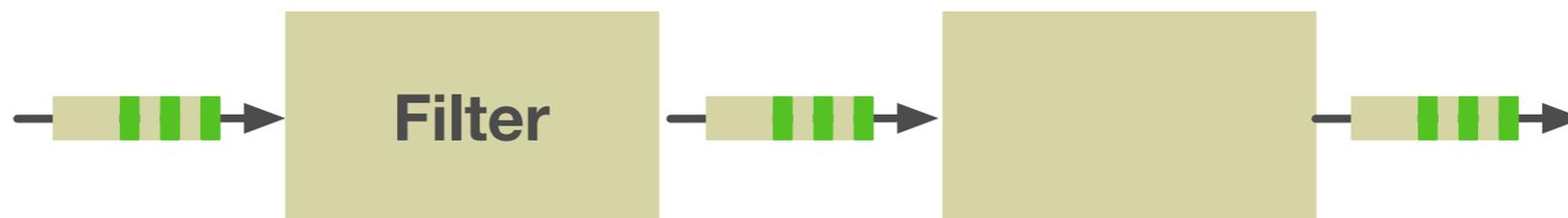
# Operator Reordering: Filter Data Early



*before*



*after*



Look for operators whose read/write sets  
don't overlap [Ghelli et al., SIGMOD 08]





# From a Calculus to an Intermediate Language

**The River Intermediate Language**



# An Intermediate Language for Stream Processing

## ❖ Benefits of a VEE/IL are well known

- ❖ Increase portability, share optimizations, etc.

## ❖ Streaming needs its own IL

- ❖ Need to reason across machines, support different optimizations

## ❖ Brooklet serves as a solid foundation

- ❖ Challenge: How to bridge the gap between theory and practice?



# Make Abstractions Concrete

## Brooklet

## River

Sequence of atomic steps

Operators execute concurrently

Pure functions, state threaded through invocations

Stateful functions, protected with automatic locking

Non-deterministic execution

Restricted execution with bounded queues, and back-pressure

Opaque functions

Function implementations

No physical platform, independent from runtime

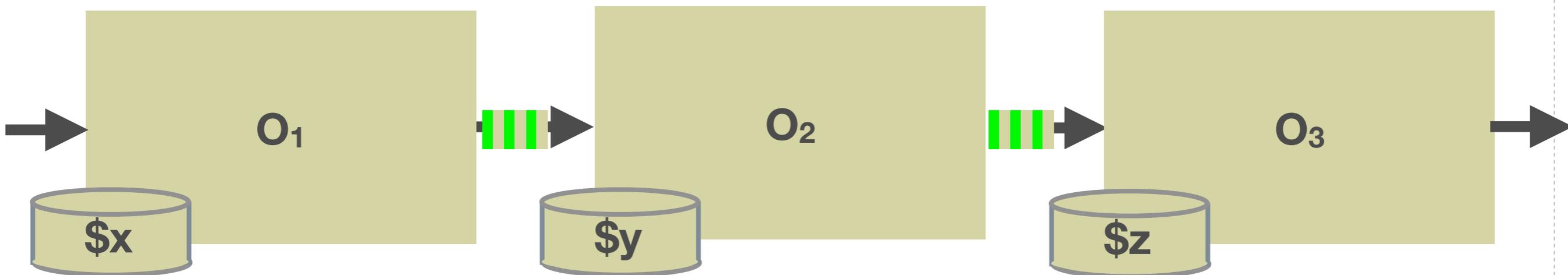
Abstract representation of runtime e.g. placement

Finite execution

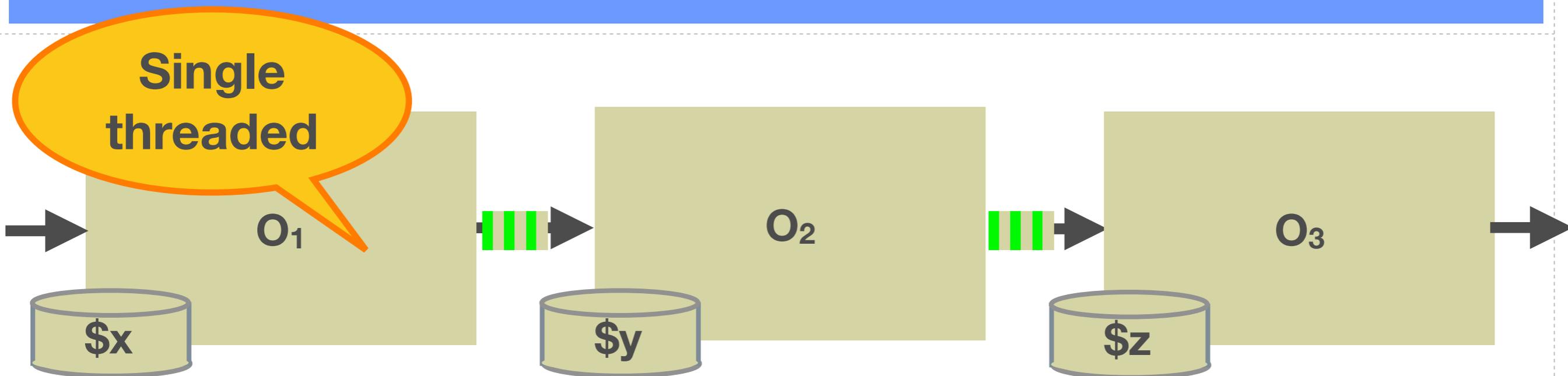
Indefinite execution



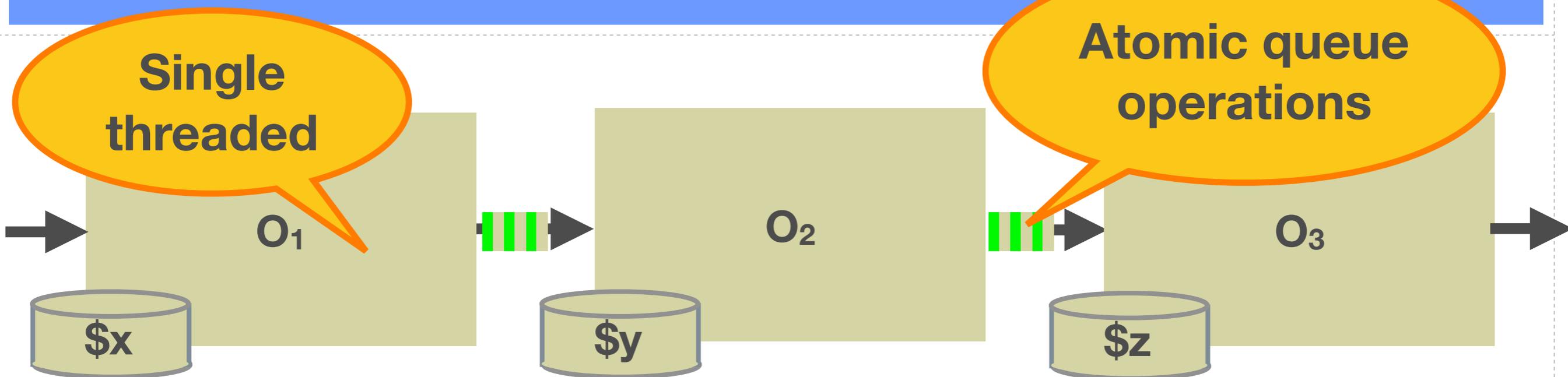
# Concurrent Execution: No Shared State



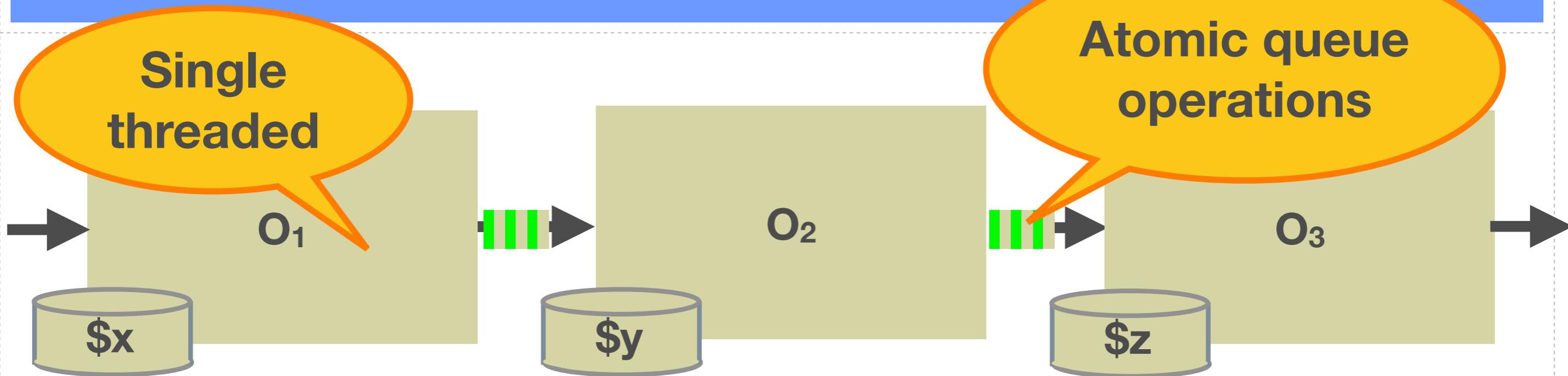
# Concurrent Execution: No Shared State



# Concurrent Execution: No Shared State



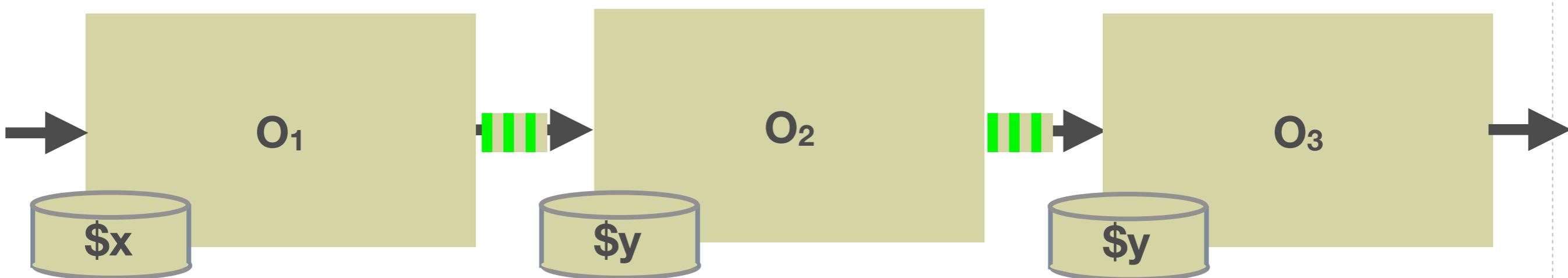
# Concurrent Execution: No Shared State



- Brooklet operators fire one at a time
- River operators fire concurrently
- For both, data must be available



