

# Algorithms, Lecture 3 on NP :

## Nondeterministic Polynomial Time

Last week:

Defined Polynomial Time Reductions:

Problem  $X$  is poly time reducible to  $Y$

$$X \leq_p Y$$

if can solve  $X$  using poly computation and a poly number of calls to an algorithm solving  $Y$ .

“Up to poly factors,  $X$  is at least as easy as  $Y$ ”

“Up to poly factors,  $Y$  is harder than  $X$ ”

Last class:

Defined NP:

decision (yes/no) problems

can check certificates for yes answers in ptime

Have poly time *proof checkers*:

A poly time algorithm  $A$  so that

if  $X(s) = \text{yes}$ ,

there exists a  $w$  so that  $A(s,w) = \text{yes}$ , and

if  $X(s) = \text{no}$ ,

for all  $w$ ,  $A(s,w) = \text{no}$

# Last class:

Defined NP-Complete:

1.  $X \in \text{NP}$ , and
2.  $X$  is NP-hard

$X$  is NP-hard if:

1. for all  $Y \in \text{NP}$ ,  $Y \leq_p X$ , or
2.  $\text{CircuitSat} \leq_p X$ , or
3.  $Y \leq_p X$ , for some NP-Hard  $Y$ , such as  
SAT, Independent Set, Vertex Cover

Last class:

Proved CircuitSAT is NP-Hard.

Proved CircuitSAT  $\leq_p$  SAT.

In fact, proved CircuitSAT  $\leq_p$  3-SAT

Where 3-SAT is SAT,

but each clause has at most 3 terms.

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Also possible to force each variable  
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Say a variable  $x$  appears  $k$  times.

Create  $k$  new variables,  $x_1, \dots, x_k$ ,  
one for each occurrence.

Add clauses

$$x_1 \vee \overline{x_2}, x_2 \vee \overline{x_3}, \dots, x_{k-1} \vee \overline{x_k}, x_k \vee \overline{x_1}$$

Only satisfied if all are equal.

# Today

Will prove more problems are NP-complete:

3-coloring

Hamiltonian Cycle

Travelling Salesman Problem

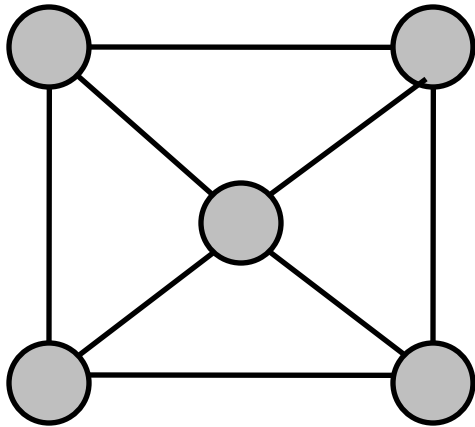


# k-Coloring

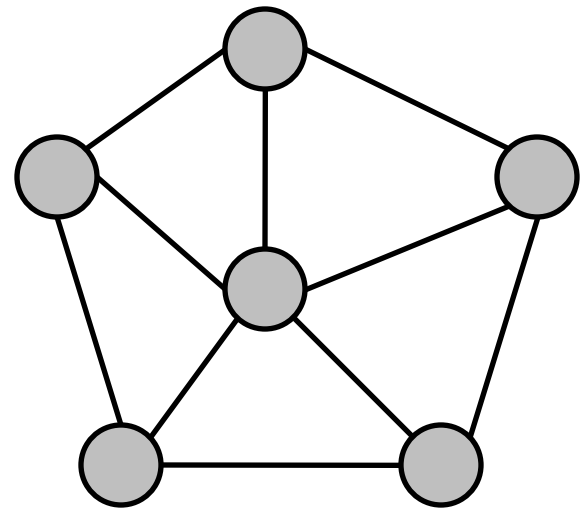
Given a graph  $G = (V, E)$ , does there exist

$f : V \rightarrow \{1, 2, \dots, k\}$  (colors)

So that for all  $(u, v) \in E$   $f(u) \neq f(v)$  ?



3-colorable



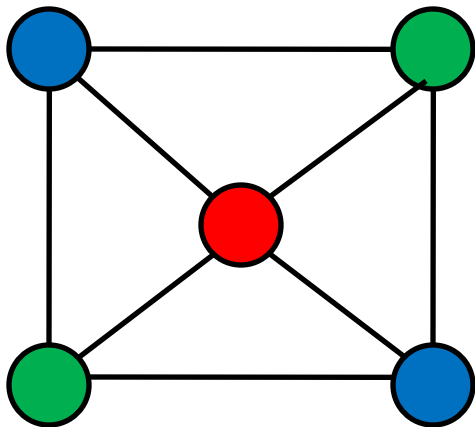
Not 3-colorable

# k-Coloring

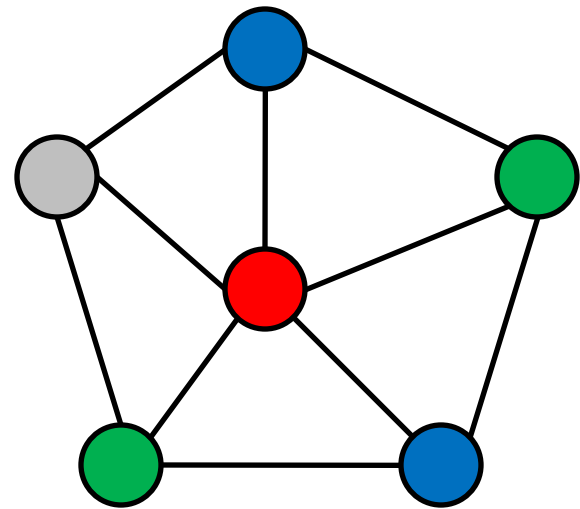
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3-colorable



Not 3-colorable

# k-Coloring is NP-Complete

Clearly in NP, because can check a proposed coloring

To prove NP-hard, will show  $3\text{-SAT} \leq_p 3\text{-Coloring}$

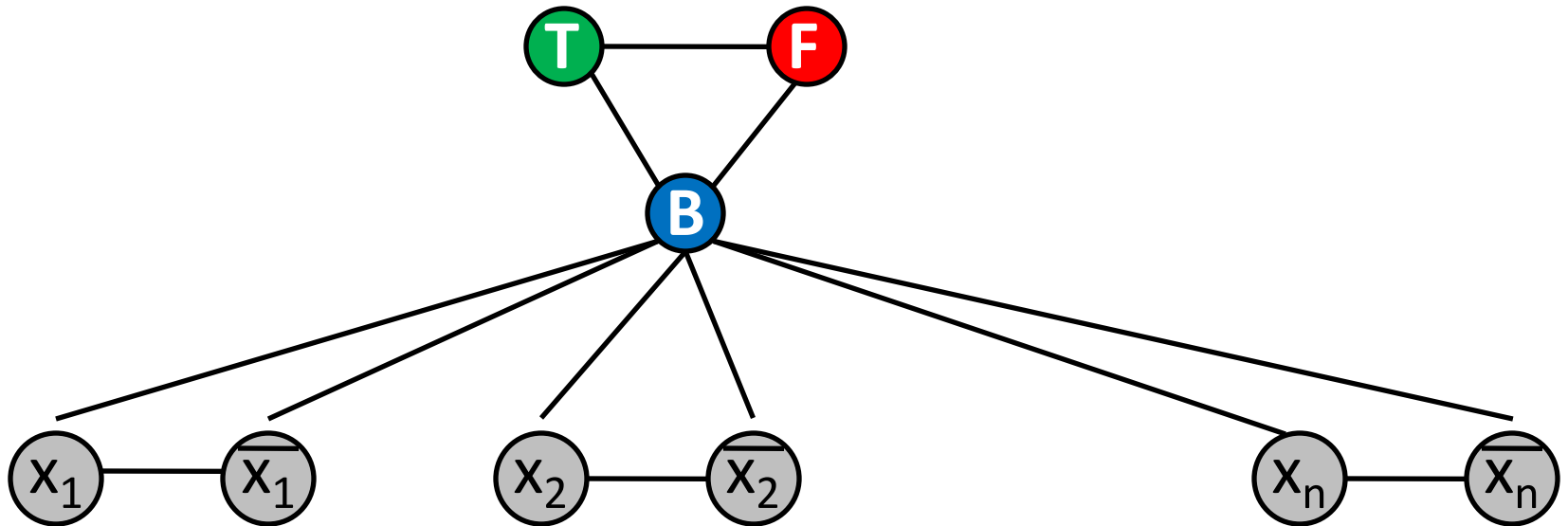
Given a collection of clauses  $C_1, \dots, C_k$ , each with at most 3 terms, on variables  $x_1, \dots, x_n$

produce graph  $G = (V, E)$  that is

3-colorable iff the clauses are satisfiable

# 3-Coloring is NP-Complete – variable gadgets

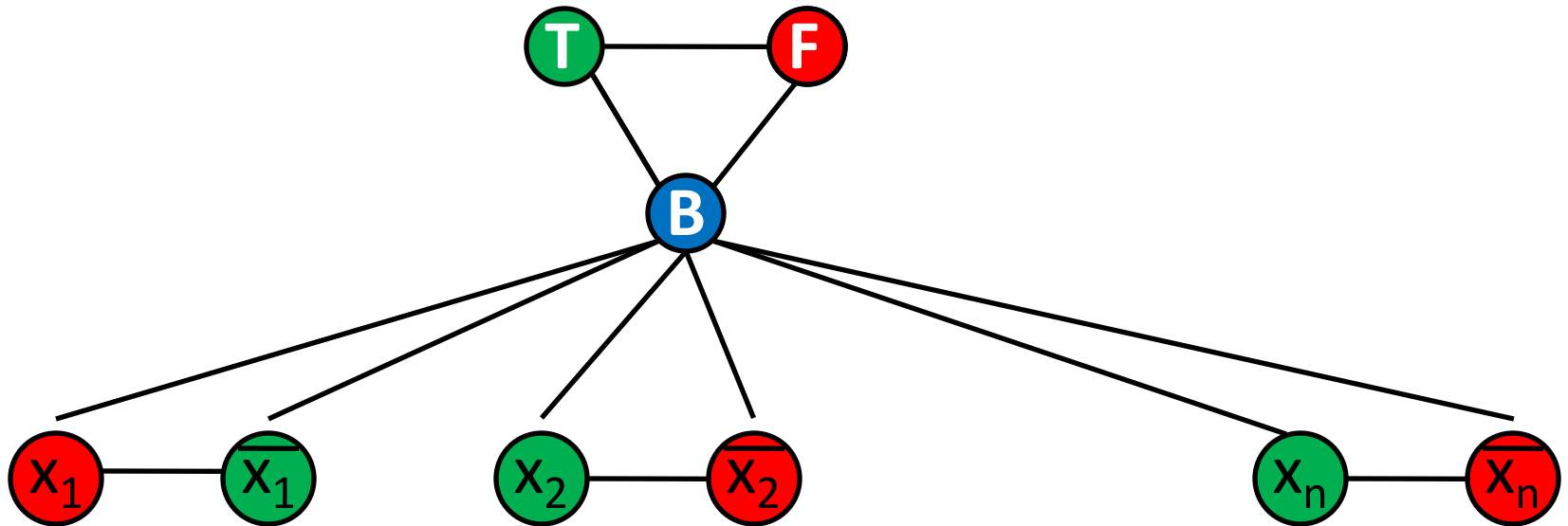
Create 3 special nodes: T, F, B (base),  
and one node for each term:  $x_i$  and  $\overline{x_i}$



In every 3-coloring, one of  $x_i$  and  $\overline{x_i}$   
is colored T and one is colored F

