

# On the Random-Self-Reducibility of Complete Sets

Preliminary Version \*

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## Abstract

Informally, a function  $f$  is *random-self-reducible* if the evaluation of  $f$  at any given instance  $x$  can be reduced in polynomial time to the evaluation of  $f$  at one or more *random* instances  $y_i$ . A set is random-self-reducible if its characteristic function is. Random-self-reducibility plays a major role in many areas of theoretical computer science, including average-case complexity, lower bounds, interactive proof systems, zero-knowledge, instance-hiding, pseudorandom number generation, and program checking.

In this paper, we generalize the previous formal definitions of random-self-reducibility. We show that, even under our very general definition, sets that are complete for any level of the polynomial hierarchy are not random-self-reducible, unless the hierarchy collapses. In particular, NP-complete sets are not random-self-reducible, unless the hierarchy collapses at the third level.

By contrast, we show that sets complete for the classes PP and MOD<sub>m</sub>P are random-self-reducible.

## 1 Introduction

Informally, a function  $f$  is *random-self-reducible* if the evaluation of  $f$  at any given instance  $x$  can be reduced in polynomial time to the evaluation of  $f$  at one or more *random* instances  $y_i$ .

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Random-self-reducible functions have many applications, including:

**Average-case complexity:** A random-self-reduction maps an arbitrary, worst-case instance  $x$  in the domain of  $f$  to a set of random instances  $y_1, \dots, y_k$  in such a way that  $f(x)$  can be computed in polynomial-time, given  $x, f(y_1), \dots, f(y_k)$ , and the coin-toss sequence used in the mapping. Thus the average-case complexity of  $f$ , where the average is taken with respect to the induced distribution on instances  $y_i$ , is the same, up to polynomial factors, as the worst-case complexity of  $f$ . An important special case is that in which each random instance  $y_i$  is uniformly distributed over all elements in  $Dom(f)$  that have length  $|x|$ . In this case,  $f$  “is as hard on average as it is in the worst case.” For example, the PERM (permanent of integer matrices) function is #P-complete (cf. [26]) and has a random-self-reduction in which the induced distribution on each random instance  $y_i$  is uniform (cf. [19]). Thus, if the PERM function could be computed efficiently in the average case, *every* function in #P could be computed efficiently in the *worst* case; furthermore, the random-self-reduction for PERM is very simple, whereas standard average-case hardness proofs are often complicated.

**Lower bounds:** The random-self-reducibility of the parity function is used in [2] to obtain a simple proof that a random oracle separates the polynomial hierarchy (PH) from PSPACE. (An earlier proof of this result in [12] does not use random-self-reducibility.)

**Interactive proof systems and program checkers:** Random-self-reductions are crucial

ingredients in many of the original examples of interactive proof systems and program checkers (cf. [9, 17]). Intuitively, this is because the verifier/checker interrogates the prover/program by comparing its output on the specific input of interest to its outputs on other correlated random instances. Several variations of this relationship between random-self-reducibility and proof systems/checkers are stated formally in [10, 20, 25]. These ideas play a crucial role in the characterization of the language-recognition power of interactive proof systems (cf. [4, 20, 22]). Currently, one of the most important open questions about checkability is whether NP-complete sets are checkable. The main result that we present in Section 3 implies that, if NP-complete sets are checkable, their checkers must use radically different techniques from those used by the existing checkers.

**Cryptographic protocols:** The fact that certain number-theoretic functions are random-self-reducible (and hence hard on average if they are hard at all) is used extensively in the theory of cryptography – e.g., to achieve *probabilistic encryption* (cf. [16]) and *cryptographically strong pseudorandom number generation* (cf. [11]). Random-self-reductions also provide natural examples of *instance-hiding schemes* (cf. [1, 6, 7]), in which a weak, private computing device uses the resources of a powerful, shared computing device without revealing its private data.

Random-self-reductions were defined formally and studied in their own right for the first time by Abadi, Feigenbaum, and Kilian [1]; they considered reductions that map the given instance  $x$  to *one* random instance  $y$ . It is a corollary of the main result in [1] that no NP-hard function is random-self-reducible in this sense, unless the PH collapses at the third level.

Random-self-reductions that produce several, correlated random instances  $y_1, \dots, y_k$  were defined formally by Feigenbaum, S. Kannan, and Nisan [14]; however, they only considered reductions that produce  $y_i$ 's that are uniformly distributed over  $\{0, 1\}^{|x|}$ . Their main result is that self-reductions that map  $x$  to two instances  $y_1$

and  $y_2$ , each of which is uniformly distributed over  $\{0, 1\}^{|x|}$ , do not exist for NP-hard functions, unless the PH collapses at the third level.