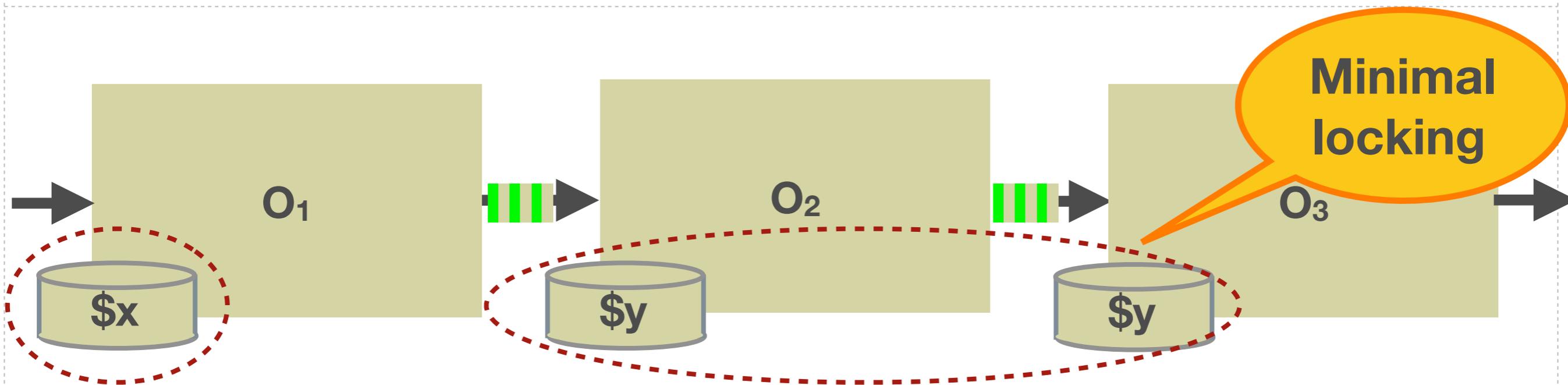
# Concurrent Execution: With Shared State



- ⊞ Locks form equivalence classes over shared variables
- ⊞ Every shared variable is protected by one lock
- ⊞ Shared variables in the same class protected by same lock
- ⊞ Locks acquired/released in standard order



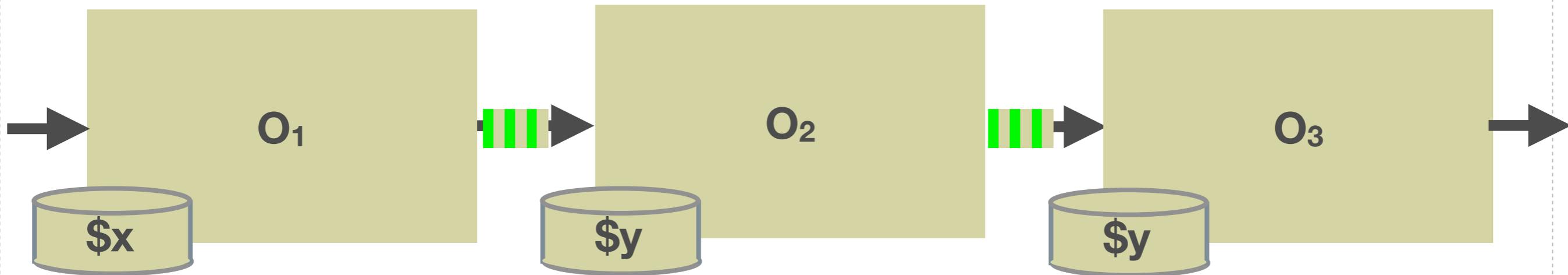
# Concurrent Execution: With Shared State



- ⊞ Locks form equivalence classes over shared variables
- ⊞ Every shared variable is protected by one lock
- ⊞ Shared variables in the same class protected by same lock
- ⊞ Locks acquired/released in standard order



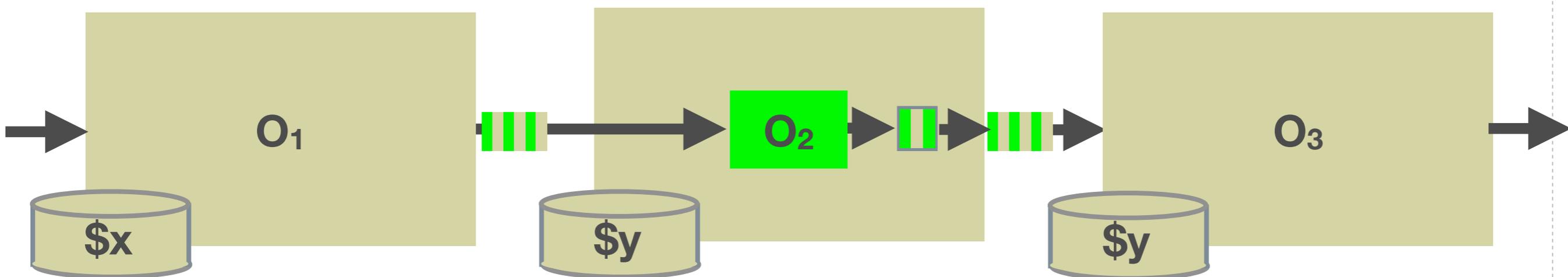
# Restricted Execution: Bounded Queues



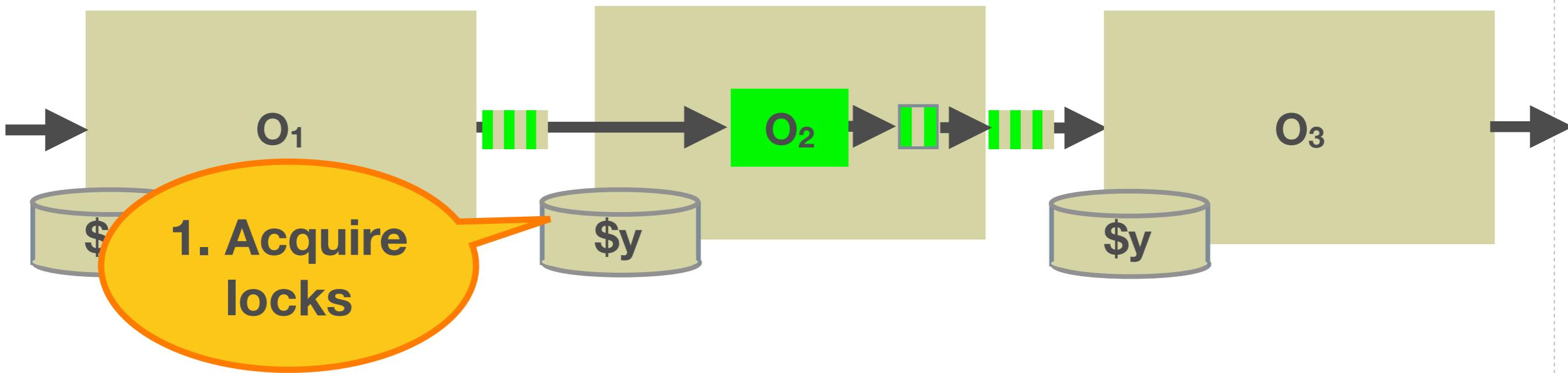
- ❖ Naïve approach: block when output queue is full
- ❖ If O<sub>2</sub> holds the lock on \$x and blocks, O<sub>3</sub> cannot execute
- ❖ Deadlock!



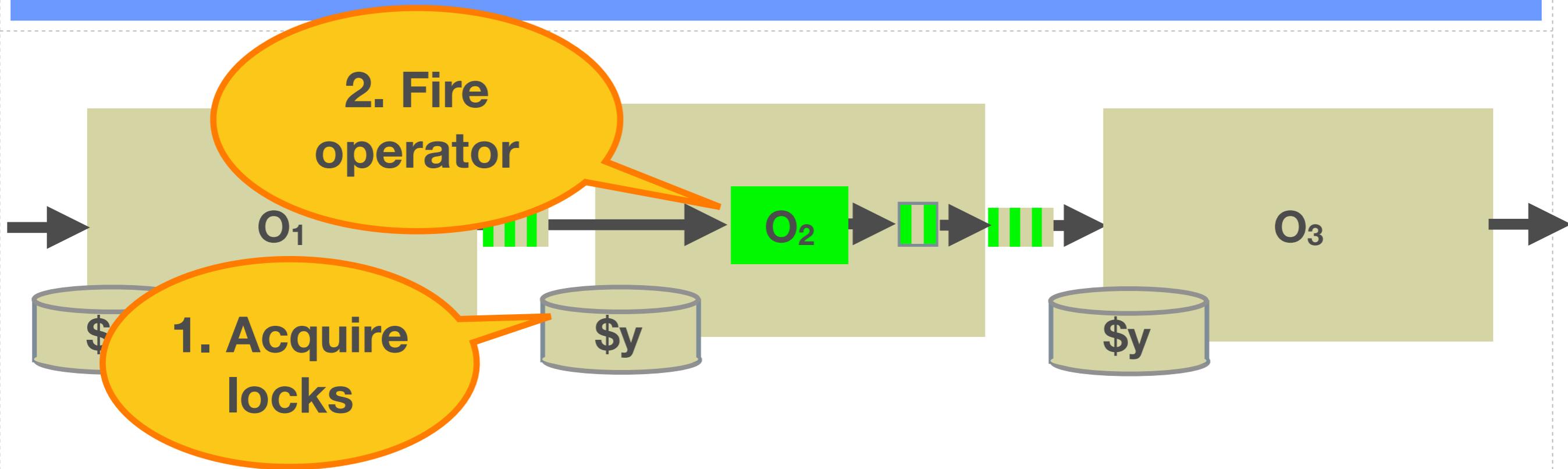
# Restricted Execution: Safe Back-Pressure