# 3-Coloring is NP-Complete – variable gadgets

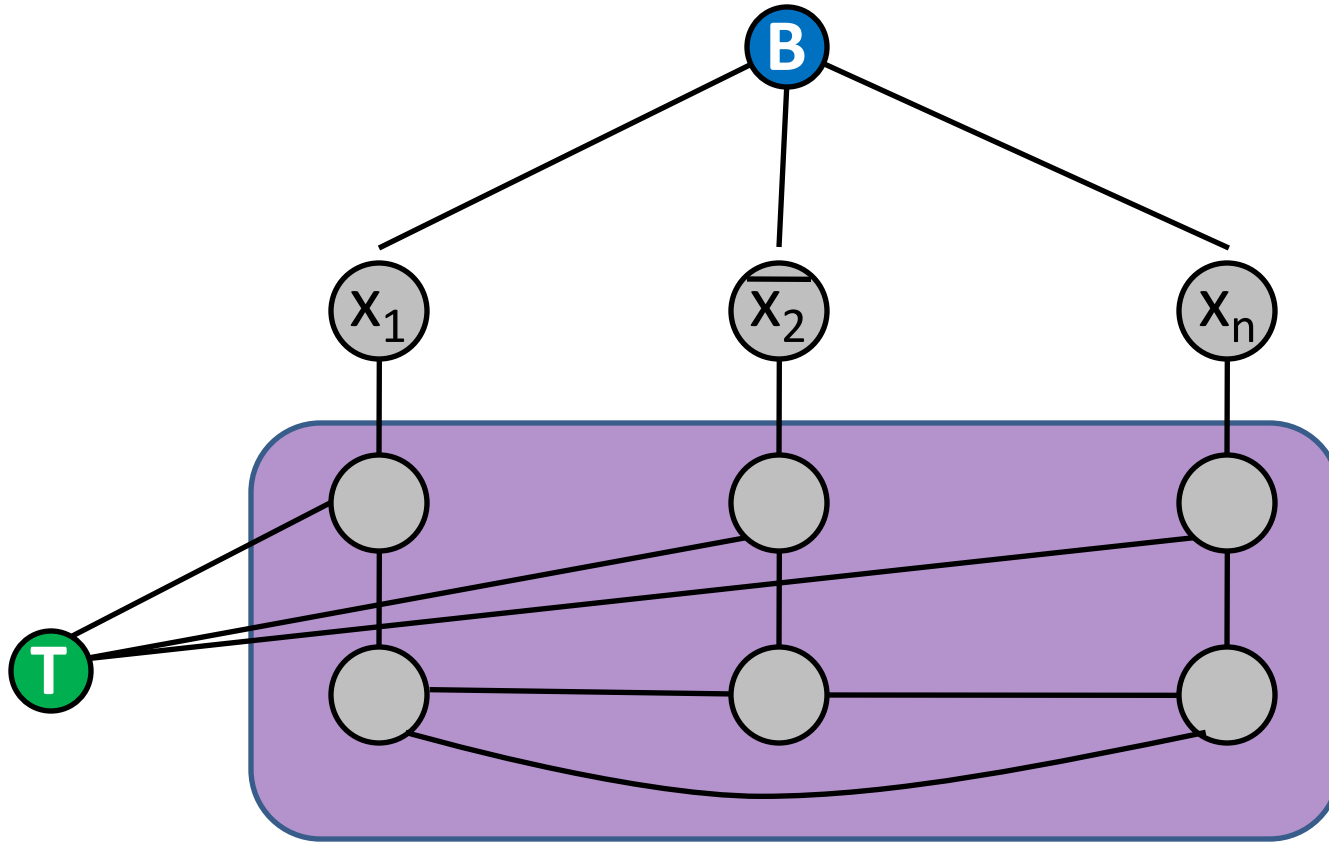
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# 3-Coloring is NP-Complete – clause gadgets

Consider clause  $x_1 \vee \overline{x_2} \vee x_n$

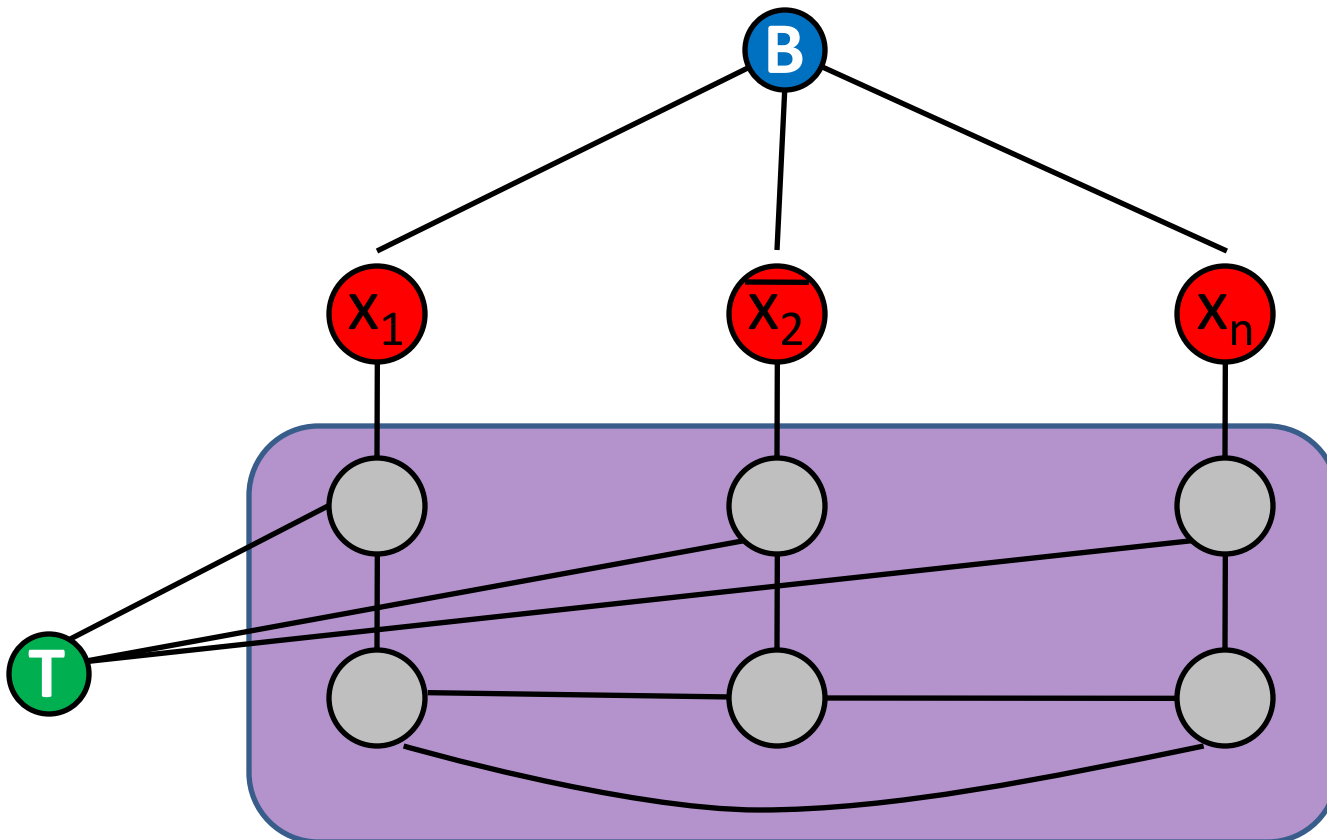


Claim: 3-colorable iff terms colored to satisfy clause

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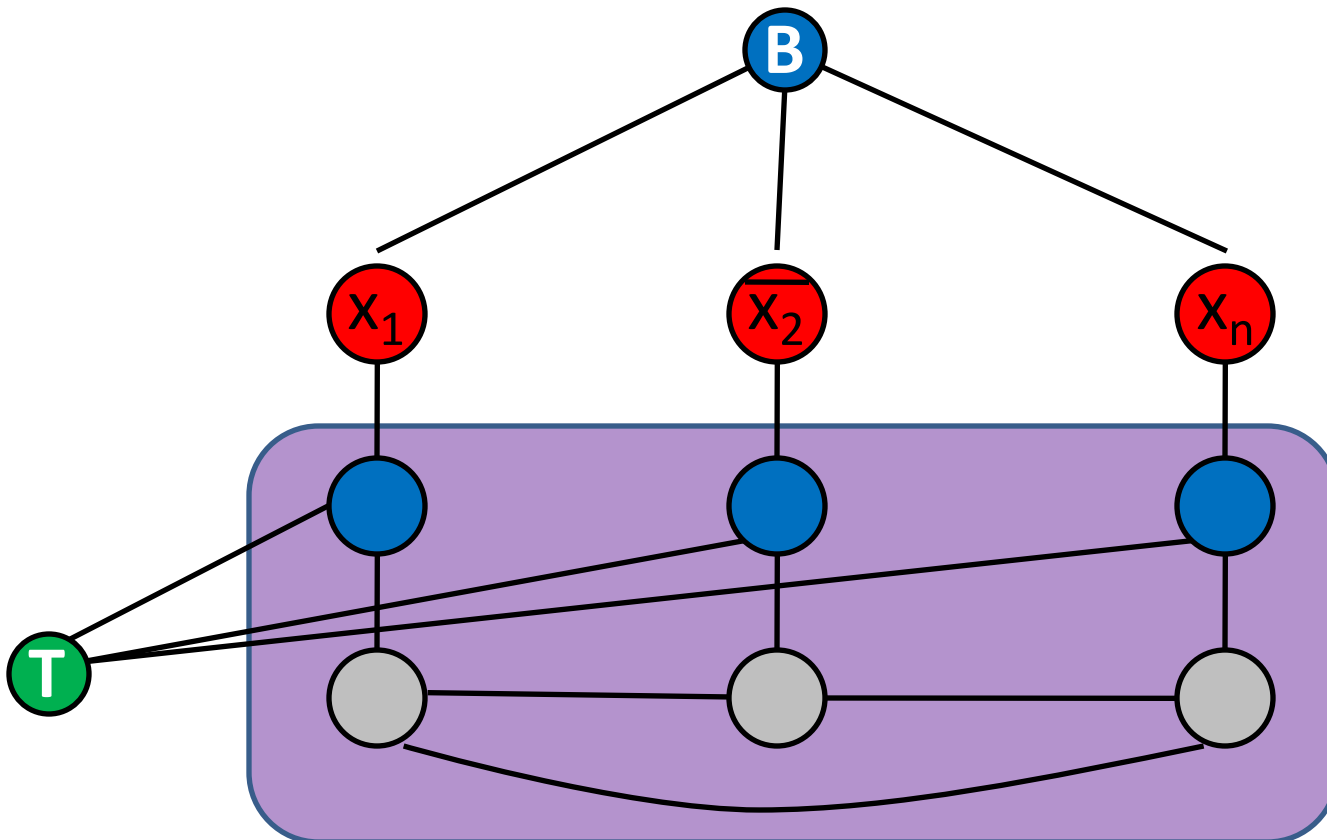
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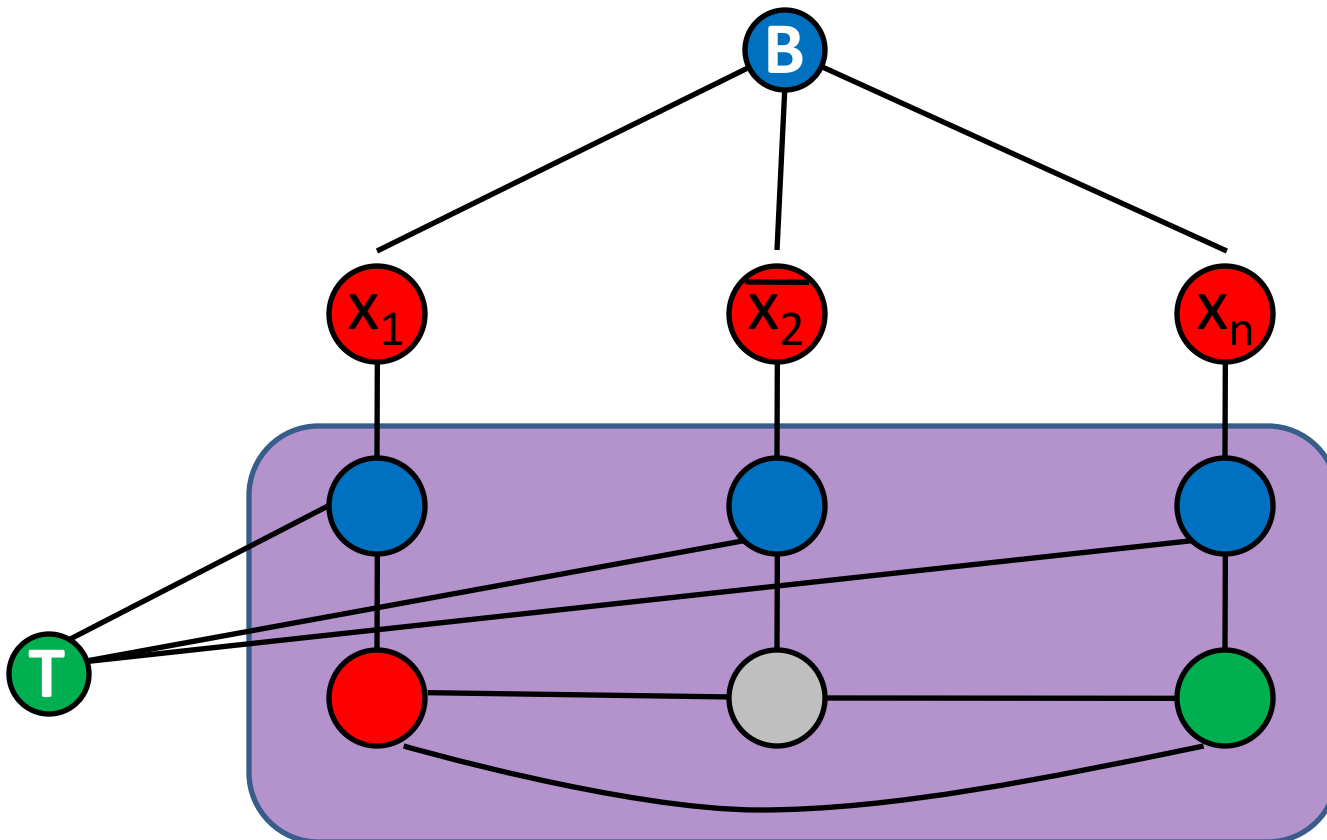