The related idea of mapping an instance  $x$  in the domain of  $f$  to one or more random instances  $y_1, \dots, y_k$  in the domain of a different function  $g$  is studied in [1, 6, 7]. For the case of one random  $y$ , a negative result for NP-hard functions is obtained in [1]. If multiple random  $y_i$ 's are allowed, then *every* function  $f$  can be *locally randomly reduced* to a related function  $g$ ; see [6, 7] for a thorough discussion.

In this paper, we continue the study of random-self-reductions from a complexity-theoretic point of view. We further generalize the formal definition of random-self-reducibility that is studied in [14]. Specifically, we look at reductions that map a given instance  $x$  to a sequence of random instances  $y_1, \dots, y_k$ , with the property that the induced distribution on each  $y_i$  depends only on the length of  $x$ . We consider both *non-adaptive  $k$ -random-self-reductions*, in which the  $k$  random instances are produced in one pass, and *adaptive  $k$ -random-self-reductions*, in which the instance  $y_i$  may depend not only on  $x$  and the coin-toss sequence used in the reduction, but on  $f(y_1), \dots, f(y_{i-1})$  as well. Our main results are:

- If  $S$  is complete for  $\Sigma_i^p$ , for any  $i \geq 1$ , and  $\chi_S$  is nonadaptively  $k(n)$ -random-self-reducible, for any polynomially bounded function  $k$ , then the PH collapses at the  $(i+2)^{nd}$  level. In particular, if the characteristic function for any NP-complete set has a nonadaptive random-self-reduction, then the PH collapses at the third level. This strengthens the main result in [14].
- If  $S$  is complete for PP or for  $\text{MOD}_m\text{P}$ , for any  $m > 1$ , then  $\chi_S$  is adaptively  $k(n)$ -random-self-reducible, for some polynomially bounded function  $k$ . Setting  $m = 2$ , we get that  $\oplus\text{P}$ -complete sets are random-self-reducible.

The rest of this paper is organized as follows. In Section 2, we define our terms precisely and recall known results that we will use. Section 3

contains our main negative result about complete sets in the PH. Section 4 contains the proofs that complete sets for PP and  $\text{MOD}_m\text{P}$  are random-self-reducible. Open problems are stated in Section 5.

Most of the content of this paper first appeared in our Technical Report [13].

## 2 Preliminaries

Throughout this paper,  $f$  is a function from  $\{0,1\}^*$  to  $\{0,1\}^*$ , and  $x$  is an arbitrary input for which we would like to determine  $f(x)$ . We use  $r$  to denote a sequence of fair coin tosses; if  $|x| = n$ , then  $|r| = w(n)$ , where  $w$  is a polynomially bounded function of  $n$ .

**Definition 2.1** *The function  $f$  is nonadaptively  $k(n)$ -random-self-reducible (abbreviated “nonadaptively  $k$ -rsr”) if there are polynomial-time computable functions  $\sigma_1, \dots, \sigma_k$ , and  $\phi$  with the following properties.*

(1) For all  $n$  and all  $x \in \{0,1\}^n$ ,

$$f(x) = \phi(x, r, f(\sigma_1(x, r)), \dots, f(\sigma_k(x, r))),$$

for at least  $3/4$  of all  $r$ 's in  $\{0,1\}^{w(n)}$ , and

(2) For all  $n$ , all  $\{x_1, x_2\} \subset \{0,1\}^n$ , and all  $i, 1 \leq i \leq k$ , if  $r$  is chosen uniformly at random, then  $\sigma_i(x_1, r)$  and  $\sigma_i(x_2, r)$  are identically distributed.

Feigenbaum, S. Kannan, and Nisan [14] use the term “ $k(n)$ -random-self-reduction” to describe a special case of Definition 2.1, i.e., the case in which each of the random variables  $\sigma_i(x, r)$  is distributed uniformly over  $\{0,1\}^n$ .

Next we generalize Definition 2.1 to allow a multiround, adaptive strategy for choosing random queries.

**Definition 2.2** *The function  $f$  is adaptively  $k(n)$ -random-self-reducible (abbreviated “adaptively  $k$ -rsr”) if there is a probabilistic, polynomial-time oracle machine  $\phi$  that, on input  $x$  of length  $n$ , produces  $k(n)$  rounds of  $f$ -oracle queries. The query  $y_i(x, r)$  produced in round  $i$  may depend on all queries and answers in rounds 1 through  $i - 1$ .*

*The reduction  $\phi$  must have the following properties.*

(1) For all  $x$ , it outputs the correct answer  $f(x)$  for at least  $3/4$  of all  $r \in \{0,1\}^{w(n)}$ .