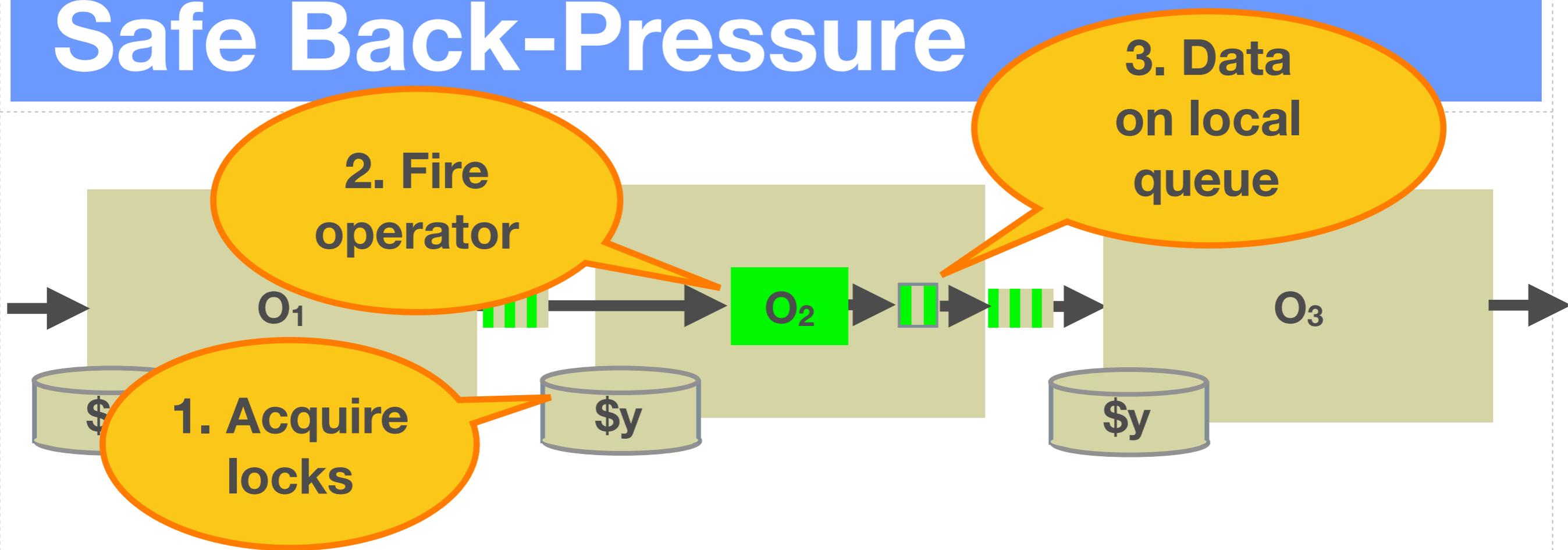
# Restricted Execution: Safe Back-Pressure



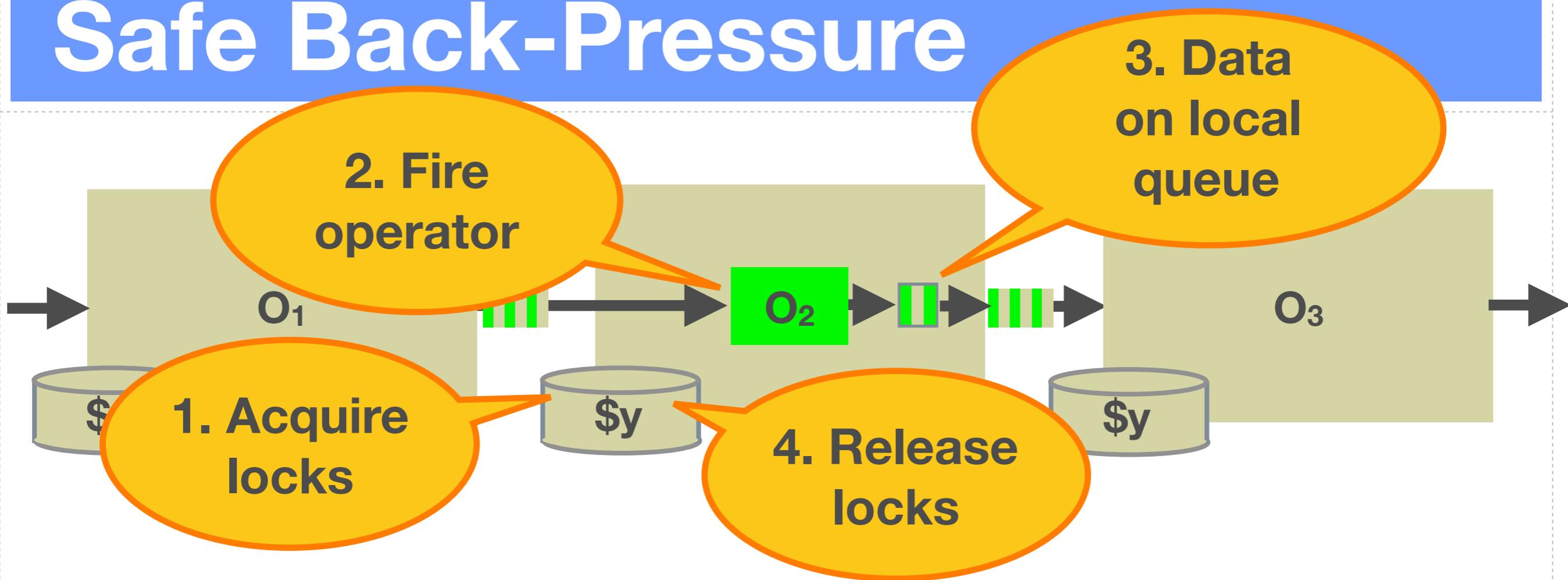
# Restricted Execution: Safe Back-Pressure



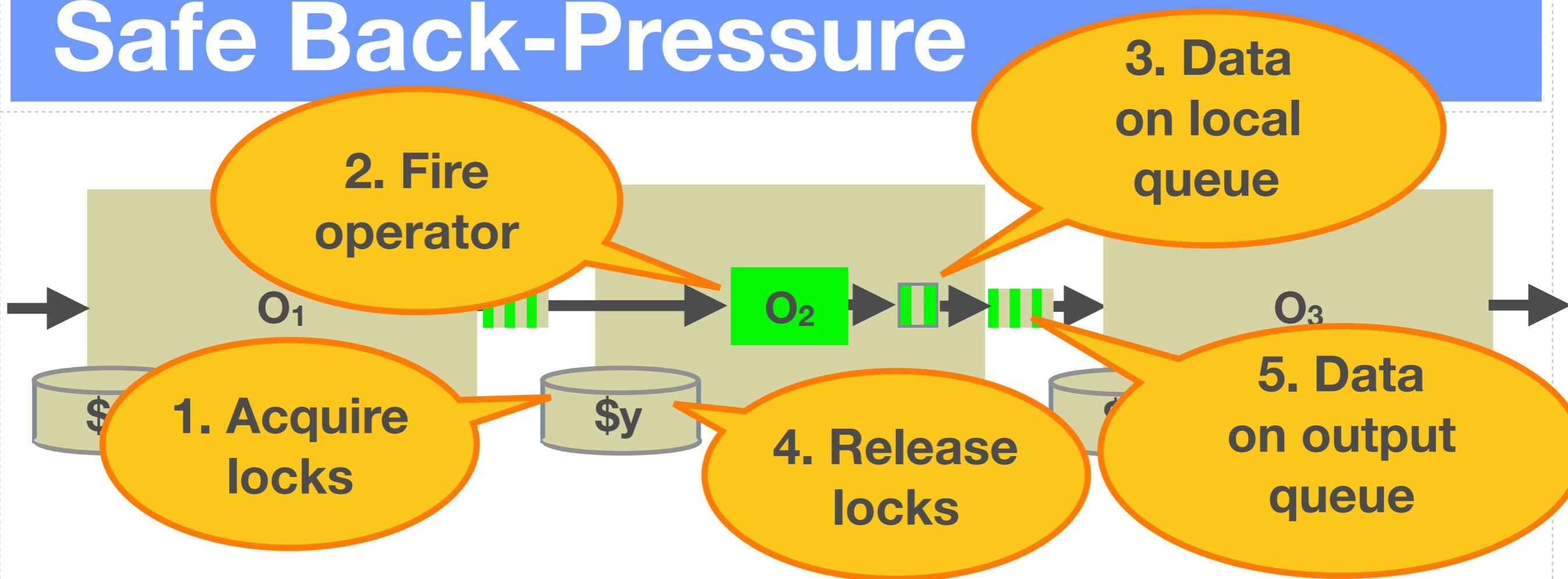
# Restricted Execution: Safe Back-Pressure



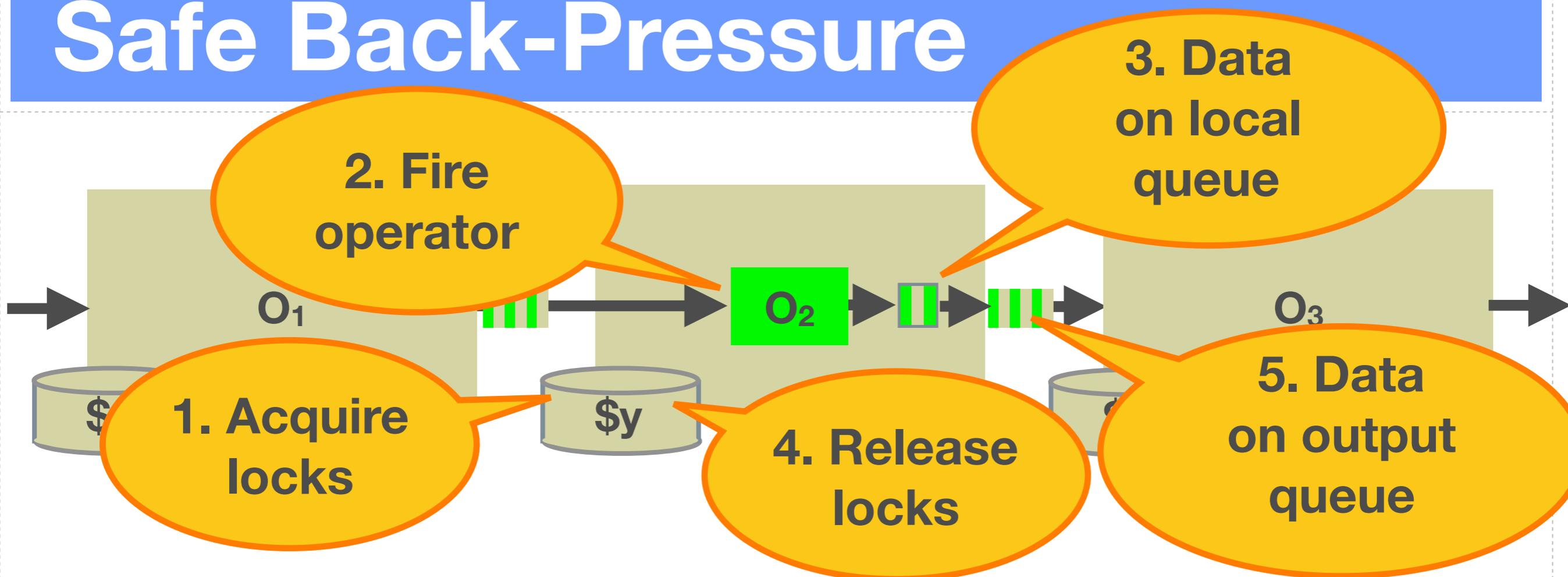
# Restricted Execution: Safe Back-Pressure