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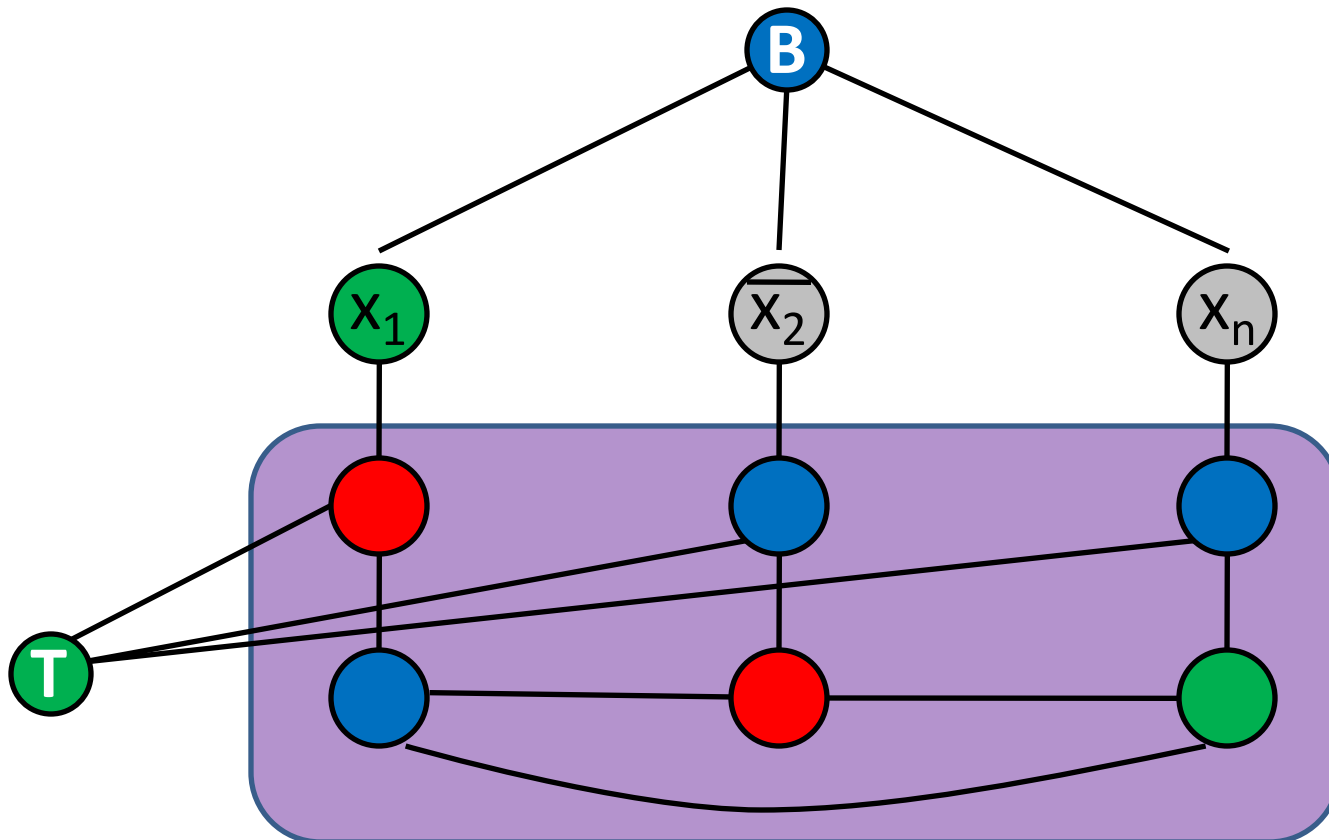
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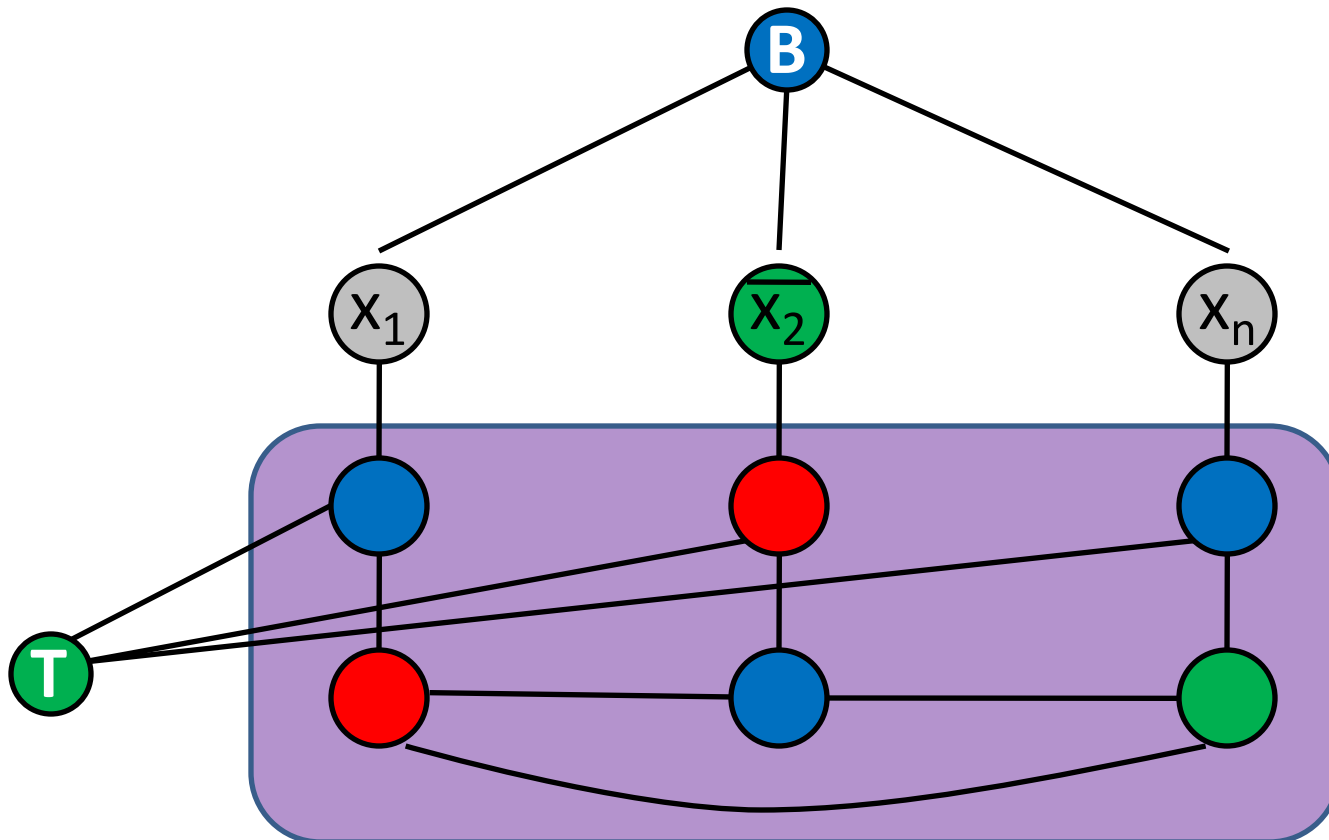
2. If some term true, can 3-color