(2) For all  $n$  and all  $i, 1 \leq i \leq k$ , if  $|x_1| = |x_2| = n$  and  $r$  is chosen uniformly from  $\{0,1\}^{w(n)}$ , then the random variables  $y_i(x_1, r)$  and  $y_i(x_2, r)$  are identically distributed.

*Note that condition (2) may not hold for  $y_i(x, r)$  if wrong answers are given in earlier rounds.*

We say that a function  $f$  is *poly-rsr* (or simply *rsr*) if there is some polynomially bounded function  $k$  such that  $f$  is either nonadaptively or adaptively  $k$ -rsr. The reductions themselves are also referred to as poly-rsr's or rsr's. A set  $S$  is poly-rsr if its characteristic function  $\chi_S$  is poly-rsr.

Rsr's are special cases of *locally random reductions* (lrr's), which were introduced in [6] and defined formally in [7]. More precisely, a non-adaptive  $k$ -rsr for the function  $f$  is a  $(1, k)$ -lrr from  $f$  to  $f$ , in the terminology of [7].

The gist of Definitions 2.1 and 2.2 is that, for any fixed value of  $i$ , the distribution of random queries to the  $i^{\text{th}}$  oracle depends only on the length  $n$  of the input  $x$ . In keeping with the terminology in [1, 6], we say that an rsr “leaks at most  $n$  to each oracle.” In cryptographic applications, it is often natural to consider reductions that leak at most some other function  $L$ ; Definitions 2.1 and 2.2 have natural generalizations that fit these applications – see [1, 6] for details.

**Lemma 2.3** *If a function  $f$  is nonadaptively (resp. adaptively)  $k(n)$ -rsr, then  $f$  is nonadaptively (resp. adaptively)  $8t(n)k(n)$ -rsr where condition (1) holds for at least  $1 - 2^{-t(n)}$  of the  $r$ 's in  $\{0,1\}^{8t(n)w(n)}$ .*

**Proof:** Let  $r = r_1 \dots r_{8t(n)}$  with each  $r_i \in \{0,1\}^{w(n)}$ . Define  $\sigma_{ij} = \sigma_i(x, r_j)$  for  $1 \leq i \leq k$  and  $1 \leq j \leq 8t(n)$ . Let  $\phi_j = \phi(x, r_j, f(\sigma_{1j}), \dots, f(\sigma_{kj}))$ . Let the new  $\phi$  choose the plurality of the  $\phi_j$ 's, handling ties arbitrarily. A simple application of Chernoff bounds [23, Lecture 4] gives us the lemma. ■

We now recall some definitions and known results that will be used in Sections 3 and 4.

Let  $f : \{0, 1\}^* \rightarrow \{0, 1\}$  be an arbitrary boolean function, and let  $f_n : \{0, 1\}^n \rightarrow \{0, 1\}$  be the restriction of  $f$  to inputs  $(x_1, \dots, x_n) \in \{0, 1\}^n$ . For any finite field  $K_n$ , there is a unique multilinear polynomial  $g_n \in K_n[X_1, \dots, X_n]$  that *represents*  $f_n$  over  $K_n$  – i.e.,  $g_n$  agrees with  $f_n$  on all inputs  $(x_1, \dots, x_n)$  in  $\{0, 1\}^n$ . In the context of random-self-reducibility, we always take  $K_n$  to be a field of size at least  $n + 1$ . The polynomial  $g_n$  has a standard explicit formula; we give the formula here and discuss some computational aspects of it in Section 4 below. Let  $x = (x_1, \dots, x_n)$  be an arbitrary element of  $K_n^n$  and  $A = (a_1, \dots, a_n)$  be an arbitrary element of  $\{0, 1\}^n$ .

$$g_n(x) = \sum_{A \in \{0, 1\}^n} \delta_A(x) f_n(A) \quad (1)$$

$$\delta_A(x) = \prod_{i=1}^n (x_i - (1 - a_i)) (-1)^{(1-a_i)} \quad (2)$$

For  $x \in \{0, 1\}^n$ , the monomial  $\delta_A(x)$  is 1 if  $A = x$ , and it is 0 otherwise. We call  $g_n$  the *arithmetization of  $f_n$  over  $K_n$*  and  $g = \{g_n\}_{n \geq 1}$  the arithmetization of  $f$  over  $\{K_n\}_{n \geq 1}$ . If  $(x_1, \dots, x_n)$  ranges over  $\mathcal{Z}^n$ , then  $g_n$ , as defined by Equations (1) and (2), is a polynomial in  $\mathcal{Z}[X_1, \dots, X_n]$ , and  $g$  is called the *arithmetization of  $f$  over the integers*.