# Restricted Execution: Safe Back-Pressure



# Restricted Execution: Safe Back-Pressure



- ⬢ Only step 5 can block
- ⬢ Locks have already been released, so O<sub>3</sub> can execute
- ⬢ Even if downstream is full, there is no deadlock



# Applications of an Intermediate Language

- ❖ **Must make language development economic**
  - ❖ Implementation language, language modules, operator templates
- ❖ **Must support a broad range of optimizations**
  - ❖ Annotations provide additional information between source and IL



# Function Implementations and Translations

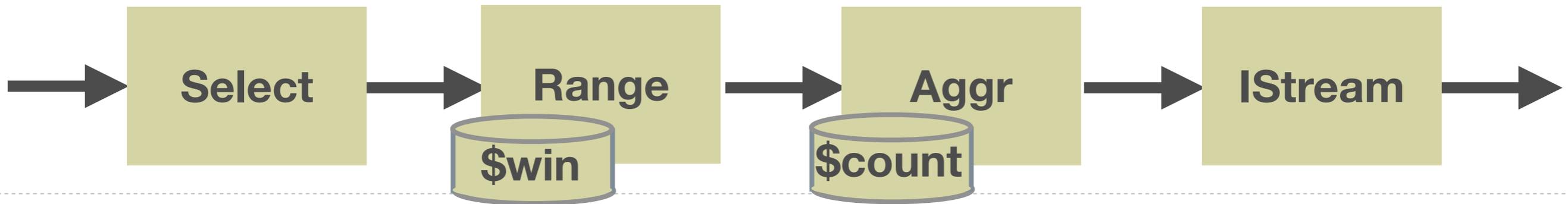
```
logs : {origin : string; target : string} stream;
hits : {origin : string; count : int} stream =
  select istream(origin, count(origin))
  from logs [range 300]
  where origin != target
```

Pre-existing operator templates

Bag.filter (fun x -> #expr)

Expose operators, communication, and state

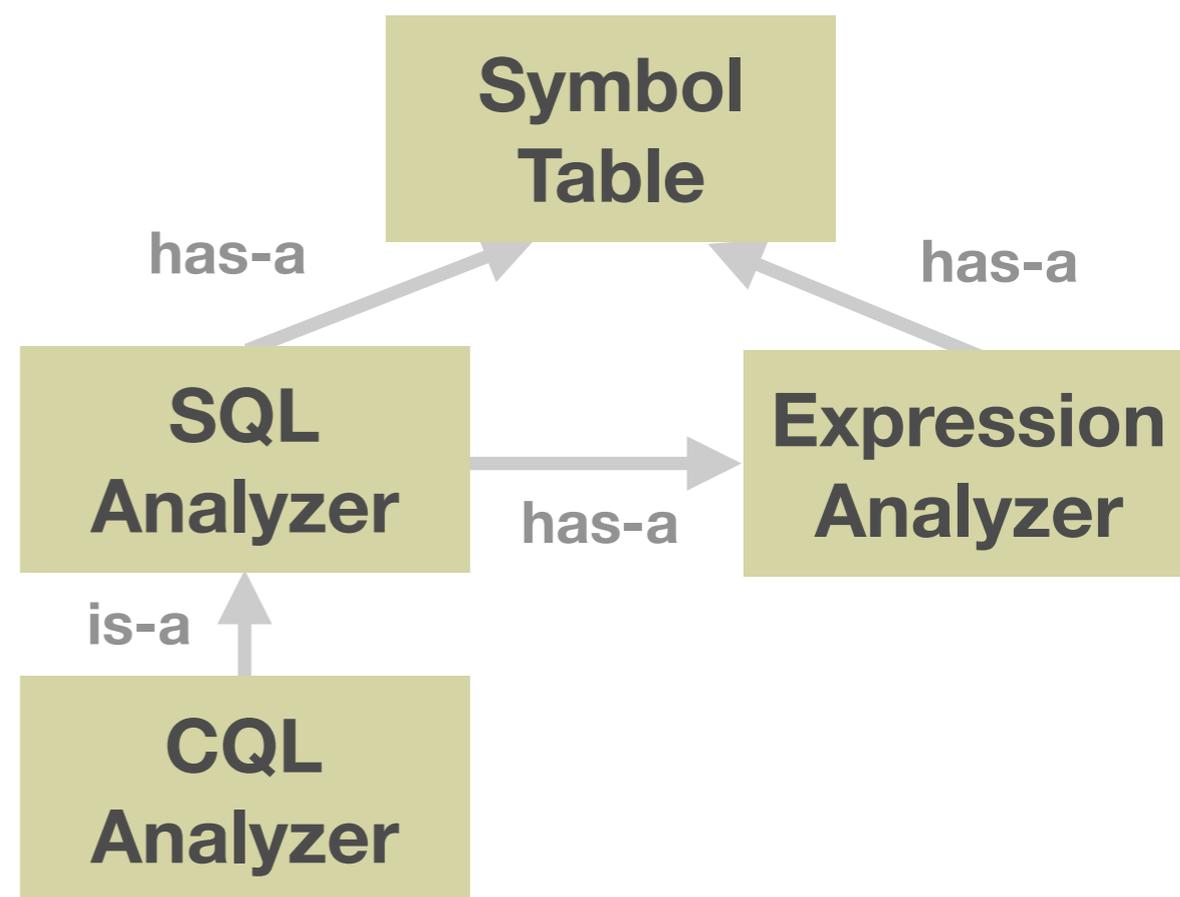
Bag.filter (fun x -> origin != target)



# Translations with Modules

```

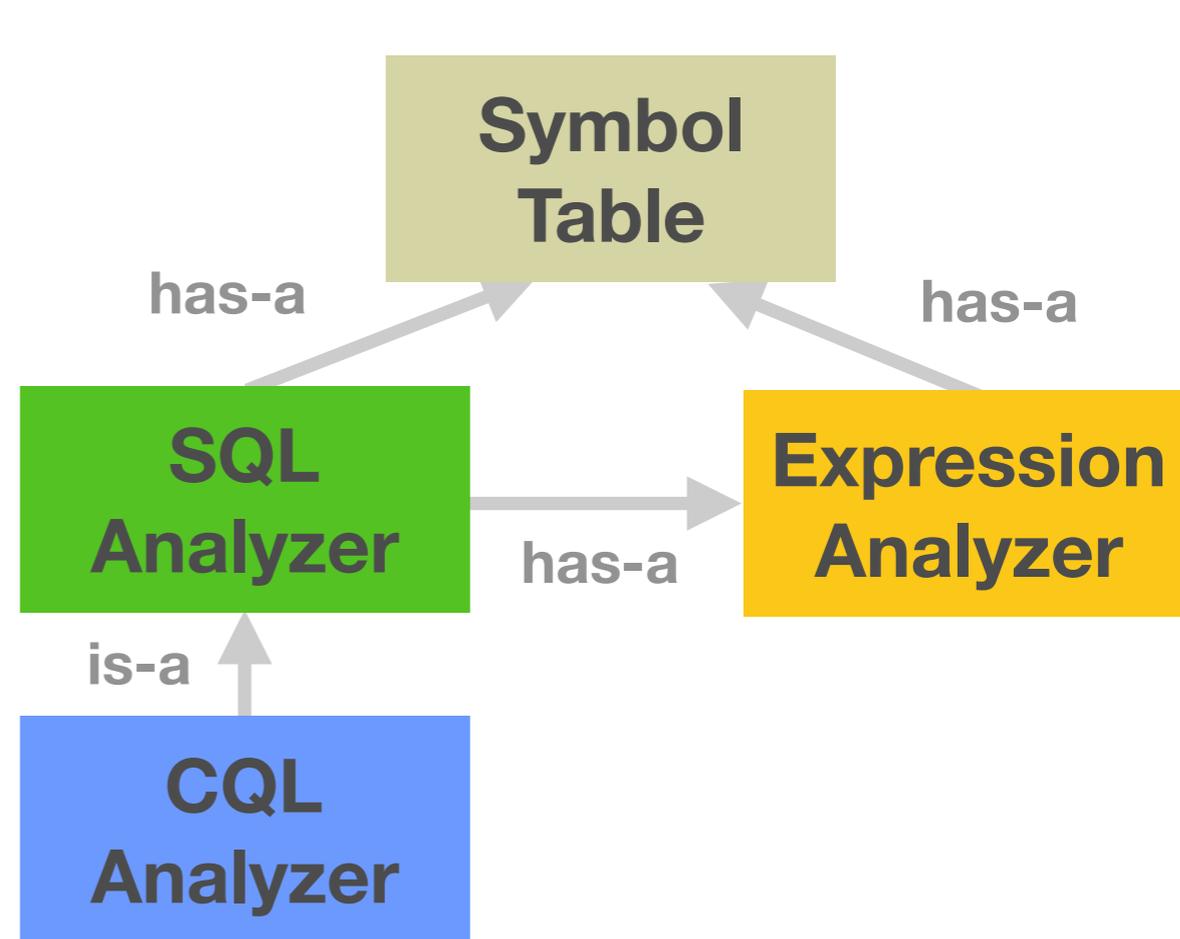
select istream(*)
from quotes[now], history
where quotes.ask <= history.low
and quotes.ticker = history.ticker
    
```