# 3-Coloring is NP-Complete – clause gadgets

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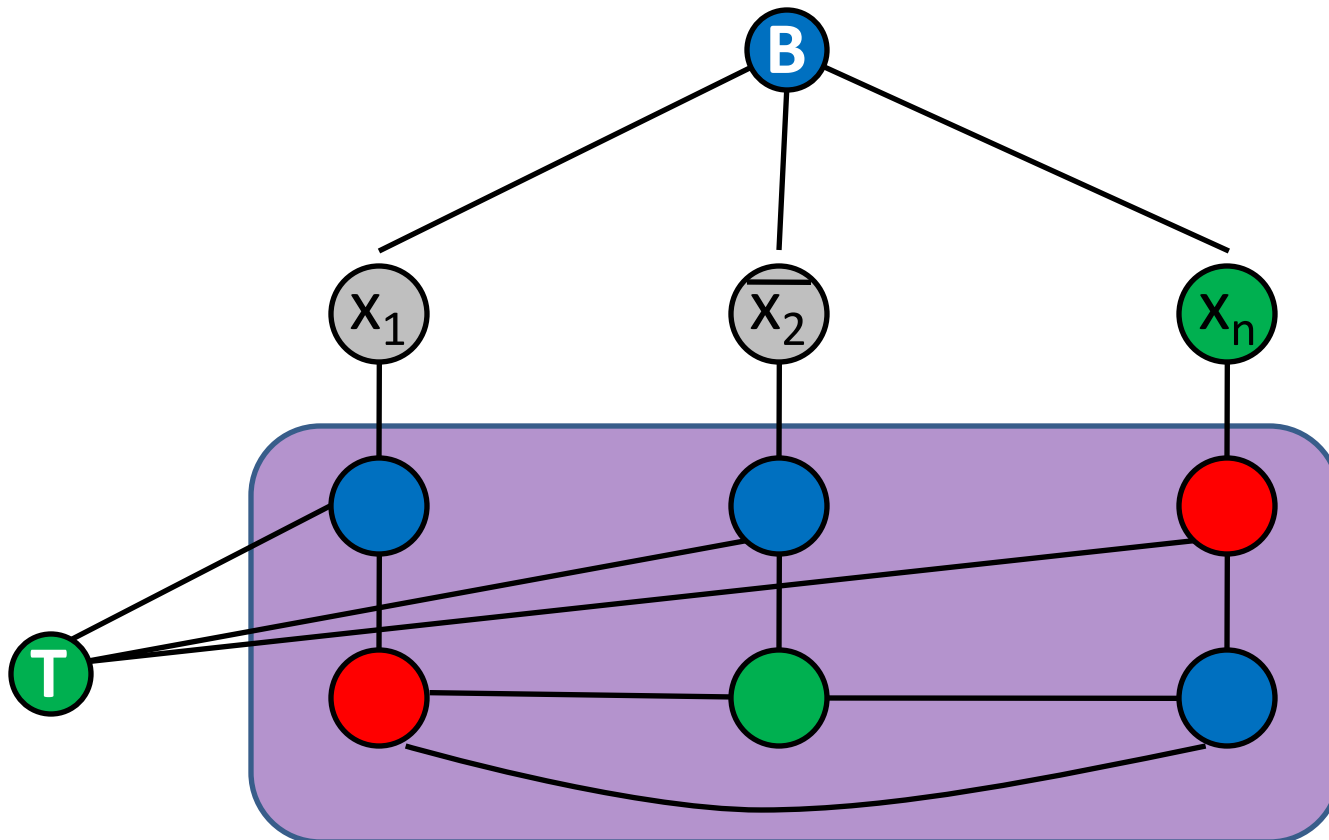
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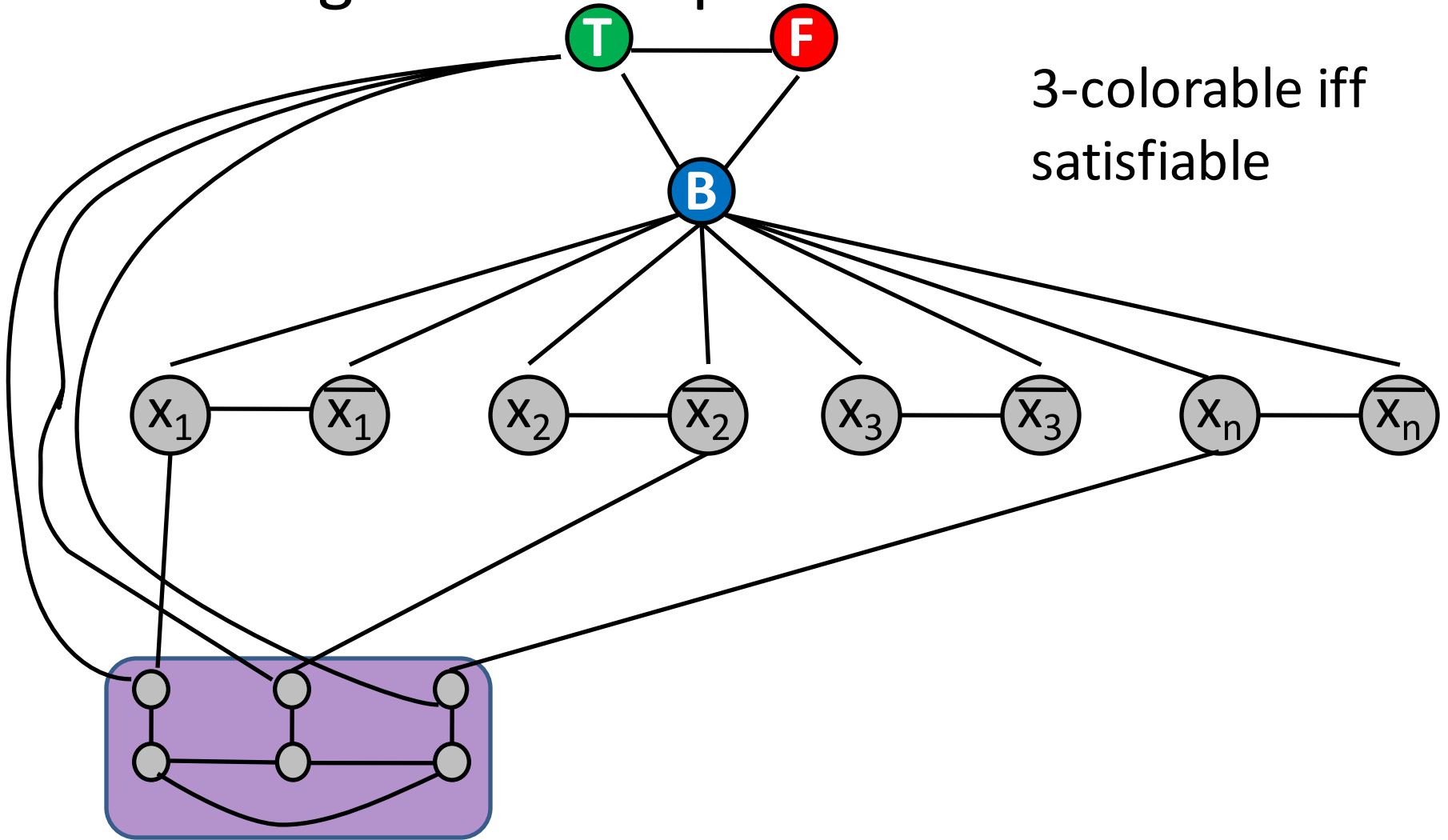
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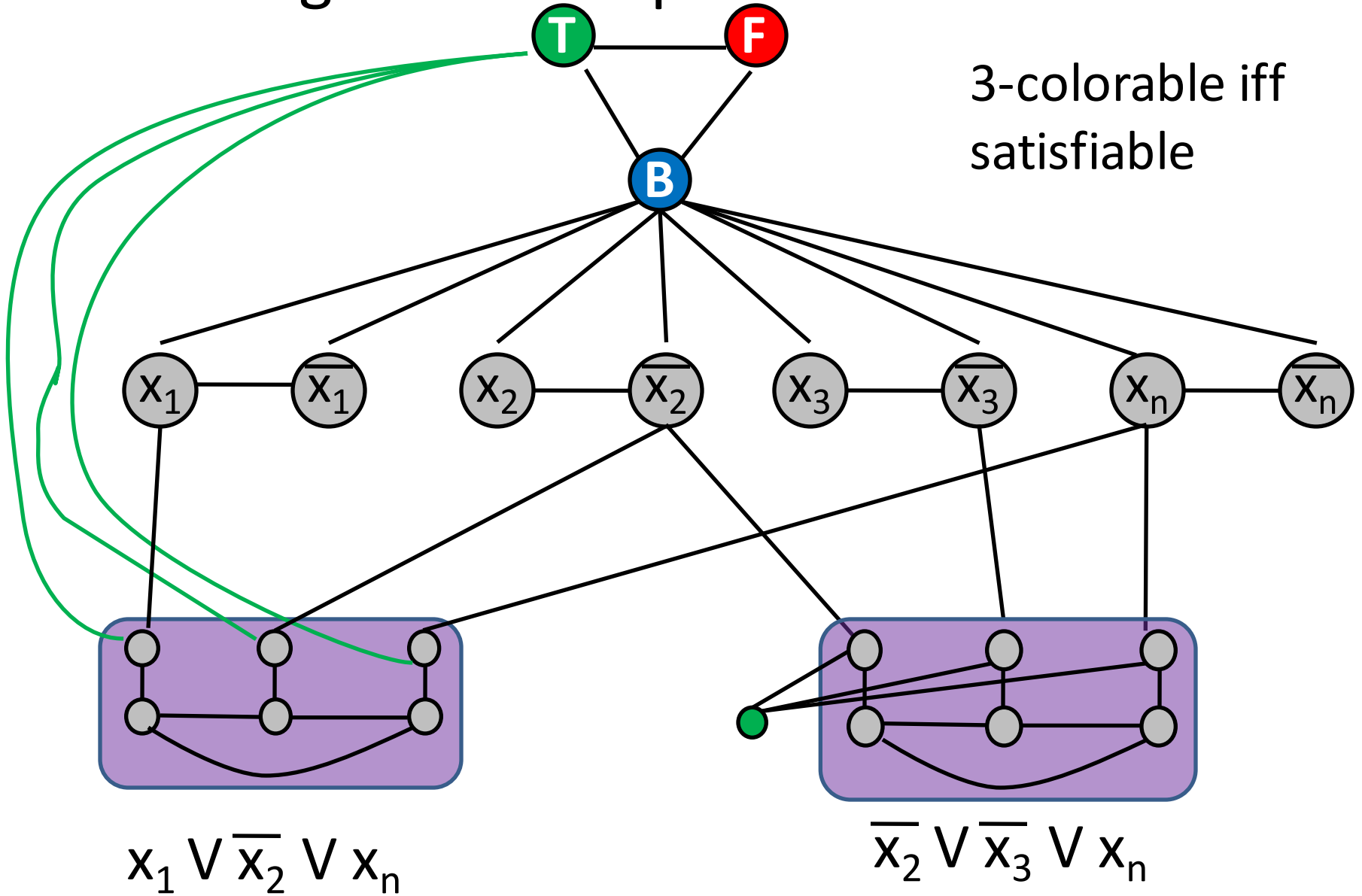
# 3-Coloring is NP-Complete



3-colorable iff  
satisfiable

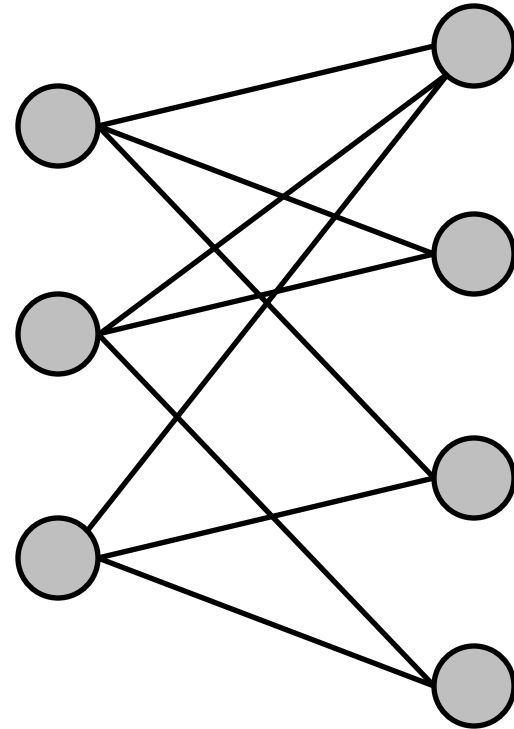
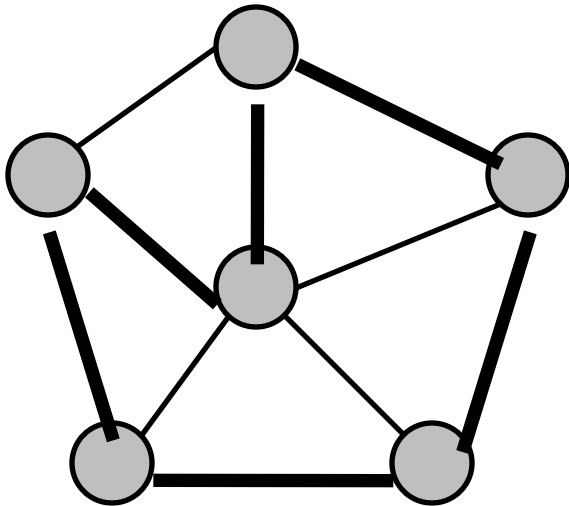
$$X_1 \vee \bar{X}_2 \vee X_n$$

# 3-Coloring is NP-Complete



## Hamiltonian Cycle:

A cycle in a graph that hits each vertex once.