**Fact 2.4 (the “low-degree polynomial trick”)** *If  $g_n \in K_n[X_1, \dots, X_n]$  has degree  $d_n$  and  $|K_n| > d_n$ , then  $g = \{g_n\}_{n \geq 1}$  is non-adaptively  $(d_n + 1)$ -rsr. In particular, if  $g_n$  is the arithmetization over  $K_n$  of a boolean function  $f_n$ , then  $g$  is nonadaptively  $(n + 1)$ -rsr.*

**Proof:** Let  $\alpha_1, \dots, \alpha_{d_n+1}$  be distinct elements of  $K_n$ . Choose coefficients  $c_1, \dots, c_n$  independently and uniformly at random from  $K_n$ , and let  $\sigma_i(x_1, \dots, x_n) = (c_1 \alpha_i + x_1, \dots, c_n \alpha_i + x_n)$  for  $1 \leq i \leq d_n + 1$ . Let

$$G(Z) = g_n(c_1 Z + x_1, \dots, c_n Z + x_n).$$

Then  $G$  is a one-variable polynomial of degree at most  $d_n$  that satisfies

$$G(0) = g_n(x_1, \dots, x_n).$$

The function  $\phi$  of the rsr interpolates the  $d_n + 1$  values  $(\alpha_1, G(\alpha_1)), \dots, (\alpha_{d_n+1}, G(\alpha_{d_n+1}))$  to recover the polynomial  $G$  and outputs the constant term. ■

Beaver and Feigenbaum [6] created the low-degree polynomial trick in order to show that every function is locally-random-reducible to its arithmetization. Lipton [19] later observed that the same construction gives a random-self-reduction if the original function is itself a multivariate polynomial.

In [7], it is shown how to represent  $f_n$  as a degree- $(n/\log n)$  polynomial  $h_n$  over  $K_n$  by performing a simple change of variables. By Fact 2.4, the function  $h = \{h_n\}_{n \geq 1}$  is nonadaptively  $(1 + n/\log n)$ -rsr.

**Definition 2.5** *A complexity class  $C$  is #P-robust if  $\text{FP}^C = \#\text{P}^C$ , where  $\text{FP}$  denotes the class of all polynomial-time computable functions.*

In Section 4, we will use the following generalized version of #P.

**Definition 2.6 (cf. [15]):** *A function  $f : \{0, 1\}^* \rightarrow \mathcal{Z}$  is in the complexity class Gap-P if there is an NP machine  $M$  such that, for all  $x$ ,  $f(x)$  is the difference between the number of accepting computations of  $M$  on input  $x$  and the number of rejecting computations of  $M$  on input  $x$ . Equivalently, a function  $f$  is in Gap-P if it is the difference of two #P functions.*

By analogy with Definition 2.5, we have the following.

**Definition 2.7** *A complexity class  $C$  is Gap-P-robust if  $\text{FP}^C = \text{Gap-P}^C$ .*

**Fact 2.8** *A complexity class  $C$  is Gap-P-robust if and only if it is #P-robust.*

Let  $\langle \cdot, \cdot \rangle$  be a one-to-one, onto, polynomial-time computable, polynomial-time invertible pairing function from  $\{0, 1\}^* \times \{0, 1\}^*$  to  $\{0, 1\}^*$ . Gap-P has the following closure properties.

**Fact 2.9 (cf. [15]):** If a function  $f(\langle x, y \rangle) \in \text{Gap-P}$  then the following functions are also in Gap-P for any polynomial  $p$ :

1.  $g(\langle x, y \rangle) = -f(\langle x, y \rangle)$
2.  $g(x) = \sum_{|y| \leq p(|x|)} f(\langle x, y \rangle)$
3.  $g(x) = \prod_{1 \leq y \leq p(|x|)} f(\langle x, y \rangle)$

In particular, Gap-P is closed under subtraction.

**Definition 2.10** Let  $m$  be a positive integer greater than 1. A set  $S$  is in  $\text{MOD}_m\text{P}$  if there is an NP machine  $M$  with the following property: If  $x \in S$ , then the number of accepting computations of  $M$  on input  $x$  is not equal to  $0 \pmod m$ ; if  $x \notin S$ , then the number of accepting computations of  $M$  on input  $x$  is equal to  $0 \pmod m$ .

Thus the class  $\oplus\text{P}$ , defined in [21], is  $\text{MOD}_2\text{P}$  in the notation used here.