# Translations with Modules

```

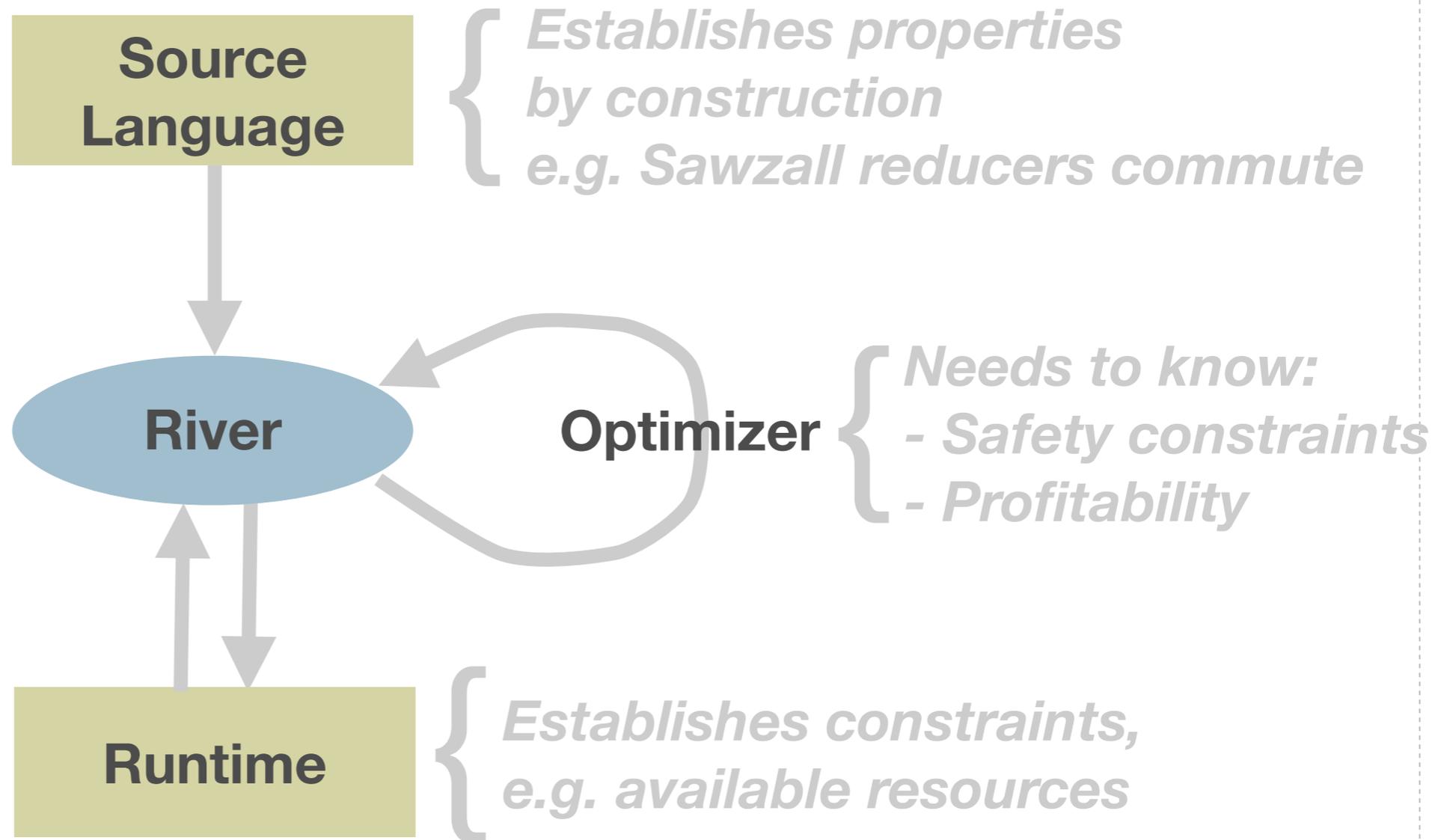
select istream(*)
from quotes[now], history
where quotes.ask <= history.low
and quotes.ticker = history.ticker
    
```



**CQL = SQL + Streaming + Expressions**

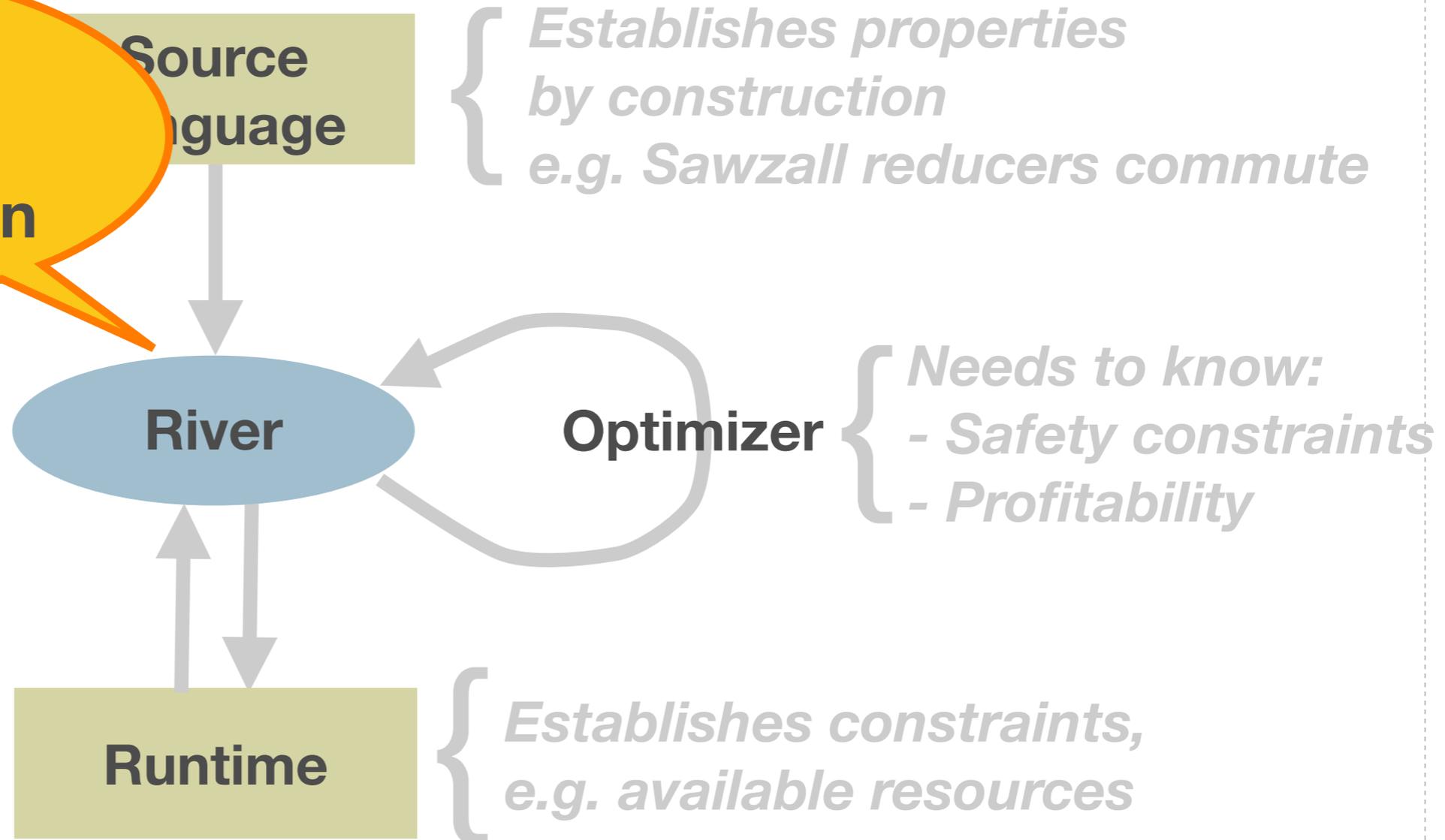


# Optimization Support: Extensible Annotations



# Optimization Support: Extensible Annotations

Annotations  
convey  
this information



# Optimization Support: Extensible Annotations

Annotations  
convey  
this information

Source  
Language

{ Establishes properties  
by construction  
e.g. Sawzall reducers commute

River

Optimizer

{ Needs to know:  
- Safety constraints  
- Profitability

Separate policy  
from mechanism

Runtime

{ Establishes constraints,  
e.g. available resources



# Optimization Support: Current Annotations

Annotation	Description	Optimization
@Fuse(ID)	Fuse operators with same ID in the same process	Fusion
@Parallel()	Perform fission on an operator	Fission
@Commutative()	An operator's function is commutative	Fission
@Keys(k <sub>1</sub> ,...,k <sub>n</sub> )	An operator's state is partitionable by the key fields k <sub>1</sub> ,...,k <sub>n</sub>	Fission
@Group(ID)	Place operators with same ID on the same machine	Placement