Directed Hamiltonian Cycle:  
same, but in a directed graph

# Directed Ham Cycle is NP-Complete

Clearly in NP, because can check if a cycle is Hamiltonian

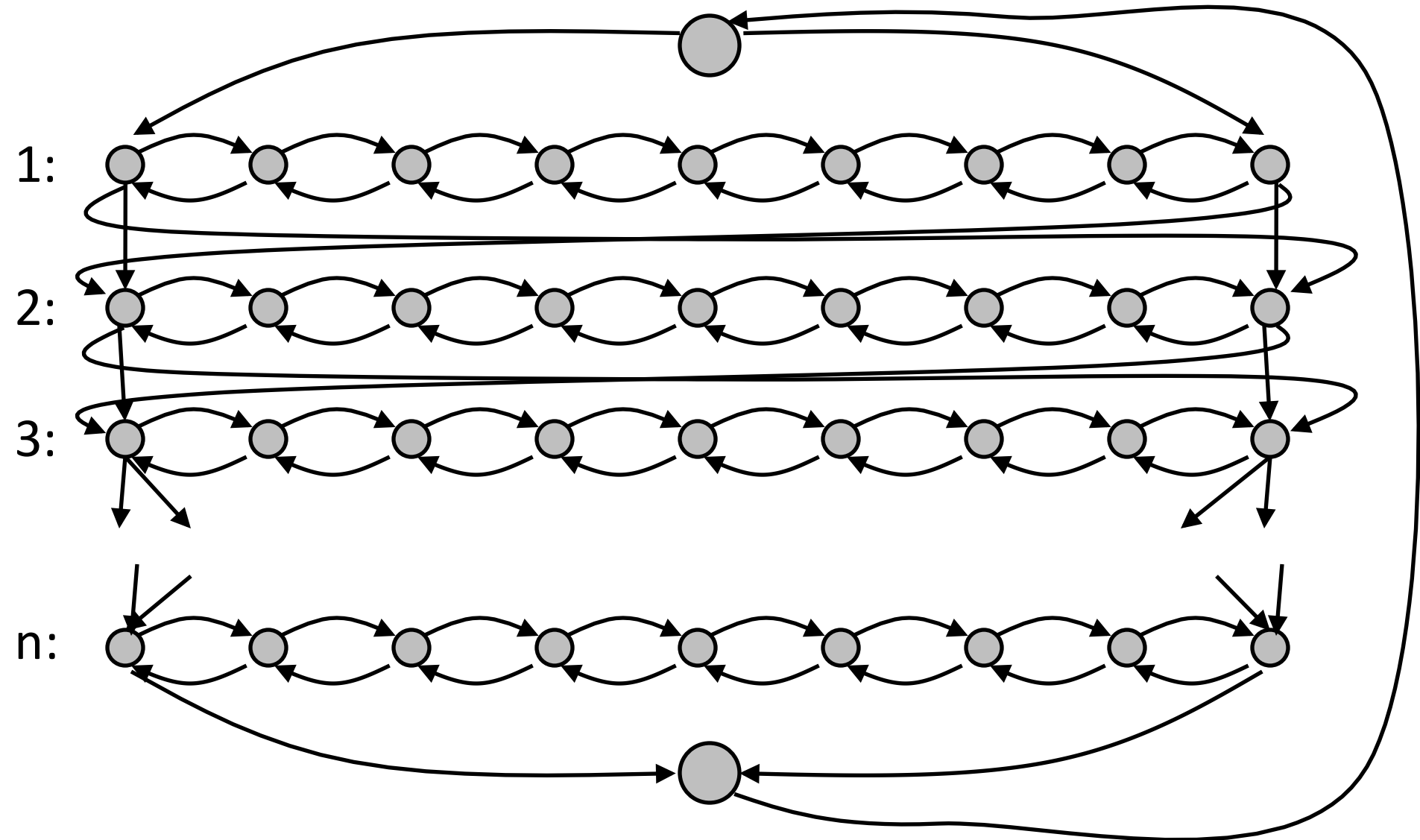
To prove NP-hard, will show

$$3\text{-SAT} \leq_p \text{Directed Ham Cycle}$$

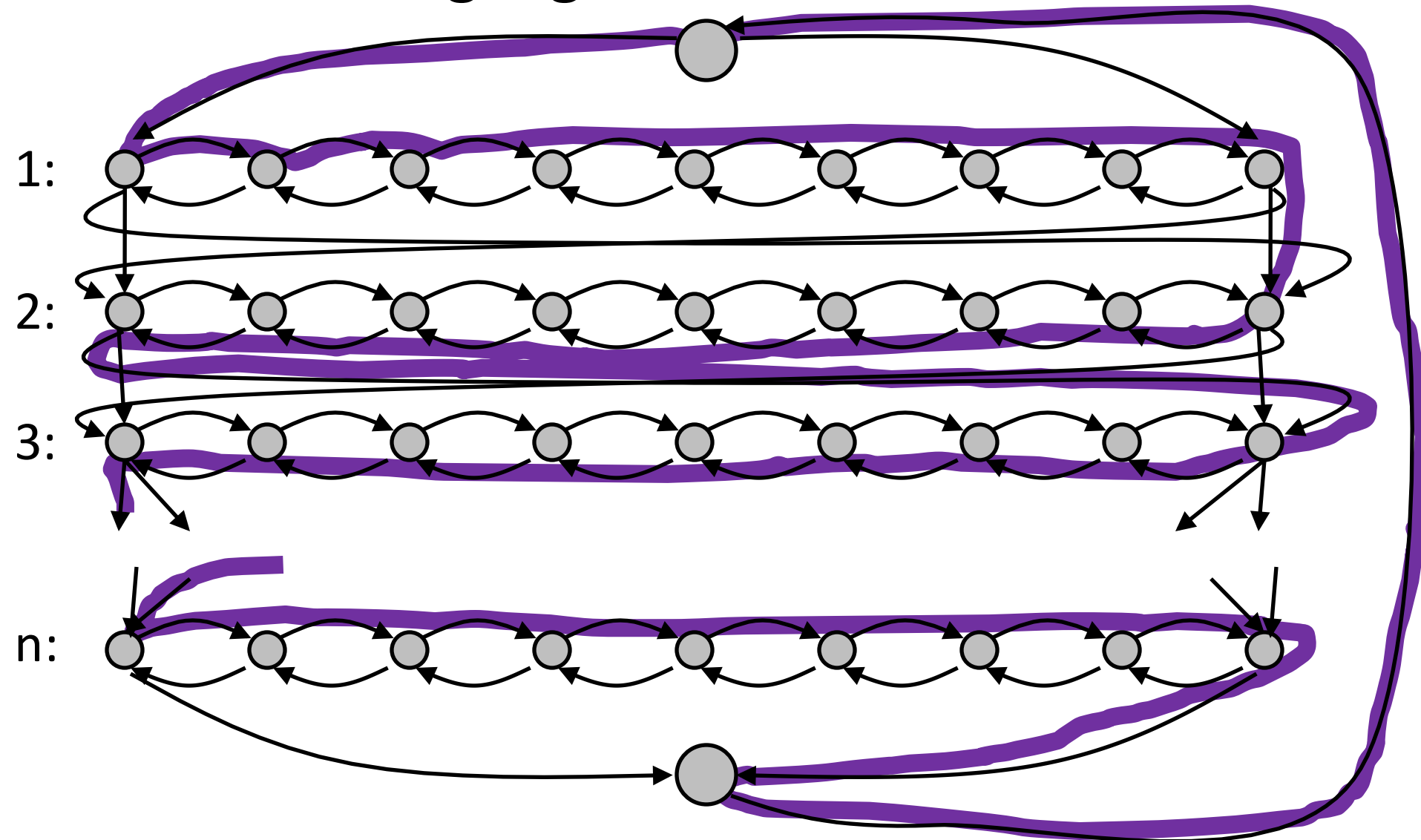
Produce directed graph  $G = (V, E)$  that has Ham Cycle iff the clauses are satisfiable



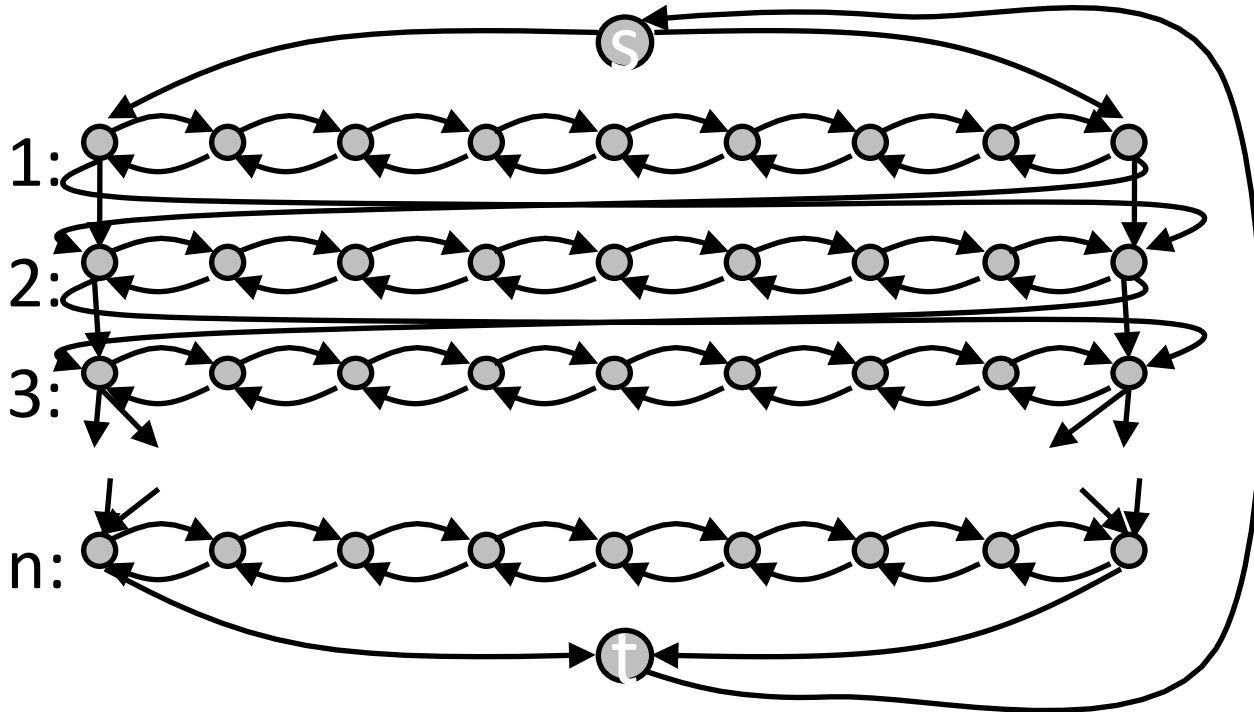
Start: create graph with  $2^n$  Ham Cycles,  
then create gadgets to restrict them



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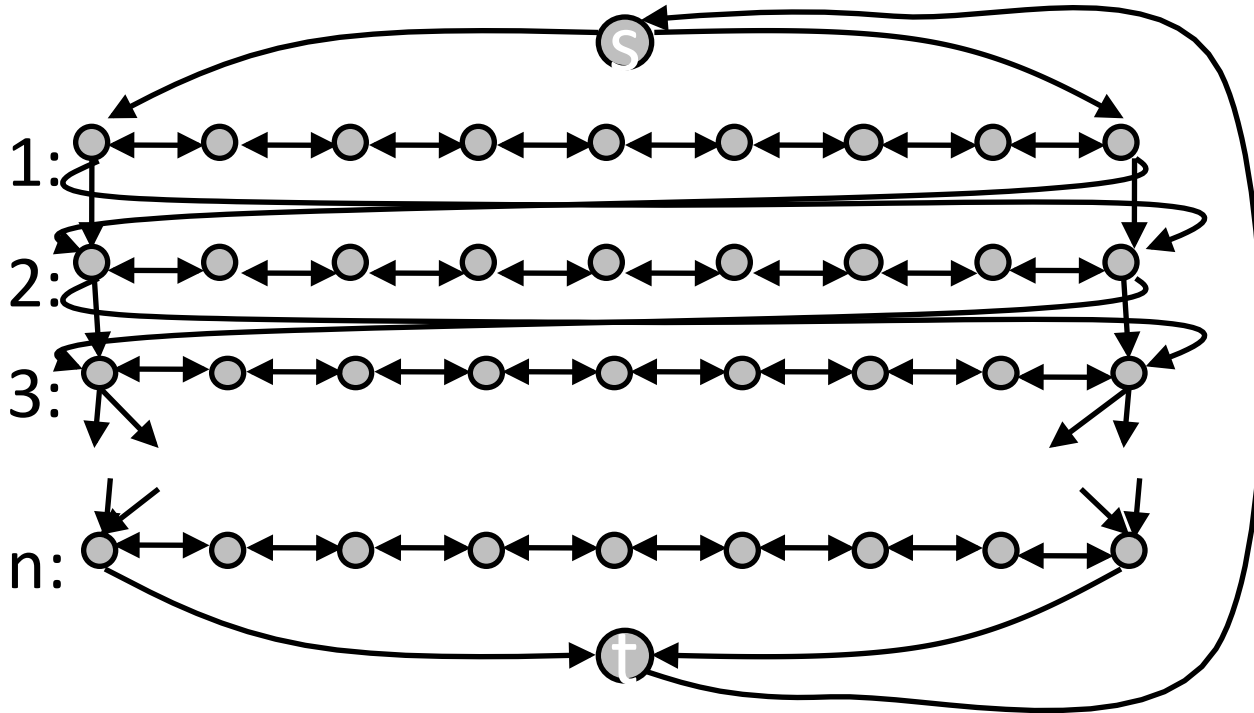


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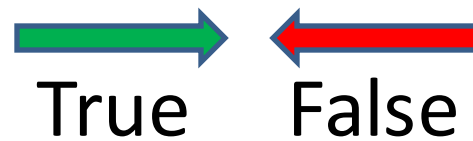
Must go top-to-bottom, and can traverse each row  
left-to-right (True) or right-to-left (False)