**Fact 2.11 (cf. [8]):** If  $m_1$  and  $m_2$  are relatively prime, then  $S \in \text{MOD}_{m_1 m_2}\text{P}$  if and only if there are sets  $S_1 \in \text{MOD}_{m_1}\text{P}$  and  $S_2 \in \text{MOD}_{m_2}\text{P}$  such that  $S = S_1 \cup S_2$ .

**Fact 2.12 (cf. [8]):** If  $p_1^{e_1} \cdots p_t^{e_t}$  is the prime factorization of  $m$ , then  $\text{MOD}_m\text{P} = \text{MOD}_{p_1 \cdots p_t}\text{P}$ .

We use the following class of straight-line programs of multivariate polynomials over  $\mathcal{Z}$  to prove that sets complete for  $\text{MOD}_m\text{P}$  are rsr.

**Definition 2.13 (cf. [3]):** A positive retarded arithmetic program with binary substitutions (PRAB) is a sequence  $P = \{p_1, \dots, p_s\}$  of instructions such that, for every  $k$ , one of the following holds.

- (1)  $p_k$  is one of the constant polynomials 0 or 1.
- (2)  $p_k = x_i$  for some  $i \leq k$ .
- (3)  $p_k = 1 - x_i$  for some  $i \leq k$ .
- (4)  $p_k = p_i + p_j$  for some  $i, j < k$ .
- (5)  $p_k = p_i p_j$  for some  $i + j \leq k$ .
- (6)  $p_k = p_j(x_i = 0)$  or  $p_j(x_i = 1)$  for some  $i, j < k$ . Here  $p_j(x_i = \epsilon)$  refers to the polynomial

obtained from  $p_j$  by replacing the variable  $x_i$  by the value  $\epsilon$ .

We say that the program  $P$  computes the polynomial  $p_s$ .

**Definition 2.14 (cf. [3]):** A sequence  $P_1, P_2, \dots$  of PRAB's is uniform if there is a deterministic polynomial-time machine that, on input  $1^n$ , outputs the instruction sequence  $P_n$ .

**Fact 2.15 (cf. [3]):** A set  $S$  is in  $\text{MOD}_m\text{P}$  if and only if there is a uniform sequence  $\{P_n\}_{n \geq 1}$  of PRAB's such that, for every  $x \in \{0, 1\}^*$ ,

$$\chi_S(x) \equiv P_{|x|}(x) \pmod m.$$

We use  $\text{IP}(k)$  to denote the class of sets accepted by  $k$ -move interactive proof systems (cf. [5, 17]). Let  $\text{IP}(k)/\text{poly}$  be the class of sets accepted by  $k$ -move interactive proof systems in which the verifier is given polynomial-length advice in addition to probabilistic polynomial time.

**Fact 2.16 (cf. [5, 18]):** For any constant  $k$ ,  $\text{IP}(k)/\text{poly} \subseteq \text{NP}/\text{poly}$ .

Finally we use the following known relationship between the levels of the PH and the corresponding nonuniform classes.

**Fact 2.17 (cf. [27]):** If  $\Sigma_i^P \subseteq \Pi_i^P/\text{poly}$ , then the PH collapses to  $\Sigma_{i+2}^P$ .

### 3 Complete Sets in the PH

**Theorem 3.1** If SAT is nonadaptively poly-rsr, then  $\overline{\text{SAT}}$  is in  $\text{NP}/\text{poly}$ .

**Proof:** It suffices to prove that the hypothesis implies that  $\overline{\text{SAT}}$  is in  $\text{IP}(2)/\text{poly}$ . The conclusion then follows from Fact 2.16.

Let  $\phi, \sigma_1, \dots, \sigma_k$ , where  $k = k(n)$  is a polynomially-bounded function, be a nonadaptive rsr for SAT. By Lemma 2.3, we can assume  $\phi$  gives an incorrect value for the characteristic function of SAT with probability at most  $2^{-n}$ .

Consider instances  $x$  of length  $n$ . The verifier's advice is the  $k$ -tuple  $(p_1, \dots, p_k)$ , where  $p_i$  is the probability that a target instance  $\sigma_i(x, r)$

is satisfiable. The probability is computed over all coin-toss sequences  $r$ .

The following is an IP(2)/poly protocol for  $\overline{\text{SAT}}$ . Let  $m = 9k^3$ . The quantifiers “for all  $1 \leq i \leq k$ ” and “for all  $1 \leq j \leq m$ ” are implicit whenever the subscripts  $i$  and  $j$  are used. For each  $j \neq j'$ ,  $r_j$  is independent of  $r_{j'}$ .