# Evaluation

## Four benchmark applications

 CQL Linear Road

 StreamIt FM Radio

 Sawzall Batch Web Log Analyzer

 CQL Continuous Web Log Analyzer

## Three optimizations

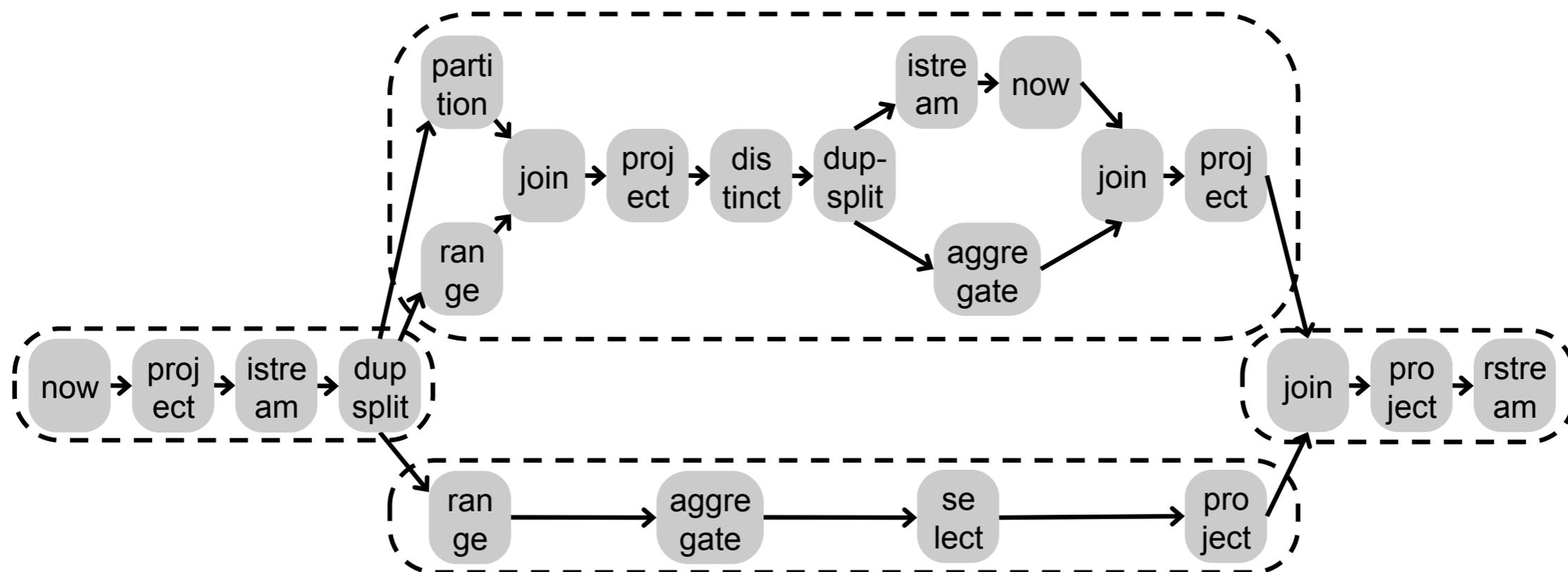
 Placement

 Fission

 Fusion



# Distributed Linear Road

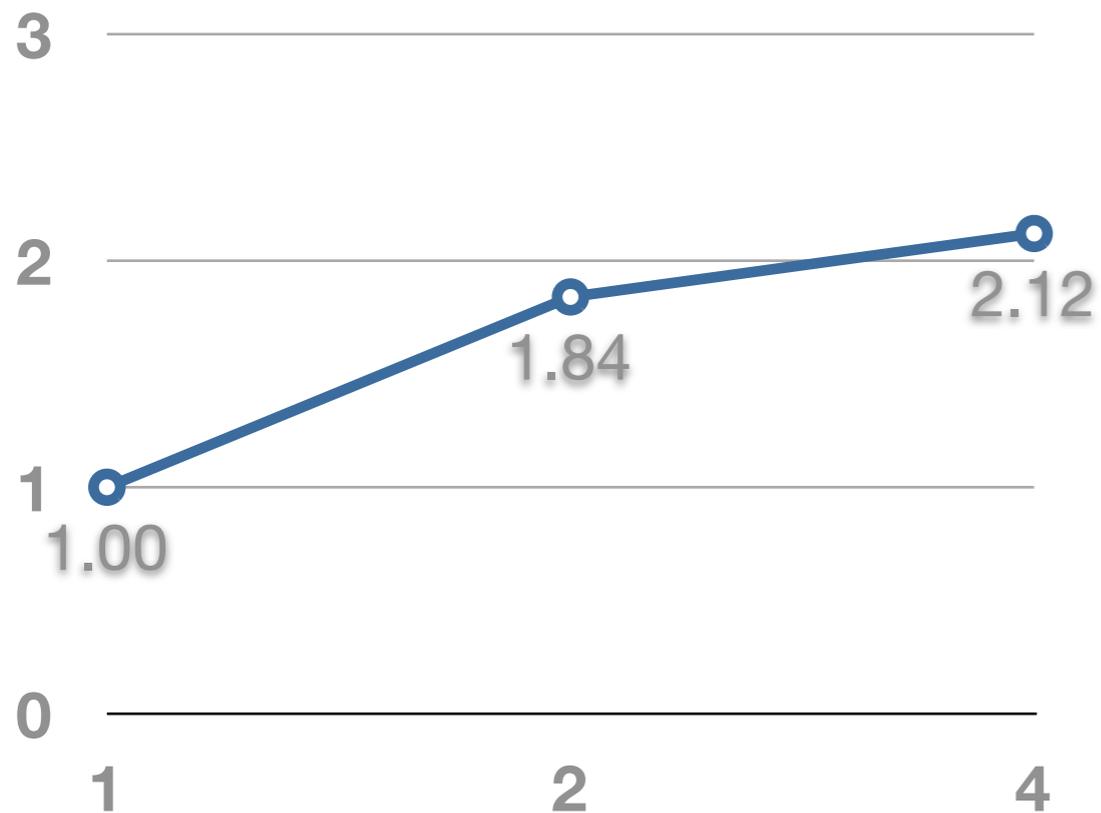


First distributed CQL implementation



# CQL Parallelization Has Limited Effect

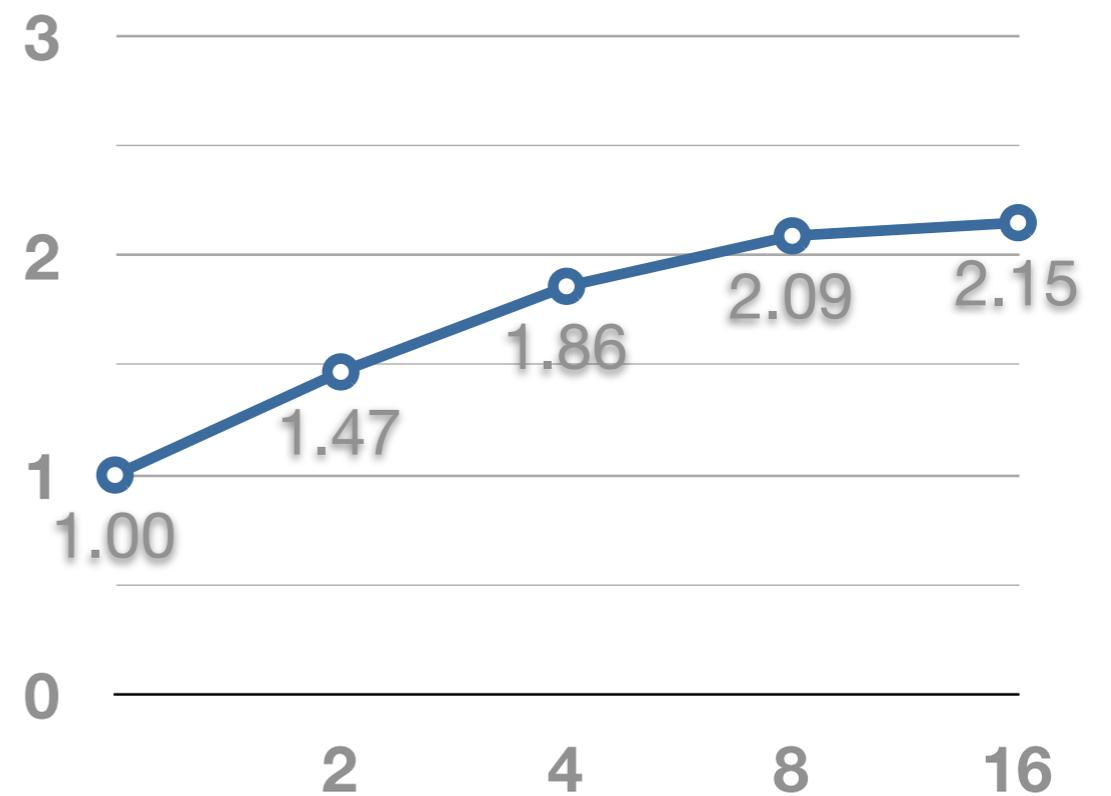
Linear Road Speedup



2.12x speedup on 4 machines

Limited task and pipeline parallelism

CQL Log Analyzer Speedup

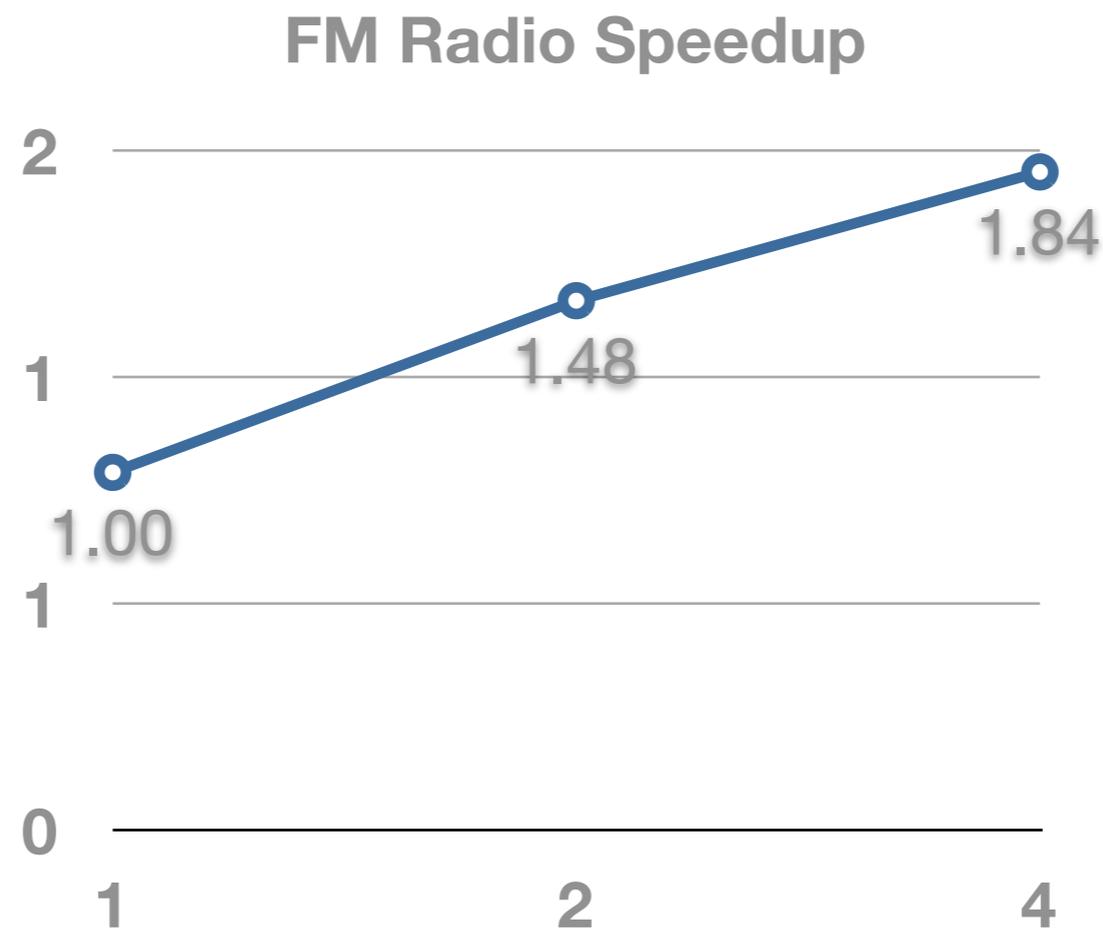


2.15x speedup on 16 machines

Synchronization is bottleneck



# Reusable Optimizations

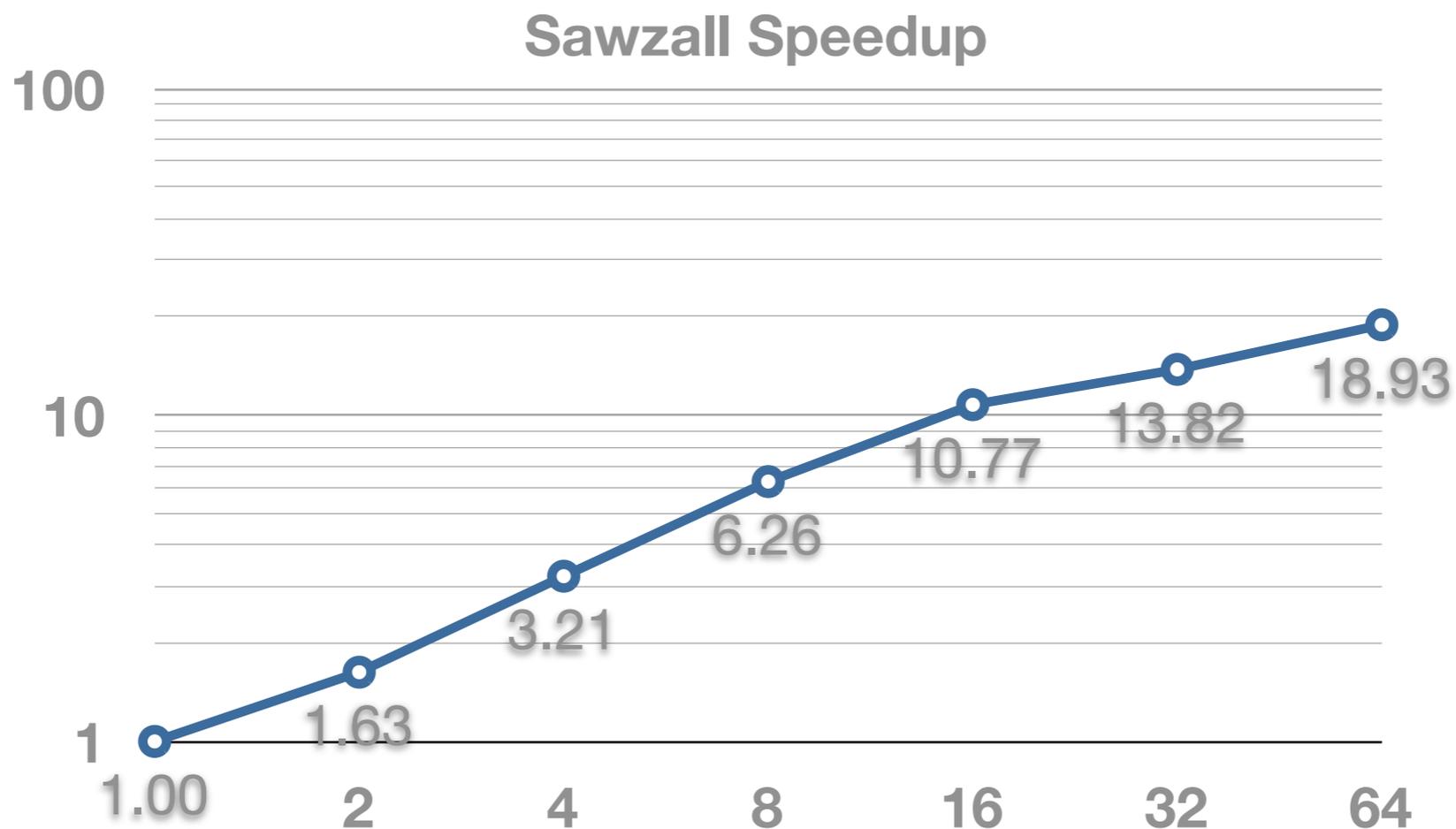


StreamIt FM Radio can re-use the placement optimization

1.84x speedup on 4 machines



# MapReduce on River Scales (Almost) Linearly



Our Sawzall uses the same data-parallelism optimizer as CQL

10.77x speedup on 16 machines, 18.93x speedup on 64 cores

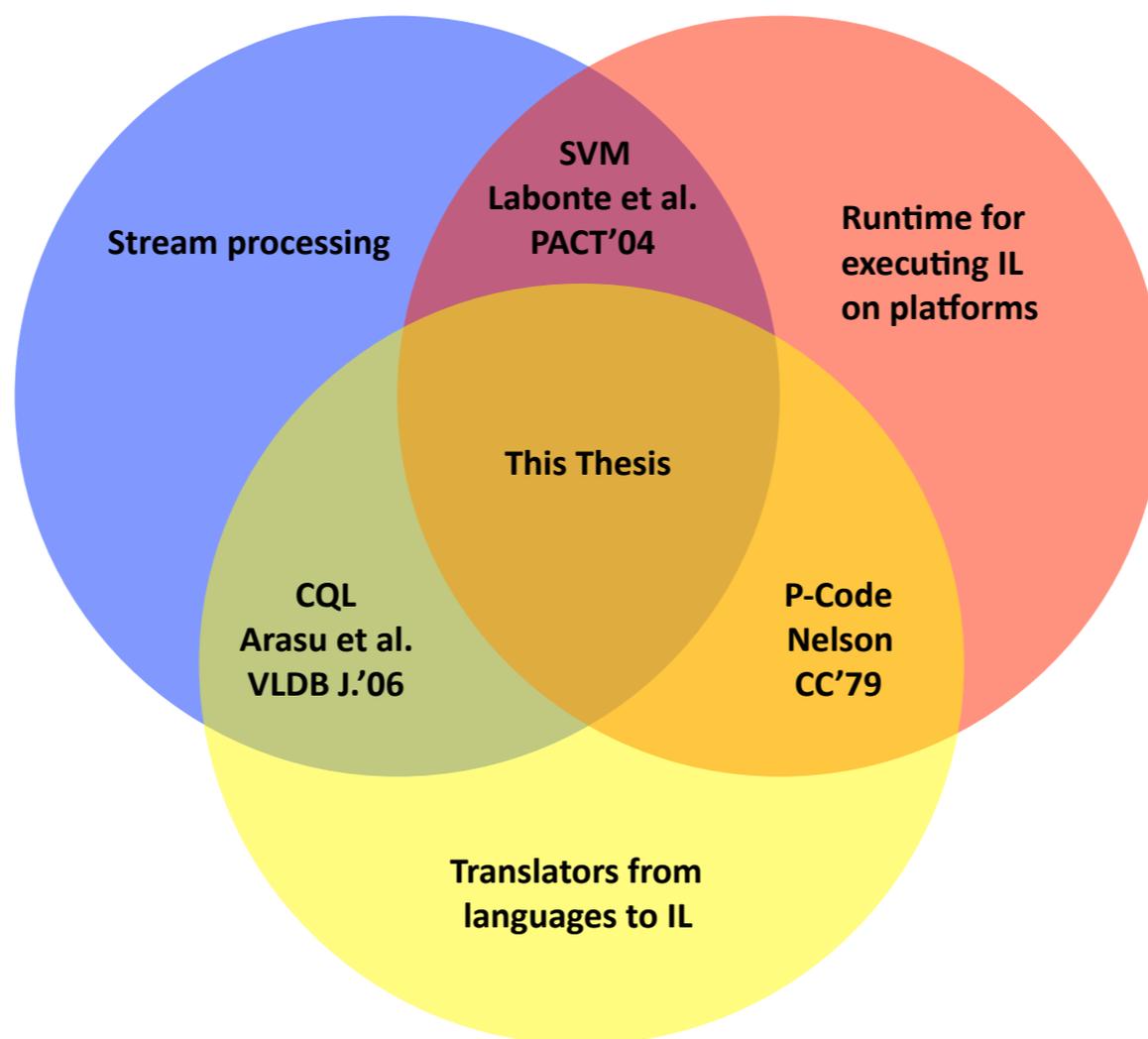




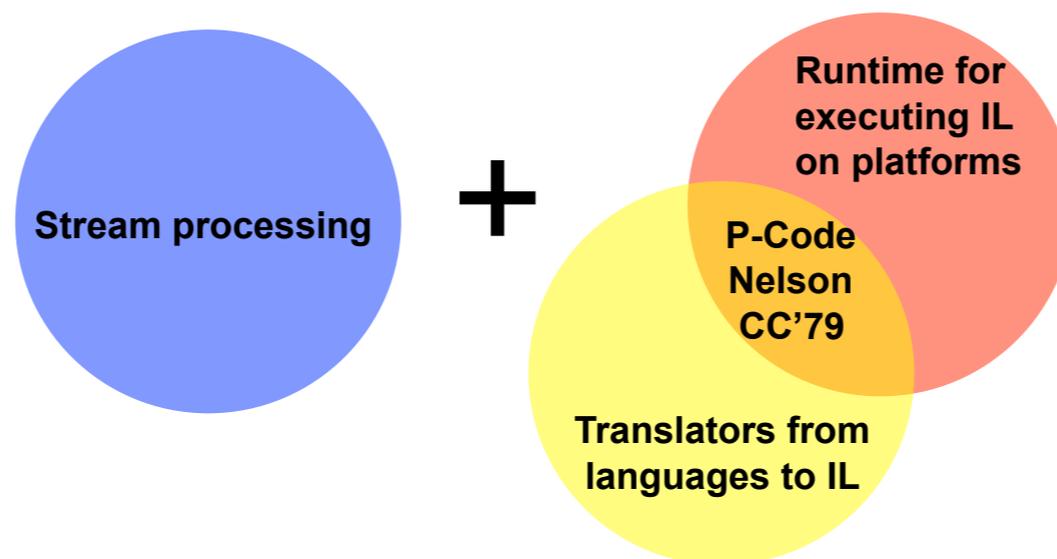
# Related Work



# Related Work



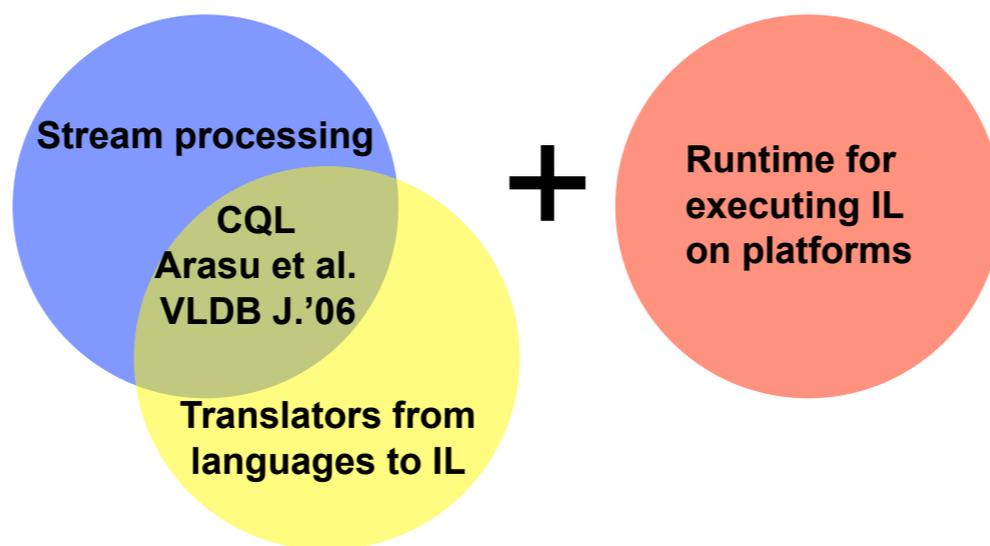
# Comparison to Traditional ILs



Traditional IL	River IL
For Pascal, Java, C#	For StreamSQL, Sawzall, StreamIt
IL is lower-level	IL for explicit streaming topology
Data at rest (registers)	Data in motion (queues)
Instructions that run in a sequence, one after the other	Functions that run in parallel, continuously



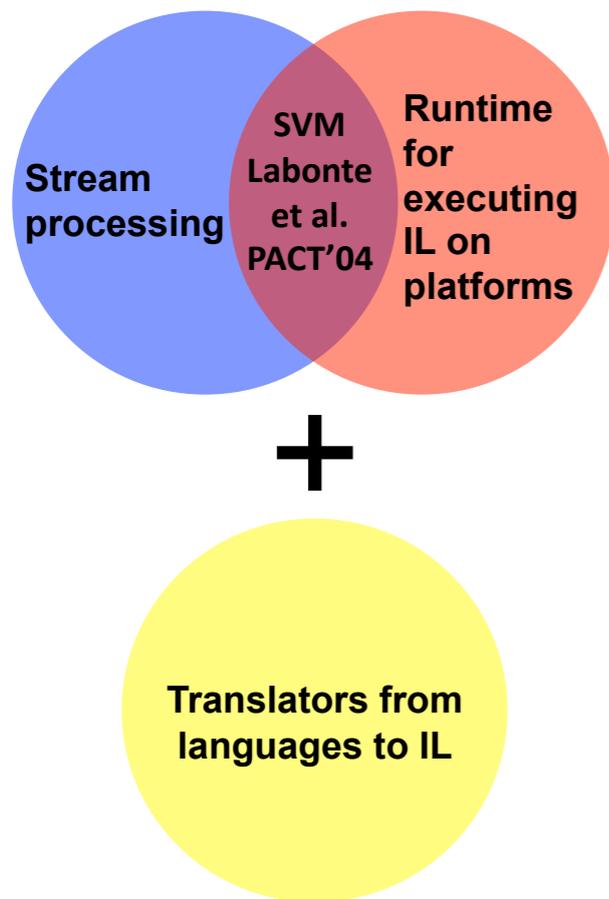
# Comparison to CQL



CQL	River IL
Described in terms of SRA (stream-relational algebra)	Uses more general streaming IL (not restricted to relational)
Inter-dependent with a single runtime	Virtual, independent of any particular runtime



# Comparison to SVM



SVN	River IL
Missing translators from any language	Translation by recursion over syntax, making state explicit, encapsulating computation in functions
Synchronous, assumes centralized controller	Asynchronous, no centralized controller
Assumes machine model with shared memory and CPUs	Abstracts away streaming runtime (may even be a distributed cluster)





# Conclusions



# Limitations

Component	Limitations or Future Work