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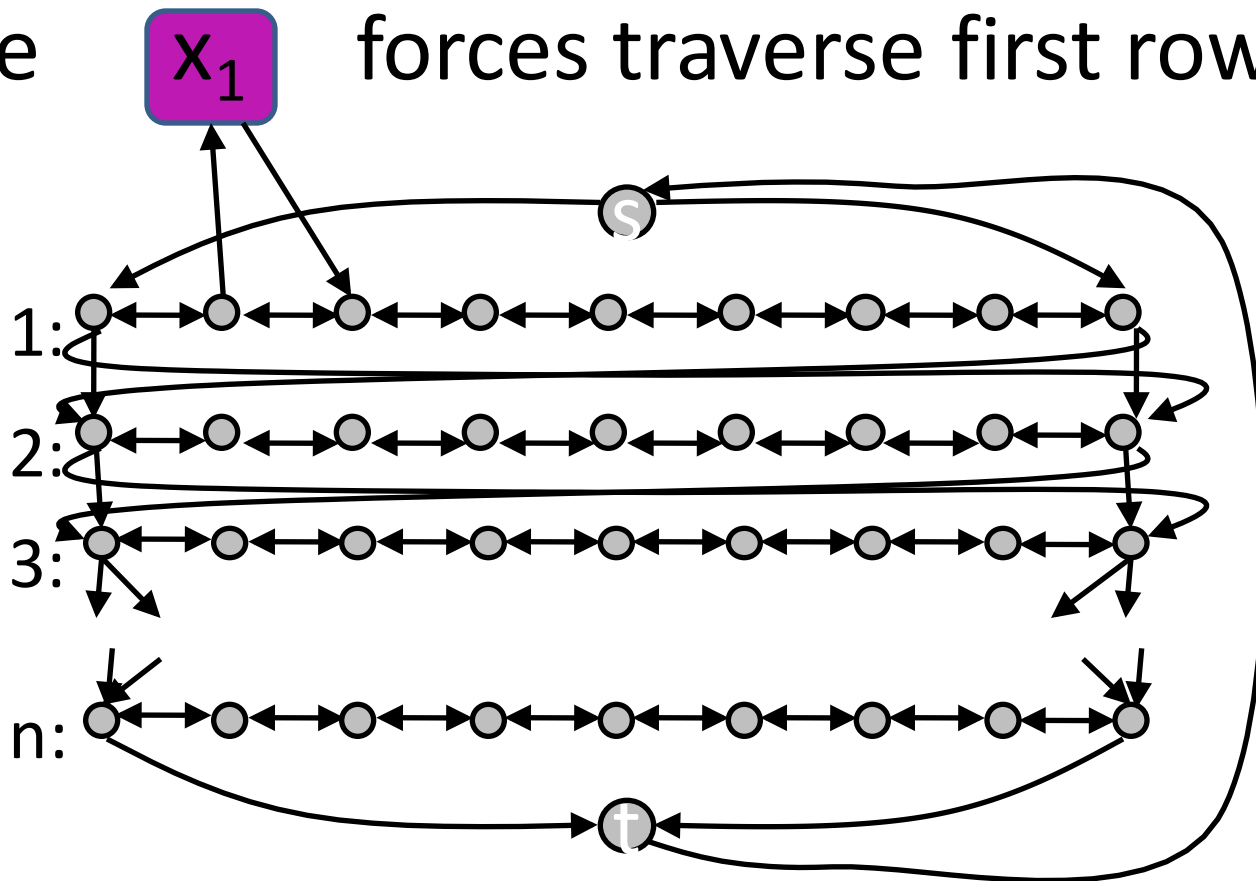


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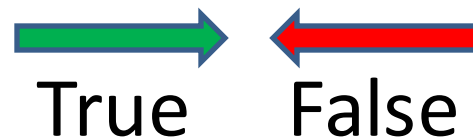
# Clause gadgets



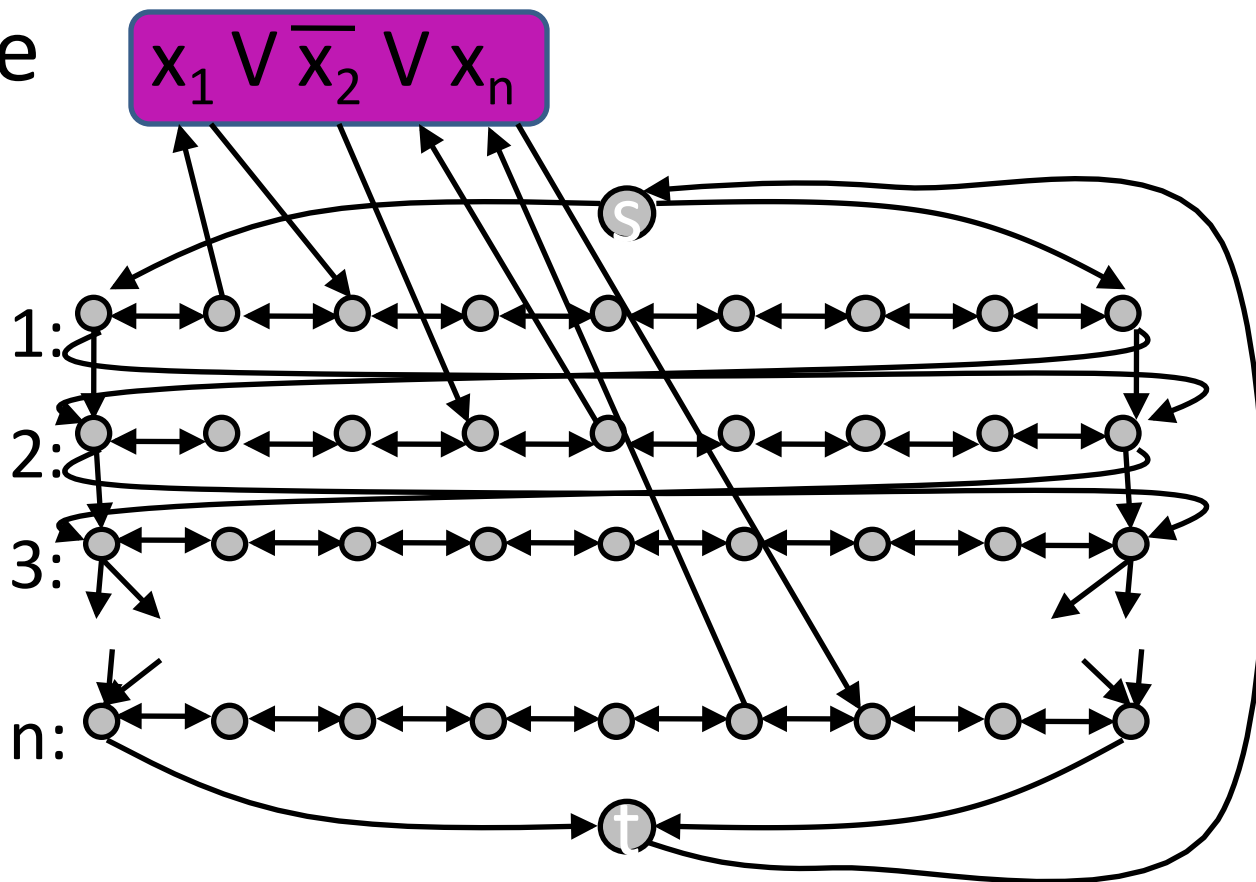
clause  $x_1$  forces traverse first row 



# Clause gadgets

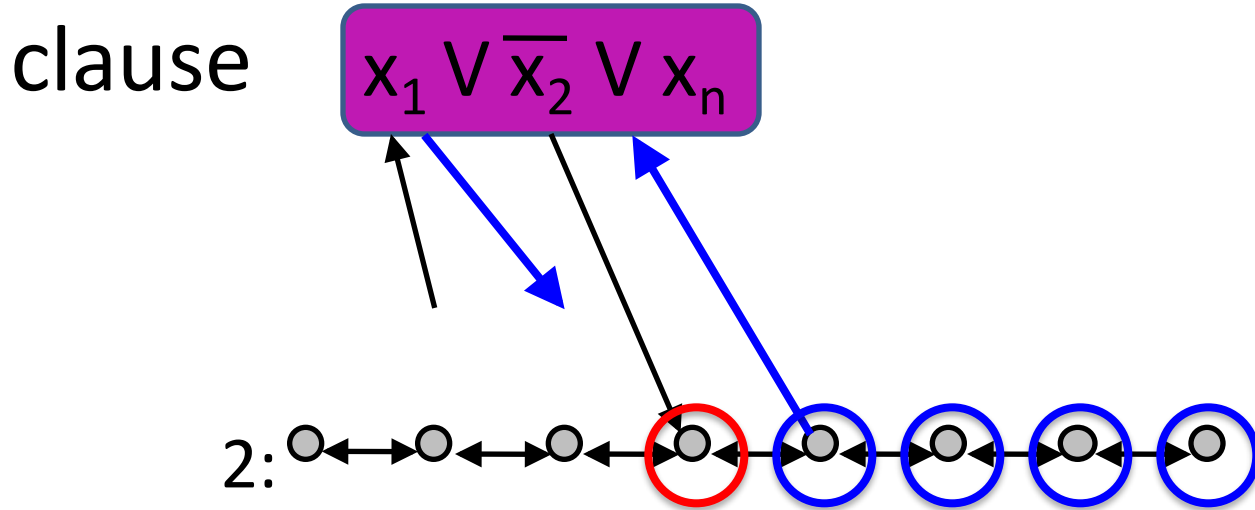
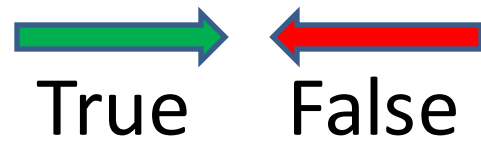


clause



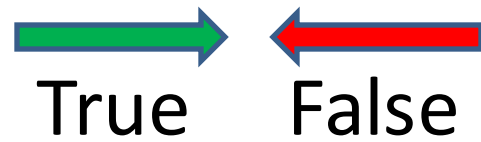
Forces traverse 1  $\rightarrow$ , or 2  $\leftarrow$ , or n  $\rightarrow$

# Clause gadgets

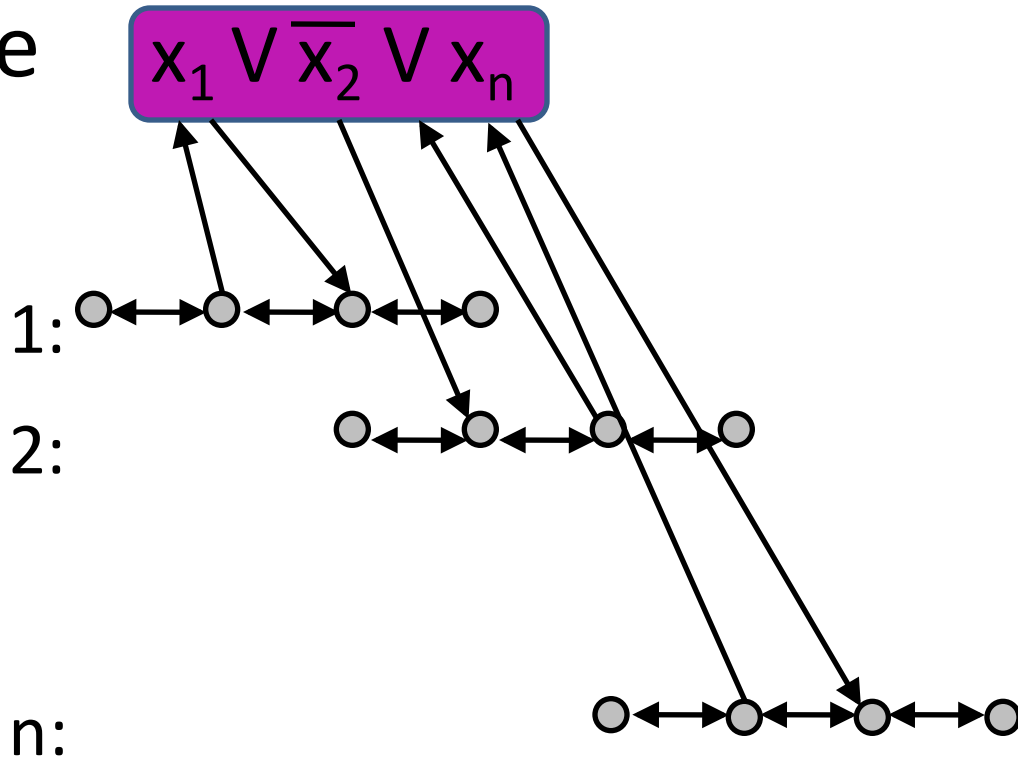


To see must come back to same row,  
note that if do not is no hamiltonian  
path through unused down-link