**Interactive proof system for  $\overline{\text{SAT}}$ :**

$V$ : Choose  $r_j$  and compute  $y_{i,j} = \sigma_i(x, r_j)$ .

$V \rightarrow P$ :  $\{y_{i,j}\}$ .

$P \rightarrow V$ : A claimed value  $b_{i,j}$  for each  $\chi_{\text{SAT}}(y_{i,j})$  and a witness for each claim that  $b_{i,j} = 1$ .

$V$ : Accept iff

(1)  $\phi(x, r_j, b_{1,j}, \dots, b_{k,j}) = 0$ , and

(2) More than  $p_i m - 2\sqrt{km}$  of the  $y_{i,j}$ 's are satisfiable according to  $P$ .

Suppose that  $x$  is unsatisfiable and  $P$  is honest. Then acceptance condition (1) is met with probability at least  $1 - m/2^n > 11/12$  for all  $n > \log 12m$ . We need only show condition (2) is met with probability at least  $3/4$  to have both conditions met with probability at least  $2/3$ . Let  $Z_{i,j}$  be an indicator variable that is 1 if  $y_{i,j}$  is satisfiable and 0 otherwise, and let  $Z_i = \sum_{j=1}^m Z_{i,j}$ . Acceptance condition (2) is met if  $Z_i > p_i m - 2\sqrt{km}$  for all  $i$ . Because  $r_1, \dots, r_m$  are pairwise independent, so are  $Z_{i,1}, \dots, Z_{i,m}$ . Clearly  $E(Z_i) = p_i m$  and  $\text{Var}(Z_i) = p_i(1-p_i)m < m$ . So Chebyshev's inequality suffices to show that

$$\begin{aligned} \text{Prob}(Z_i \leq p_i m - 2\sqrt{km}) &\leq \text{Prob}(|Z_i - p_i m| \geq 2\sqrt{km}) \\ &= \text{Prob}(|Z_i - E(Z_i)| \geq 2\sqrt{km}) \\ &\leq \frac{\text{Var}(Z_i)}{4km} < \frac{1}{4k}, \end{aligned}$$

for each  $i$ . Thus the probability that at least one  $Z_i$  is too small (i.e., the probability that condition (2) is not met) is at most  $1/4$ .

Now suppose that  $x$  is satisfiable. We wish to show that the probability that  $V$  accepts is at most  $1/3$ . If  $V$  accepts, condition (1) is satisfied, and so either

(a) Given correct answers  $b_{i,j}$ ,  $\phi$  says  $x$  is not satisfiable for some  $j$ , or

(b)  $P^*$  must have lied about the satisfiability of at least one  $y_{i,j}$  for each  $j$ .

Event (a) can only happen with probability at most  $m/2^n < 1/12$  for all  $n > \log 12m$ . Thus we need only show event (b) can happen with probability at most  $1/4$ .

If  $P^*$  tells a total of  $m$  lies, there must be an  $i$  for which he claims that at least  $m/k$  satisfiable  $y_{i,j}$ 's are unsatisfiable. It suffices to show that, for each  $i$ , he can do this with probability at most  $1/4k$  and still satisfy acceptance condition (2). This is another simple application of the Chernoff bounds in [23, Lecture 4]: The probability that  $P^*$  can tell  $m/k$  lies and still claim that more than  $p_i m - 2\sqrt{km}$  of the  $y_{i,j}$ 's are satisfiable is just the probability that more than  $p_i m + m/k - 2\sqrt{km}$  of the  $y_{i,j}$ 's are satisfiable. This probability is at most  $e^{-2(m/k^2 - 4\sqrt{m/k+4k})} = e^{-2k} < 1/4k$  for  $m = 9k^3$  and all positive integers  $k$ . ■

**Corollary 3.2** *If any NP set  $S$  is nonadaptively poly-rsr, then  $\overline{S}$  is in NP/poly.*

**Proof:** Any set  $S$  in NP can be used in place of SAT in Theorem 3.1. ■

**Corollary 3.3** *If any NP-complete set is nonadaptively poly-rsr, then the PH collapses at the third level.*

**Proof:** This follows directly from Corollary 3.2 and Fact 2.17. ■

**Corollary 3.4** *If  $S$  is complete for  $\Sigma_i^P$  or  $\Pi_i^P$ ,  $i \geq 1$ , and  $S$  is nonadaptively poly-rsr, then the PH collapses at the  $(i+2)^{\text{nd}}$  level.*

**Proof:** The proofs of Theorem 3.1, Fact 2.17 and thus Corollary 3.3 relativize. If we relativize them with respect to an oracle  $O$  such that  $O$  is  $\Sigma_{i-1}^P$ -complete, we get Corollary 3.4. ■

In the final version of our paper, we prove the following stronger result.