Optimizations Catalog	Interaction of optimizations, compiler analysis, standard benchmarks
Brooklet	Relationship to other calculi, time constraints, more optimizations, dynamism
River	Support for dynamism, performance, design of new languages



# Conclusion

- Stream processing is crucial, and needs software infrastructure
  - Identify requirements with a catalog of optimizations
  - Provide a formal foundation with a calculus
  - Design a practical IL with a rigorous semantics
- Overall this work:
  - Enables further advances in language and optimizations design
  - Encourages innovation in stream processing





# CQL Translation Rules

**CQL program translation:**  $\llbracket F_c, P_c \rrbracket_c^p = \langle F_b, P_b \rangle$   
 $\llbracket F_c, SName \rrbracket_c^p = \emptyset, \text{output } SName; \text{input } SName; \bullet$   
 (T<sub>c</sub><sup>p</sup>-SNAME)

$\llbracket F_c, RName \rrbracket_c^p = \emptyset, \text{output } RName; \text{input } RName; \bullet$   
 (T<sub>c</sub><sup>p</sup>-RNAME)

$F_b, \text{output } q_o; \text{input } \bar{q}; \overline{op} = \llbracket F_c, P_{cs} \rrbracket_c^p$   
 $q'_o = \text{freshId}() \quad v = \text{freshId}()$   
 $F'_b = [S2R \mapsto \text{wrapS2R}(F_c(S2R))]F_b$   
 $\overline{op}' = \overline{op}, (q'_o, v) \leftarrow S2R(q_o, v);$

$\llbracket F_c, S2R(P_{cs}) \rrbracket_c^p = F'_b, \text{output } q'_o; \text{input } \bar{q}; \overline{op}'$   
 (T<sub>c</sub><sup>p</sup>-S2R)

$F_b, \text{output } q_o; \text{input } \bar{q}; \overline{op} = \llbracket F_c, P_{cr} \rrbracket_c^p$   
 $q'_o = \text{freshId}() \quad v = \text{freshId}()$   
 $F'_b = [R2S \mapsto \text{wrapR2S}(F_c(R2S))]F_b$   
 $\overline{op}' = \overline{op}, (q'_o, v) \leftarrow R2S(q_o, v);$

$\llbracket F_c, R2S(P_{cr}) \rrbracket_c^p = F'_b, \text{output } q'_o; \text{input } \bar{q}; \overline{op}'$   
 (T<sub>c</sub><sup>p</sup>-R2S)

$\overline{F_b}, \text{output } q_o; \text{input } \bar{q}; \overline{op} = \llbracket F_c, P_{cr} \rrbracket_c^p$   
 $n = |\overline{P_{cr}}| \quad q'_o = \text{freshId}() \quad \bar{q}' = \bar{q}_1, \dots, \bar{q}_n$   