# Clause gadgets



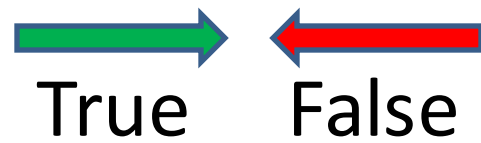
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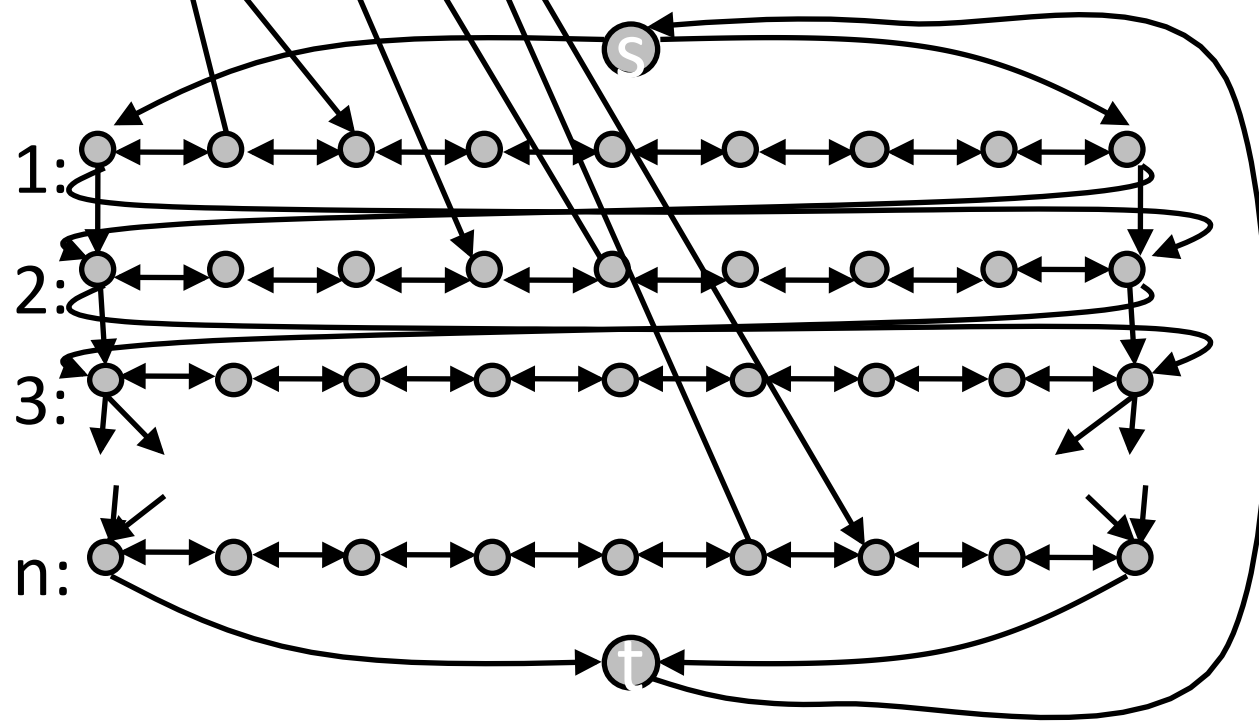


# Ham cycle iff satisfiable

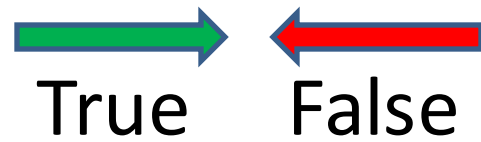


$$x_1 \vee \bar{x}_2 \vee x_n$$

Pf. If satisfiable,  
traverse in order  
indicated by vars,  
picking up each  
clause once using  
some true term.

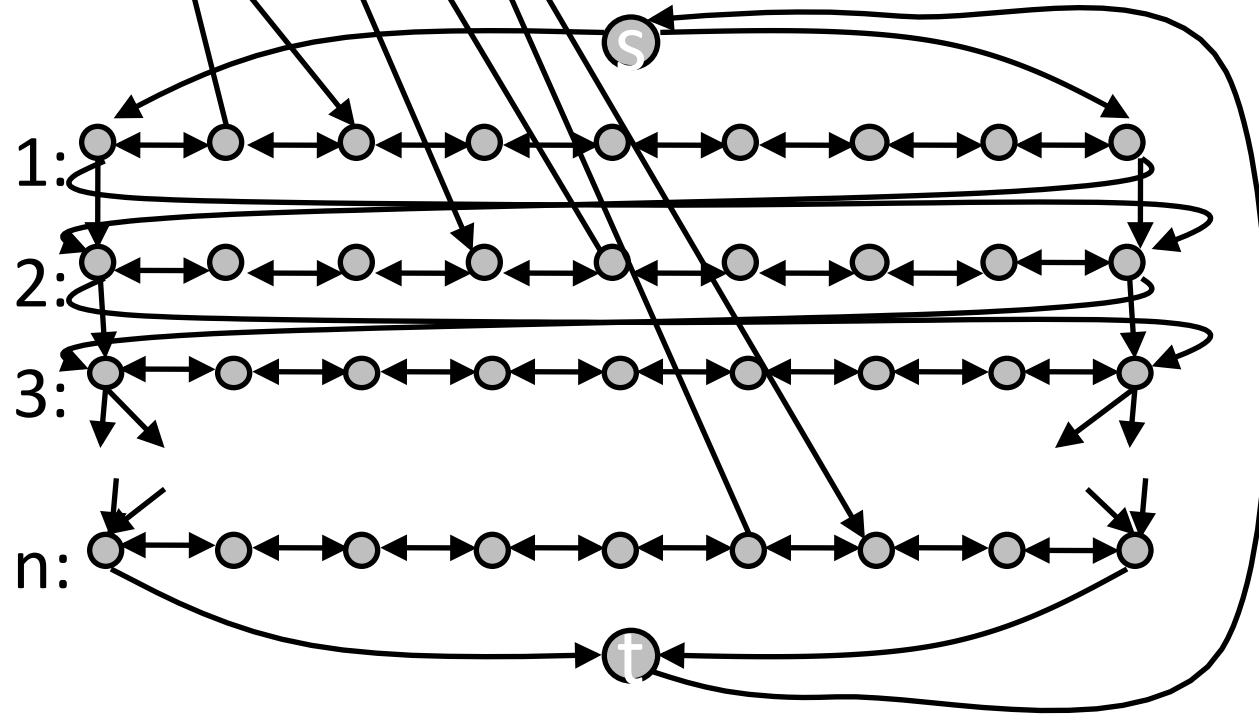


# Ham cycle iff satisfiable



$x_1 \vee \bar{x}_2 \vee x_n$

Pf. If Ham Cycle,  
must go  
top to bottom



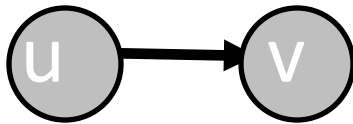
assign vars by direction

if visit each clause node, then is made true by term on row from which make the visit.

# Directed Ham Cycle $\leq_p$ Ham Cycle

1. In directed problem,  
answer same if reverse all arrows.
2. To transform to undirected,  
replace each vertex  $v$  with three vertices:

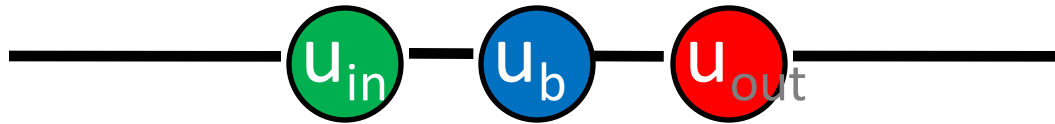
$v_{in}, v_{base}, v_{out}$



Replace directed  $(u,v)$  edge with  $(u_{out}, v_{in})$



# Directed Ham Cycle $\leq_p$ Ham Cycle

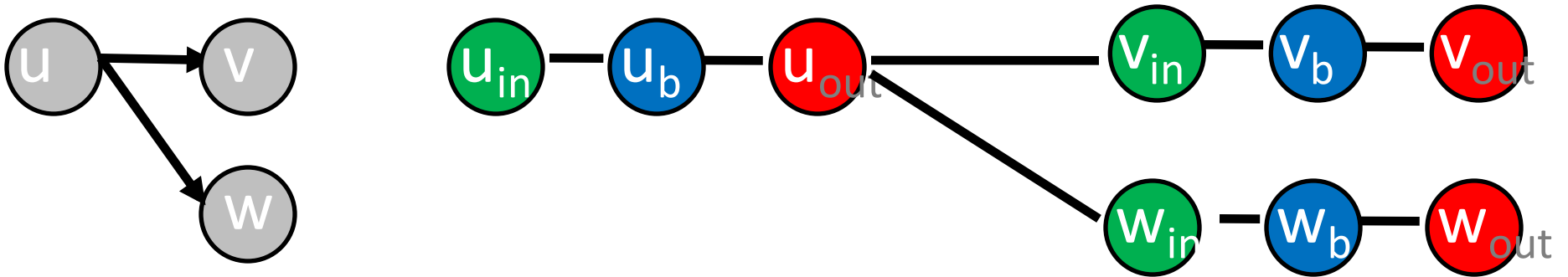


Claim: If these are only edges to  $u_b$ ,  
then in every Hamiltonian cycle  
 $u_b$  must be adjacent to  $u_{in}$

Proof: if it is not, then once enter  $u_b$   
can not get out

# Directed Ham Cycle $\leq_p$ Ham Cycle

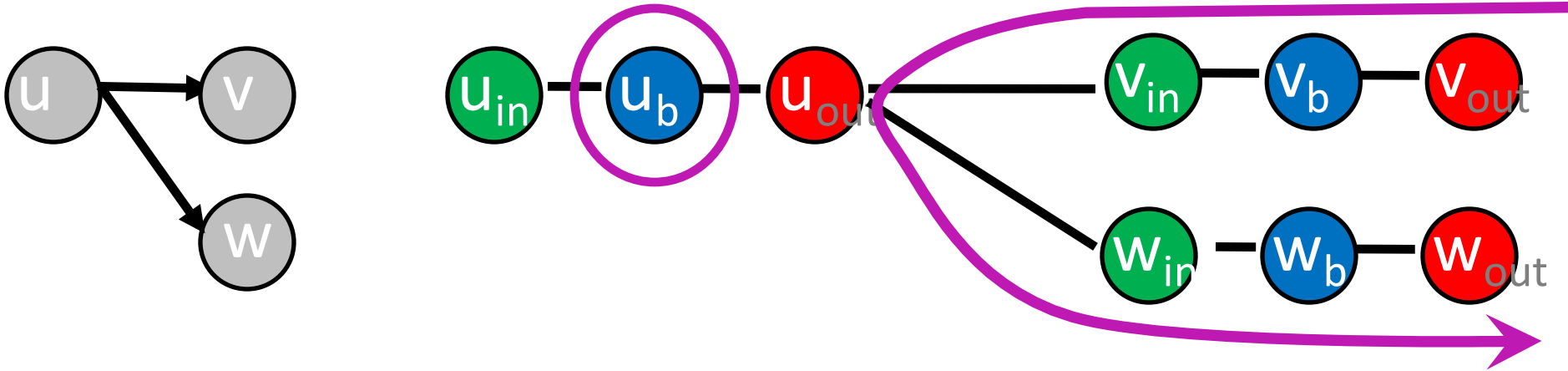
Replace directed  $(u,v)$  edge with  $(u_{out}, v_{in})$