**Theorem 3.5** *If SAT is adaptively  $O(\log n)$ -rsr, then  $\overline{\text{SAT}}$  is in NP/poly.*

In fact, the conclusion that  $\overline{\text{SAT}}$  is in  $\text{NP}/\text{poly}$  follows from the assumption that  $\text{SAT}$  has an adaptive rsr with  $O(\log n)$  rounds of queries and polynomially many queries in each round. The proof technique used in Theorem 3.5 is very similar to that used in Theorem 3.1 here; unfortunately, this technique does not work unless the number of rounds is  $O(\log n)$ .

## 4 Complete Sets Above the PH

We first recall the following known positive result.

**Theorem 4.1** *If  $f$  is  $\#P$ -complete, then  $f$  is poly-rsr.*

**Proof:** This is essentially a restatement of a result in [19]. Let  $\text{PERM}$  be the  $\#P$ -complete function that computes permanents of integer-matrices. To compute  $\text{PERM}(x)$ , it suffices to compute it modulo several primes and use the Chinese remainder theorem. Hence,  $\text{PERM}$  can be reduced to the computation of several low-degree polynomials over finite fields; it is thus rsr by Fact 2.4.

Let  $f$  be  $\#P$ -complete. On input  $x$  of length  $n$ , the rsr for  $f$  proceeds as follows. Reduce  $x$  to one or more instances of  $\text{PERM}$ . Pad these instances if necessary so that their size depends only on  $n$ . Perform the standard rsr of  $\text{PERM}$ . The random  $\text{PERM}$ -instances thus produced can be mapped back to  $f$ -instances, because  $f$  is  $\#P$ -complete. These  $f$ -instances leak at most  $n$ , because the random  $\text{PERM}$ -instances leak at most  $n$ . ■

We now proceed to our new positive results. The first one is a straightforward extension of Theorem 4.1.

**Theorem 4.2** *If  $S$  is complete for  $\text{PP}$ , then  $S$  is poly-rsr.*

**Proof:** The language classes  $\text{P}^{\text{PP}}$  and  $\text{P}^{\#P}$  are equal. Thus  $S$  and  $\text{PERM}$  are ptime-equivalent. The rest of the proof is identical to that of Theorem 4.1. ■

**Theorem 4.3** *If a complexity class  $C$  is  $\#P$ -robust, then complete sets for  $C$  are poly-rsr.*

**Proof:** By Fact 2.8, it suffices to show that  $\text{Gap-P}$ -robustness of  $C$  implies that complete sets for  $C$  are poly-rsr. Suppose that  $C$  is  $\text{Gap-P}$ -robust, and let  $S$  be a complete set for  $C$ . For each  $n \geq 1$ , let  $f_n : \{0, 1\}^n \rightarrow \{0, 1\}$  be the characteristic function of  $S$  on strings of length  $n$ , and let  $g = \{g_n\}_{n \geq 1}$  be the arithmetization of  $f = \{f_n\}_{n \geq 1}$  over the integers. By Fact 2.9, we can compute  $g$  (using Equations (1) and (2)), in  $\text{Gap-P}^C$  and thus in  $\text{FP}^C$ . By Fact 2.4,  $g$  is (nonadaptively)  $(n+1)$ -rsr. Thus  $S$ , which is ptime-equivalent to  $g$ , is poly-rsr. ■

**Corollary 4.4** *Complete sets for  $\text{PSPACE}$  and  $\text{EXPTIME}$  are poly-rsr.*

**Proof:** This follows from the fact that  $\text{PSPACE}$  and  $\text{EXPTIME}$  are  $\#P$ -robust. For example, let  $\text{FPSPACE}$  denote the set of functions computable in polynomial space. Then  $\text{FPSPACE} \subseteq \#P^{\text{PSPACE}} \subseteq \text{FPSPACE}^{\text{PSPACE}}$ , but  $\text{FPSPACE} = \text{FPSPACE}^{\text{PSPACE}} = \text{FPSPACE}$ , and thus  $\text{FPSPACE} = \#P^{\text{PSPACE}}$ . ■

Note that is unknown whether  $\#P$  is itself  $\#P$ -robust.