 $\forall i \in 1 \dots n : v_i = \text{freshId}() \quad \overline{op}' = \overline{op}_1, \dots, \overline{op}_n$   
 $F'_b = [R2R \mapsto \text{wrapR2R}(F_c(R2R))](\cup \overline{F_b})$   
 $\overline{op}'' = \overline{op}', (q'_o, \bar{v}) \leftarrow R2R(\bar{q}_o, \bar{v});$

$\llbracket F_c, R2R(\overline{P_{cr}}) \rrbracket_c^p = F'_b, \text{output } q'_o; \text{input } \bar{q}'; \overline{op}''$   
 (T<sub>c</sub><sup>p</sup>-R2R)

**CQL operator wrappers:**

$\frac{\sigma, \tau = d_q \quad s = d_v}{s' = s \cup \{\langle e, \tau \rangle : e \in \sigma\} \quad \sigma' = f(s', \tau)}$   
 $\text{wrapS2R}(f)(d_q, -, d_v) = \langle \sigma', \tau \rangle, s'$   
 (W<sub>c</sub>-S2R)

$\frac{\sigma, \tau = d_q \quad \sigma' = d_v \quad \sigma'' = f(\sigma, \sigma')}{\text{wrapR2S}(f)(d_q, -, d_v) = \langle \sigma'', \tau \rangle, \sigma}$   
 (W<sub>c</sub>-R2S)

$\frac{\sigma, \tau = d_q \quad d'_i = d_i \cup \{\langle \sigma, \tau \rangle\} \quad \forall j \neq i \in 1 \dots n : d'_j = d_j \quad \exists j \in 1 \dots n : \nexists \sigma : \langle \sigma, \tau \rangle \in d_j}{\text{wrapR2R}(f)(d_q, i, \bar{d}) = \bullet, \bar{d}'}$   
 (W<sub>c</sub>-R2R-WAIT)

$\frac{\sigma, \tau = d_q \quad d'_i = d_i \cup \{\langle \sigma, \tau \rangle\} \quad \forall j \neq i \in 1 \dots n : d'_j = d_j \quad \forall j \in 1 \dots n : \sigma_j = \text{aux}(d_j, \tau)}{\text{wrapR2R}(f)(d_q, i, \bar{d}) = \langle f(\bar{\sigma}), \tau \rangle, \bar{d}'}$   
 (W<sub>c</sub>-R2R-READY)

$\frac{\langle \sigma, \tau \rangle \in d}{\text{aux}(d, \tau) = \sigma}$   
 (W<sub>c</sub>-R2R-AUX)



# Operator Fission

$$\begin{array}{l}
 op = (q_{out}) \leftarrow f(q_{in}); \\
 \forall i \in 1 \dots n : q_i = \text{freshId}() \quad \forall i \in 1 \dots n : q'_i = \text{freshId}() \\
 F'_b, op_s = \llbracket \emptyset, \text{split roundrobin}, \bar{q}, q_{in} \rrbracket_s^p \\
 \forall i \in 1 \dots n : op_i = (q'_i) \leftarrow f(q_i); \\
 F''_b, op_j = \llbracket \emptyset, \text{join roundrobin}, q_{out}, \bar{q}' \rrbracket_s^p \\
 \hline
 \langle F_b, op \rangle \longrightarrow_{split}^N \langle F_b \cup F'_b \cup F''_b, op_s \overline{op} op_j \rangle
 \end{array}$$



# Dynamism