Directed Ham Cycle in original  $\rightarrow$  Ham Cycle

# Directed Ham Cycle $\leq_p$ Ham Cycle

Replace directed  $(u,v)$  edge with  $(u_{out}, v_{in})$

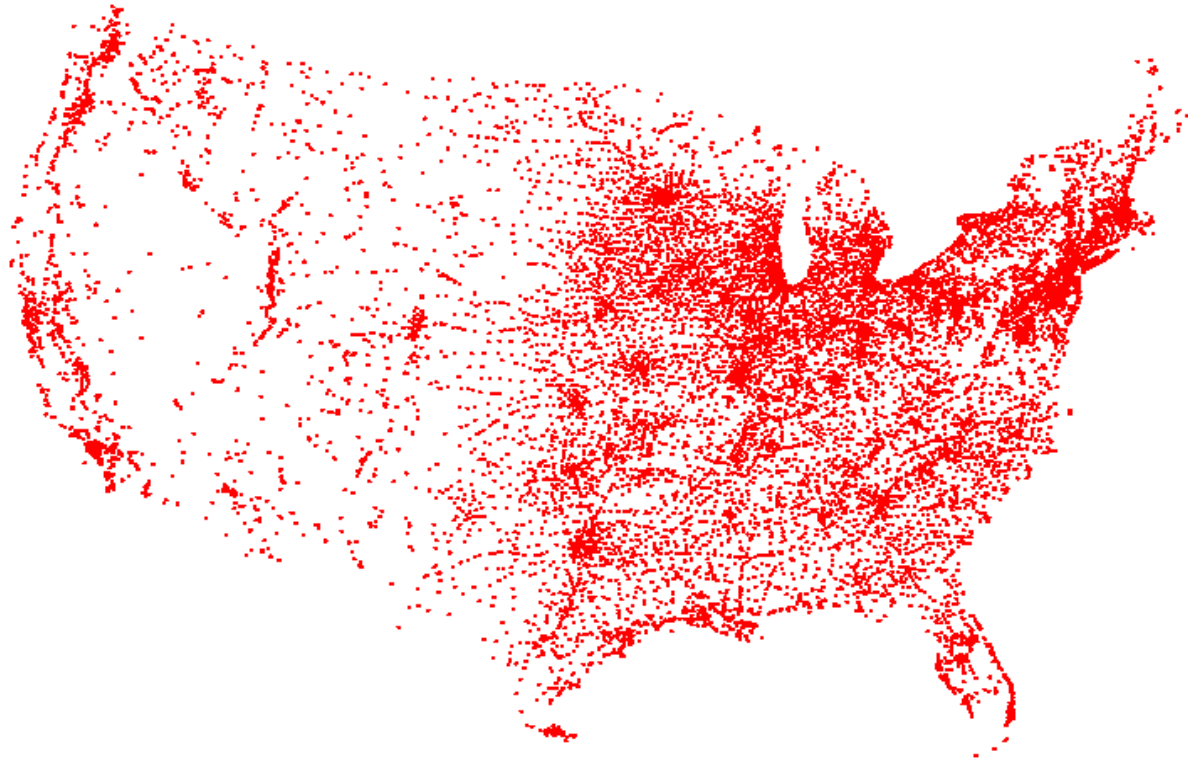


Lemma:

Every Ham Cycle in the undirected graph must go **in, base, out, in, base, out, in, base, out**, etc, must correspond to a Ham Cyc in directed graph

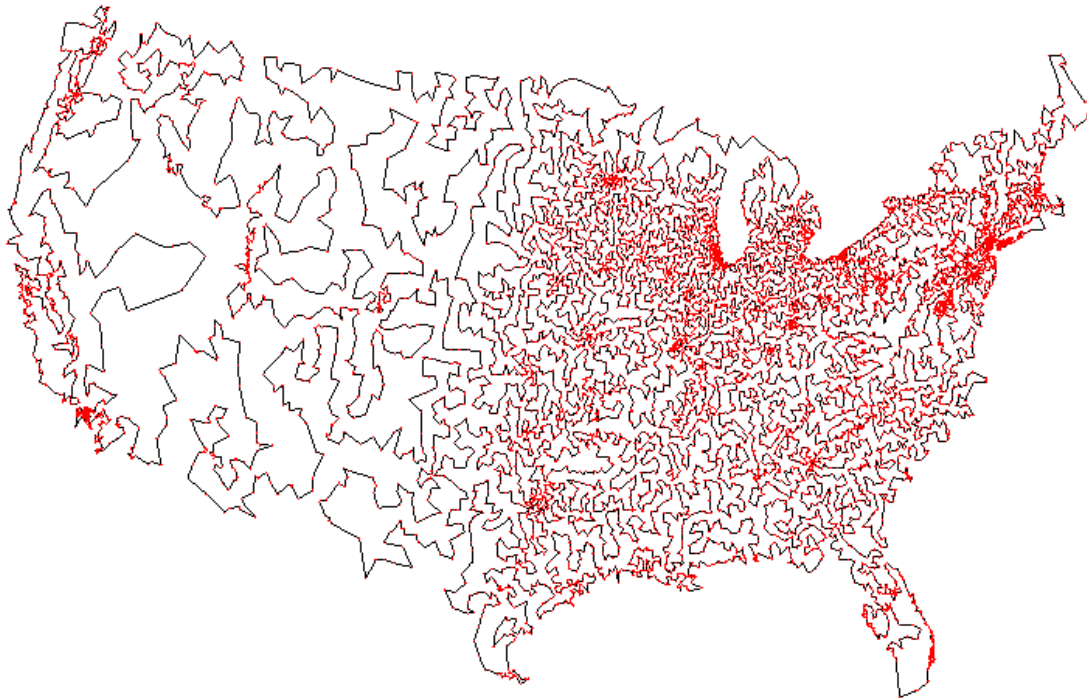
# TSP (Travelling Salesperson Problem)

Given  $n$  locations, a distance function  $d(u,v)$  and a total distance  $D$ , does there exist a tour through all locations of total distance at most  $L$ ?



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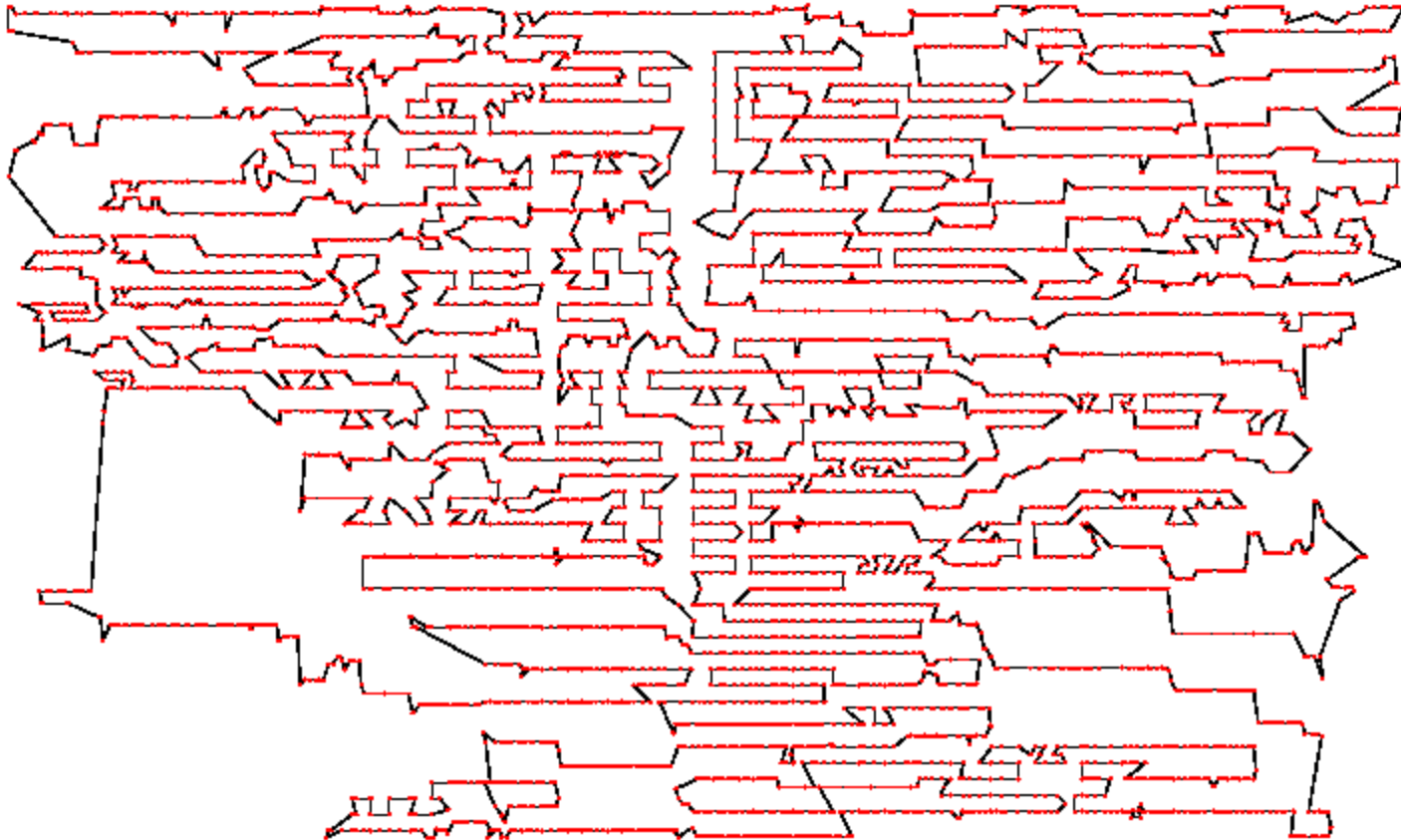
Given  $n$  locations, a distance function  $d(u,v)$  and a total distance  $D$ , does there exist a tour through all locations of total distance at most  $L$ ?





# RL5915 optimal solution

An optimal solution for [RL5915](#) is given by the following tour, which has length 565530.



[http://www.tsp.gatech.edu/rl5915/rl5915\\_sol.html](http://www.tsp.gatech.edu/rl5915/rl5915_sol.html)

# TSP is NP-complete

Ham Cycle  $\leq_p$  TSP

Given graph  $G = (V, E)$ ,  
create one location for each vertex,

$d(u, v) = 1$  if  $(u, v) \in E$   
2 otherwise

Target distance =  $|V|$

A tour of all locations that returns to start and has total length  $|V|$  must use exactly  $|V|$  edges of  $G$

# TSP is NP-complete

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This is an abstract distance function.

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This is an abstract distance function.

Remains NP-hard for integer points in plane.

# Issue with Planar TSP

If input is locations of points, instead of distances

The problem is not known to be in NP, because do not know if can compare distances in polynomial time.

For integers  $x_1, \dots, x_n$  integer  $t$ , do not have poly time algorithm to test if

$$\sum_i \sqrt{x_i} \leq t$$

# Classic Nintendo Games are (NP-)Hard

Greg Aloupis\*

Erik D. Demaine<sup>†</sup>

Alan Guo<sup>†‡</sup>

March 9, 2012

## Abstract

We prove NP-hardness results for five of Nintendo's largest video game franchises: Mario, Donkey Kong, Legend of Zelda, Metroid, and Pokémon. Our results apply to Super Mario Bros. 1, 3, Lost Levels, and Super Mario World; Donkey Kong Country 1–3; all Legend of Zelda games except Zelda II: The Adventure of Link; all Metroid games; and all Pokémon role-playing games. For Mario and Donkey Kong, we show NP-completeness. In addition, we observe that several games in the Zelda series are PSPACE-complete.

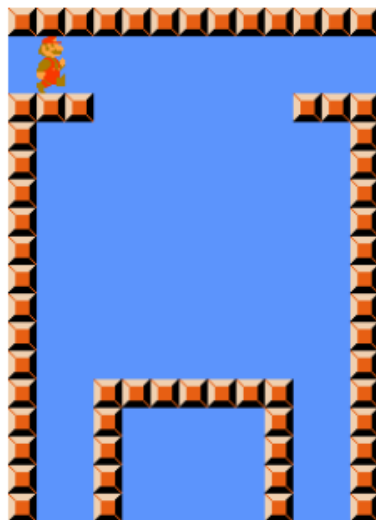


Figure 4: Variable gadget for Mario

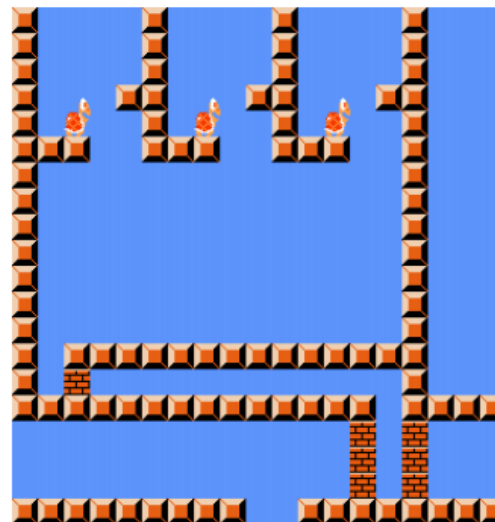


Figure 5: Clause gadget for Mario

# Candy Crush is NP-hard

Toby Walsh

*NICTA and University of NSW, Sydney, Australia*

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## **Abstract**

We prove that playing Candy Crush to achieve a given score in a fixed number of swaps is NP-hard.

*Keywords:* computational complexity, NP-completeness, Candy Crush.

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# Bejeweled, Candy Crush and other Match-Three Games are (NP-)Hard

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March 25, 2014