**Theorem 4.5** *If  $S$  is complete for  $\text{MOD}_m\text{P}$ , then  $S$  is poly-rsr. In particular, complete sets for  $\oplus P$  are poly-rsr.*

**Proof:** By Facts 2.11 and 2.12, we can assume without loss of generality that  $m$  is prime.

Let  $S$  be a complete set in  $\text{MOD}_m\text{P}$  and  $x = (x_1, \dots, x_n)$  be an element of  $\{0, 1\}^n$  for which we would like to determine membership in  $S$ . By Fact 2.15, there is a PRAB  $P_n = \{p_1, \dots, p_s\}$  for which  $\chi_S(x) = P_n(x) \bmod m$ . Furthermore  $P_n$  can be generated in polynomial time, and it depends only on  $S$  and  $n$  (i.e., it leaks nothing about  $x$  except its length). We would like to apply Fact 2.4 (the low-degree polynomial trick) to  $p_s$  and then map the random  $p_s$ -instances back to  $S$ -oracle queries. However, there are only  $m$  distinct points in  $\mathcal{Z}_m$ , and the degree of  $p_s$  is a (polynomially bounded) function of  $n$ ; thus,

there will not be enough interpolation points to recover  $p_s(x)$  this way.

We deal with this problem as it is dealt with in the proof that  $\text{MOD}_m\text{P}$ -complete sets are checkable (cf. [3]). For every positive integer  $k$ , there is a unique finite field  $\text{GF}(m^k)$ , and it is a vector space over  $\mathcal{Z}_m$ . Fix a basis for this vector space. We can represent each element  $a$  of  $\text{GF}(m^k)$  as the  $k \times k$  matrix  $M_a$  denoting the linear transformation  $x \mapsto ax$  of  $\text{GF}(m^k)$  to itself. Then  $M_0$  is the zero matrix,  $M_1$  is the identity matrix,  $M_{a+b} = M_a + M_b$ , and  $M_{ab} = M_{ba} = M_a M_b$ .

Choose  $k$  so that  $m^k > d = \text{degree}(p_s)$ , and represent the elements computed by  $P_n$  as matrices over  $\mathcal{Z}_m$ . There is another PRAB, say  $\{p_1(1, 1), \dots, p_1(k, k), \dots, p_s(1, 1), \dots, p_s(k, k)\}$ , where  $p_i(r, c)$  computes the element in row  $r$ , column  $c$  of  $p_i(x_1, \dots, x_n)$ . Because  $m^k > d$ , we can apply Fact 2.4 to  $p_s$  as follows. Let  $\alpha_1, \dots, \alpha_{d+1}$  be distinct elements of  $\text{GF}(m^k)$ . Choose  $c_1, \dots, c_n$  independently and uniformly at random from the set of all  $k \times k$  matrices over  $\mathcal{Z}_m$  that encode elements of  $\text{GF}(m^k)$ . Then  $P_n(c_1 Z + x_1, \dots, c_n Z + x_n)$  is a degree- $d$ , one-variable polynomial with constant term  $P_n(x) = \chi_s(x)$ . So evaluate  $P_n$  at the  $d+1$  uniformly distributed inputs  $(c_1 \alpha_1 + x_1, \dots, c_n \alpha_1 + x_n), \dots, (c_1 \alpha_{d+1} + x_1, \dots, c_n \alpha_{d+1} + x_n)$  and interpolate.

It remains to show that the computation of  $P_n(c_1 \alpha_j + x_1, \dots, c_n \alpha_j + x_n)$  can be reduced in polynomial time to a sequence of  $S$ -oracle queries. In the matrix representation of  $P_n$ , each  $p_i(r, c)$  is an instruction in a PRAB over  $\mathcal{Z}_m$ . Thus it is polynomial-time reducible to  $S$  by Fact 2.15, because  $S$  is complete for  $\text{MOD}_m\text{P}$ .

As in the previous proofs in this section, each  $S$ -oracle call leaks at most  $n$ , because each random input  $(c_1 \alpha_j + x_1, \dots, c_n \alpha_j + x_n)$  leaks at most  $n$ . ■

## 5 Open Problems

Open problems abound, including:

- Do NP-complete sets have adaptive  $k$ -rsr's for some  $k \gg \log n$ ?

- Are NP-complete sets checkable in the sense of [9]? Note that all known checkers for sets that are complete for natural complexity classes use rsr's.
- What other sets do or do not have rsr's? How about incomplete sets? Sets and functions complete for classes  $C$  that satisfy  $\text{PH} \subseteq \text{BPP}^C$  and  $\text{P}^C \subseteq \text{PSPACE}$ ? The classes  $\text{MOD}_m\text{P}$ ,  $\text{PP}$ , and  $\#P$  all fall between the  $\text{PH}$  and  $\text{PSPACE}$  in this sense (cf. [24]).

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